

University Accountability Agreement (UAA)
Institution-specific Key Performance Indicators (KPIs) (as at June 2019)
The Chinese University of Hong Kong (CUHK)

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Domain 1: The quality of the student experience of learning and teaching

CUHK Key Performance Indicators	Remarks	Values
<i>KPI 1 Research opportunities for undergraduates in terms of courses offered and conference attendance</i>		
1.a.1 Number of Ug courses with more than 75% of learning and assessment activities being research-oriented in the academic years from 2015/16 to 2017/18		1,088
1.a.2 Number of Ug students who participated in non-credit bearing research-oriented activities in the academic years from 2015/16 to 2017/18		1,790
1.a.3 Number of conference participations by Ug students in the academic years from 2015/16 to 2017/18		1,642
1.a.4 Number of conference presentations by Ug students in the academic years from 2015/16 to 2017/18		133
<i>KPI 2 Whole-person development and learning opportunities in non-credit bearing activities or competitions</i>		
1.b.1 Number of non-credit bearing activities (including competitions) organised under the I•CARE framework in the academic years from 2015/16 to 2017/18	The I•CARE framework guides our students' whole-person development. The targets, values, and skills our students are expected to attain are categorised as follows: I(Integrity and moral development), C(Creativity and intellectual development), A(Appreciation of life and aesthetic development), R(Relationships and social development), E(Energy and wellness)	1,050
1.b.2 Number of student participations in the non-credit bearing activities (including competitions) organised under the I•CARE framework in the academic years from 2015/16 to 2017/18		116,854

Domain 1: The quality of the student experience of learning and teaching

CUHK Key Performance Indicators	Remarks	Values
<i>KPI 3 Student achievements including publications and awards received</i>		
1.c.1 Number of publications by Ug students in the academic years from 2015/16 to 2017/18		1,458
1.c.2 Number of journal publications by Ug students in the academic years from 2015/16 to 2017/18		137
1.c.3 Number of Ug students who received awards from competitions in the academic years from 2015/16 to 2017/18		2,732
<i>KPI 4 Employability and further studies of graduates</i>		
1.d.1 Proportion of bachelor-degree graduates in 2018 who are in full-time employment and/or further study as at December 2018.	Unlike CDCF, graduates who returned to their place of origin for full-time employment or further studies is not classified as "Others".	94.0% (as at Feb 28, 2019)
1.d.2 Proportion of postgraduate degree graduates in 2018 who are in full-time employment and/or further study as at December 2018.		90.2% (as at Feb 28, 2019)

Domain 2: The quality of research performance and of research postgraduate experience

CUHK Key Performance Indicators	Remarks	Values
<i>KPI 1 Grants and awards obtained for inter-disciplinary collaborative projects</i>		
2.a.1 Number of grants obtained for inter-disciplinary collaborative projects in 2017/18 financial year (ended 30 June)	“Inter-disciplinary” means “inter-departmental”	241
2.a.2 Amount awarded to inter-disciplinary collaborative projects in 2017/18 financial year (ended 30 June)		\$ 334,059,261.27 HKD
<i>KPI 2 Academic impact and citations of University publications</i>		
2.b.1 Number of citations in a period of x years that are attributed to CUHK publications over a period of y years	x = 2013-2018, y = 2013-2017	13.11
<i>KPI 3 Research experience of students through participation and presentations in international conferences</i>		
2.c.1 Number of RPg student participations in conferences in the academic years from 2015/16 to 2017/18		5,024
2.c.2 Number of RPg student presentations in conferences in the academic years from 2015/16 to 2017/18		3,314
<i>KPI 4 Student publications in academic journals / newspapers / magazines and conference</i>		
2.d.1 Number of RPg publications in academic journals in the academic years from 2015/16 to 2017/18		2,982
2.d.2 Number of RPg publications in conference proceedings in the academic years from 2015/16 to 2017/18		2,661
2.d.3 Number of RPg online publications, RPg publications in newspapers/magazines and other forms in the academic years from 2015/16 to 2017/18	“Other forms” include artwork and exhibition, performance, book chapter, software, seminar and conference presentation, and patent application	644

