

University Accountability Agreement (UAA)
Institution-specific Key Performance Indicators (KPIs) (as at June 2019)
The University of Hong Kong (HKU)

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Domain 1: The quality of the student experience of teaching and learning

Institution-Specific Key Performance Indicators		Data																		
KPI 1	UG Student Evaluation of Teaching and Learning (SETL) scores for course and teacher effectiveness broken down by Common Core Courses and non-Common Core Courses	<p>Mean scores (max. 100) of students' ratings from the University's Undergraduate Student Evaluation of Teaching & Learning conducted on all students of UGC-funded full-time undergraduate programmes:</p> <table border="1"> <thead> <tr> <th>Type of Course</th> <th>Year</th> <th>Course Effectiveness</th> <th>Teacher Effectiveness</th> </tr> </thead> <tbody> <tr> <td rowspan="2">Common Core Courses</td> <td>16/17</td> <td>73.9</td> <td>77.1</td> </tr> <tr> <td>17/18</td> <td>72.7</td> <td>74.8</td> </tr> <tr> <td rowspan="2">Non-Common Core Courses</td> <td>16/17</td> <td>73.9</td> <td>77.2</td> </tr> <tr> <td>17/18</td> <td>74.3</td> <td>77.3</td> </tr> </tbody> </table>	Type of Course	Year	Course Effectiveness	Teacher Effectiveness	Common Core Courses	16/17	73.9	77.1	17/18	72.7	74.8	Non-Common Core Courses	16/17	73.9	77.2	17/18	74.3	77.3
Type of Course	Year	Course Effectiveness	Teacher Effectiveness																	
Common Core Courses	16/17	73.9	77.1																	
	17/18	72.7	74.8																	
Non-Common Core Courses	16/17	73.9	77.2																	
	17/18	74.3	77.3																	
KPI 2	Percentage of academic staff with professional teaching accreditation (using UK Higher Education Academy (HEA) framework)	<p>2.0% of professoriate staff (2017/18) (22 professoriate staff attained the professional teaching accreditation (using UK HEA framework) with qualification at Principal Fellow, National Teaching Fellow, Senior Fellow, Fellow and Associate Fellow levels)</p> <p>3.2% of professoriate staff (2018/19) (34 professoriate staff attained the professional teaching accreditation (using UK HEA framework) with qualification at Principal Fellow, National Teaching Fellow, Senior Fellow, Fellow and Associate Fellow levels)</p> <p><i>(Note: Professoriate staff refer to academic staff of the University of the staff grades of Professor, Associate Professor and Assistant Professor. This definition applies to other Institution Specific Key Performance Indicators that are related to professoriate staff.)</i></p>																		
KPI 3	UG employment data (six months post-graduation)	<p>Percentage of graduates of UGC-funded full-time undergraduate programmes with first job offer by November (i.e. 6 months post-graduation) from the University's Full-time Graduate Employment Survey:</p> <p>92.4% (2016) 94.9% (2017) 94.0% (2018)</p>																		

Note: Data for Institution-Specific Key Performance Indicators are in general presented in time series of the most recent three years, subject to data availability.

Domain 2: The quality of research performance and of research postgraduate experience

Institution-Specific Key Performance Indicators		Data
KPI 1	Competitive (private and public, local and international) grant incomes	Value of total competitive grant incomes: HK\$ 5,835.0M (2015/16) HK\$ 5,984.2M (2016/17) HK\$ 6,380.5M (2017/18) <i>(Note: Value of total competitive grant incomes refers to the cumulative non-block grant funded research grants income and covering the value received of on-going, completed in the year, suspended & discontinued projects, as well as the value received in the starting year of the new projects. Capitals for Research Endowment and Endowed Professorship funds are excluded.)</i>
KPI 2	Per research capita publication citations	Citations per paper of professoriate staff, from Web of Science: 32.8 (2016) 33.1 (2017) 34.4 (2018)
KPI 3	Percentage of Research Postgraduate students with industry and international experiences (covering industry internship, research exchange, academic visits, laboratory attachment, research training, professional workshops etc.)	Percentage of research postgraduate (RPG) students at completion with industry and international experiences (including Mainland China) gained at any point during their studies at the University: 80.7% (being 467 RPGs out of total of 579 RPGs at completion in 2016/17) 81.9% (being 546 RPGs out of total of 667 RPGs at completion in 2017/18) <i>(Note: RPG students at completion refer to graduating RPGs in the year under reference.)</i>
KPI 4	Per capita international (high-impact) research publications and monographs	Number of international (high-impact) research publications and monographs per professoriate staff: 3.6 (2015/16) 3.3 (2016/17) 3.1 (2017/18)

Note: Data for Institution-Specific Key Performance Indicators are in general presented in time series of the most recent three years, subject to data availability.

