



Research Assessment Exercise 2020

**Workshop on Impact Submissions
Overview on
Submission Requirements**

RAE 2020

Outline

- **Basic requirements**
- **Impact Overview Statement**
- **Impact Case Studies**
- **Panel-specific guidance**
- **Other points to note**

RAE 2020 Impact Submissions

Basic Requirements:

Definition of Impact

- *demonstrable contributions, beneficial effects, valuable changes* or *advantages*
 - that research qualitatively brings to the *economy, society, culture, public policy* or *services, health*, the *environment* or *quality of life*
 - whether locally, regionally or internationally; and that are *beyond academia*

RAE 2020 Impact Submissions

Basic Requirements:

Definition of Impact

- *includes reduction* or *prevention* of harm, risk, cost or other negative effects
- *includes* impacts on teaching or students that extend significantly *beyond the submitting university*, or on other fields
- *excludes* impacts to academic advances and impacts on students, teaching or other activities within the submitting university

RAE 2020 Impact Submissions

Basic Requirements:

Criteria for Submission

- Impact must be *enabled, generated* or *substantially supported* by the submitting university during the assessment period, from **1 Oct 2013 to 30 Sep 2019**
- Impact must be *underpinned by research undertaken at, or significantly supported* by, the submitting university during **1 Jan 2000 to 30 Sep 2019**

RAE 2020 Impact Submissions

Basic Requirements:

Underpinning Research

- The research made a *distinct and material contribution* to the impact taking/taken place
- Must be *within the scope of the relevant UoA* at the submitting university
- *Quality* equivalent to *at least 2 star (2*) or international standing*

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Materials to be submitted per UoA:

- One *Impact Overview Statement*;
- Required no. of *Impact Case Study(ies)* according to no. of eligible staff in the UoA:

No. of eligible staff in UoA	No. to submit (Four A4 pages each)
3 – 15	1
16 – 30	2
31 – 45	3
46 or more	4, plus 1 per additional 40 staff

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Impact Overview Statement:

- Describes how the unit has sought to *enable and/or facilitate the achievement of impact from its research* during the assessment period (1 Oct 2013 to 30 Sep 2019)
- Provides more *holistic and contextualised* information about the *range of activities* within the unit and its *capacity for impact*

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Impact Overview Statement:

- Template at Appendix G of Guidance Notes
- Page limit

No. of eligible staff in UoA	Page limit (A4 size)
3 – 45	2
46 or more	3

- ***Four sections*** per statement, viz. context, approach to impact, strategy and plans, relationships to case studies

Research Assessment Exercise 2020 Impact Overview Statement

University:

Unit of Assessment (UoA):

Total number of eligible staff of the university in the UoA:

- (1) **Context** – context for the individual case study(ies)
- (2) **Approach to impact** – the unit’s approach to impact during the assessment period for impact
- (3) **Strategy and plans** – strategy and plans for supporting impact
- (4) **Relationship to case studies** – the relationship between the unit’s approach to impact and the submitted case studies

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Impact Overview Statement:

1. **Context:** to describe the main non-academic user groups, beneficiaries or audiences for, and main types of impact relevant to the unit's research, and how these relate to the range of research or research groups in the unit
2. **Approach to impact:** to describe the unit's interactions with beneficiaries or audiences to achieve impacts from its research

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Impact Overview Statement:

3. ***Strategies and plans:*** to describe the strategies and plans, or the development of such for achieving and enabling impact from the unit's research
4. ***Relationship to case study(ies):*** to describe the relationship between the impact case study(ies) and the unit's approach to impact

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Impact Case Study(ies):

- ***Strongest example(s)*** to present the impacts, with evidence of the claimed impact, rather than a comprehensive account of where research has led
- Template at Appendix H of Guidance Notes
- Maximum length for each case study: four A4 size pages

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Impact Case Study

University:

Unit of Assessment (UoA):

Title of case study:

- (1) **Summary of the impact** (indicative maximum 100 words)

- (2) **Underpinning research** (indicative maximum 500 words)

- (3) **References to the research** (indicative maximum of six references)

- (4) **Details of the impact** (indicative maximum 750 words)

- (5) **Sources to corroborate the impact** (indicative maximum of 10 references)

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Impact Case Study(ies):

- Content should be *self-contained and self-explanatory*, without recourse to inference or reference to materials external to the submission
- Includes *appropriate facts and evidence* for the impact claimed
- Provide *full version of key outputs* referenced in each case study

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Impact Case Study(ies):

Five sections per case study :

1. *Summary of impact:* includes who and what has benefitted or been influenced
2. *Underpinning research:* knowledge, insights, methodologies, solutions and/or inventions brought about by the research, who undertook it and when it was undertaken

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Impact Case Study(ies):

3. ***References to the research:*** basic details of key outputs from the underpinning research, evidence of the quality of the research
4. ***Details of the impact:*** how the research led to or underpinned the impact, the nature and extent of impact, when it occurs/ed, how the submitting unit made contributions to the impact

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Impact Case Study(ies):

5. ***to corroborate the impact:*** sources external to the submitting university that could provide support and/or confirm the statements and claims in the case study, how the sources can be accessed

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Panel-specific Guidance:

- *Evidence and indicators* may take different forms depending on the types of impact
- Examples and elaborations in *Panel-specific Guidelines* (PSGs) of 13 RAE panels
- Common advice in the PSGs:
 - *clear, precise, coherent* account, with illustrative explanations, rather than broad, general statements
 - traceable or verifiable references

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Other Points to Note:

- *Submission due* on *16 December 2019*
- *Nil* submission or *missing part* of submission will be deemed as “unclassified” in the overall assessment
- Submission more than the prescribed requirements will not be accepted

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Thank you