Domain 3: Knowledge transfer and wider engagement

Institution-Specific Key Performance Indicators	Data
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KPI 1 Research collaborations, and public-public (covering consultancy and contracts, research and impact projects with public bodies) and public-private (covering consultancy and contracts, impact cases, research and service projects with business and industry, social and community projects with foundations and private organisations, public engagement events etc.), partnership projects

Type	Number		
	2015/16	2016/17	2017/18
Collaborative Research Projects <i>(Incl. all research grant projects with at least 1 co-investigator outside of HKU)</i>	879	836	851
Contract Research	546	541	586
Consultancy / Service for Knowledge Exchange Projects	906	1,032	1,042
Public Engagement Events	1,631	1,790	1,796
Impact Projects	61	51	52

(Note: Impact Projects are projects funded under the University's Impact Project Funding Scheme resourced through the Knowledge Transfer funding from the UGC. The scheme enables the academic staff of the university to benefit the community with their expert knowledge.)

KPI 2 Patents (filed and granted), know-hows and licenses

No. of patents and intellectual properties (IPs) with licenses granted:

Type	2015/16	2016/17	2017/18
Patents Filed	129	144	132
Patents Granted	60	64	67
IPs with Licenses Granted	26.1	13.5	19

(Note: Patents filed with and granted by multiple countries are counted multiple. Decimal figures are reported for IPs with licenses granted due to some IPs are co-owned with other institutions.)

Note: Data for Institution-Specific Key Performance Indicators are in general presented in time series of the most recent three years, subject to data availability.

Domain 3: Knowledge transfer and wider engagement

Institution-Specific Key Performance Indicators		Data			
KPI 3 Innovation and entrepreneurial activities (measured by events, projects, participants, impact cases etc.)		Number			
	Type	2016/17	2017/18		
	Events	25	61		
	Projects	21	51		
	Participants (incl. Student, Staff, Alumni & Outsiders)	33,662	110,708		
	Impact Cases	5	8		
	Taught Formal Courses Relating to Innovation and Entrepreneurship	1,027 courses with 60,076 students enrolled <i>(about 25% of all 4,070 courses taught at all academic levels)</i>	1,160 courses with 67,889 students enrolled <i>(about 28% of all 4,105 courses taught at all academic levels)</i>		
KPI 4 (External) advisory and editorial membership (local, mainland China, regional, international)		Number			
	Type	2015/16	2016/17	2017/18	
	External Memberships Held by Staff*	Advisory	2,928	2,826	2,946
	Staff* with External Membership(s)	Editorial	222	195	175
		Advisory	609	593	608
		Editorial	112	106	87

(Note: cover both academic & non-academic staff.)*

Note: Data for Institution-Specific Key Performance Indicators are in general presented in time series of the most recent three years, subject to data availability.

Domain 4: Enhanced internationalisation

Institution-Specific Key Performance Indicators		Data
KPI 1	Percentage of international professoriate staff	Percentage of international professoriate staff by nationality: 60.1% (2016/17) 60.9% (2017/18) 62.6% (2018/19)
KPI 2	Percentage of undergraduate students undertaking international exchange	Percentage of final year students enrolled on full-time UGC-funded undergraduate programmes who had undertaken international exchange (with duration of at least 1 semester) at any point during their studies at the University: 33.9% (being 1,390 final year UG students out of total of 4,106 final year UG students in 2016/17) 34.6% (being 1,508 final year UG students out of total of 4,357 final year UG students in 2017/18)
KPI 3	Percentage of undergraduate students undertaking a significant Mainland learning experience	Percentage of final year students enrolled on full-time UGC-funded undergraduate programmes who had undertaken a significant Mainland China learning experience (of duration of at least 3 weeks of which at least 2 weeks were outside Hong Kong) at any point during their studies at the University: 6.3% (being 257 final year UG students out of total of 4,106 final year UG students in 2016/17) 23.1% (being 1,008 final year UG students out of total of 4,357 final year UG students in 2017/18)
KPI 4	Percentage of undergraduate students undertaking a significant international learning experience	Percentage of final year students enrolled on full-time UGC-funded undergraduate programmes who had undertaken a significant international (excluding Mainland China) learning experience (of duration of at least 3 weeks of which at least 2 weeks were outside Hong Kong) at any point during their studies at the University: 45.3% (being 1,861 final year UG students out of total of 4,106 final year UG students in 2016/17) 55.6% (being 2,423 final year UG students out of total of 4,357 final year UG students in 2017/18)

Note: Data for Institution-Specific Key Performance Indicators are in general presented in time series of the most recent three years, subject to data availability.

Domain 5: Financial health and institutional sustainability

Institution-Specific Key Performance Indicators		Data
KPI 1	Research income per fte professoriate staff	Research income (received in the year) per fte professoriate staff: HK\$ 1.1M (2015/16) HK\$ 1.3M (2016/17) HK\$ 1.5M (2017/18)
KPI 2	Percentage of income from donations (university level)	7.2% (2015/16) 5.3% (2016/17) 7.1% (2017/18)

(Note: The Percentage of Income from Donations is computed based on the total income of the University before interest and investment gain/loss.)

Note: Data for Institution-Specific Key Performance Indicators are in general presented in time series of the most recent three years, subject to data availability.