Domain 3: Knowledge transfer and wider engagement

CUHK Key Performance Indicators	Remarks	Values		
		2015/16	2016/17	2017/18
<i>KPI 1 Participation in knowledge transfer activities by academic staff members</i>				
3.a.1 Number of participations in KT activities by academic staff members in each of the financial years from 2015/16 to 2017/18 (ended 30 June)	Follow definition of UGC/CDCF for KT activities	367	446	1,242
<i>KPI 2 Patent applications filed and licenses granted</i>				
3.b.1 Number of patent applications filed by CUHK in each of the financial years from 2015/16 to 2017/18 (ended 30 June)		165	223	327
3.b.2 Number of patents granted to CUHK in each of the financial years from 2015/16 to 2017/18 (ended 30 June)		83	132	185
3.b.3 Number of licenses granted by CUHK for research outputs developed by CUHK researchers in each of the financial years from 2015/16 to 2017/18 (ended 30 June)		67	90	78
<i>KPI 3 Students' participation in entrepreneurship and innovation activities</i>				
3.c.1 Number of student activities (including competitions), related to entrepreneurship or innovation, which were organised in the academic years from 2015/16 to 2017/18		277		
3.c.2 Number of students who participated in activities (including competitions) which were related to entrepreneurship or innovation in the academic years from 2015/16 to 2017/18		11,813		

Domain 3: Knowledge transfer and wider engagement

CUHK Key Performance Indicators	Remarks	Values		
		2015/16	2016/17	2017/18
<i>KPI 4 Contract research / consultancies undertaken and the income so generated</i>				
3.d.1 Number of contract research projects undertaken in each of the financial years from 2015/16 to 2017/18 (ended 30 June)	Defined as “research projects meeting the specific research needs of external partners, which is likely to be a service that could otherwise be procured from non-higher education institution providers.”	80	53	66
3.d.2 Number of consultancies undertaken in each of the financial years from 2015/16 to 2017/18 (ended 30 June)	Defined as “the provision of expert advice and work usually paid for at a market rate, which, while it may involve a degree of analysis, measurement or testing, is crucially dependent on a high degree of intellectual input from the institution of the client (commercial or non-commercial) without the creation of new knowledge (although new understanding is the main desired impact).	344	316	363
3.d.3 Total income generated from contract research projects in each of the financial years from 2015/16 to 2017/18 (ended 30 June)		\$24,876,945	\$29,560,712	\$81,965,174
3.d.4 Total income generated from consultancies in each of the financial years from 2015/16 to 2017/18 (ended 30 June)		\$139,838,022	\$118,452,611	\$137,975,630

Domain 4: Enhanced internationalisation

CUHK Key Performance Indicators	Remarks	Values	
		2016/17	2017/18
<i>KPI 1 Number of non-local students enrolled in learning activities</i>			
4.a.1 Total number of non-local students enrolled in learning activities in each of the academic years from 2016/17 to 2017/18	“Non-local students” includes non-local students doing a degree programme, exchange-in students during term-time, non-local summer programme participants, non-local students making short visits with a formal status.	5,940	6,646
<i>KPI 2 Percentage of professoriate staff members who possess one or more international academic or professional qualification</i>			
4.b.1 Percentage of professoriate staff members as at 30 September 2018 who possess an international academic or professional qualification	Professoriate staff equivalent to UGC “A to F” staff		93.1%
<i>KPI 3 Percentage of undergraduate students who have undertaken a non-local learning experience</i>			2018
4.c.1 Percentage of Ug students, graduated in 2018, who had undertaken a non-local learning experience			69%
<i>KPI 4 Student satisfaction with international learning experience</i>			Results from 2018 iMPACT Study
4.d.1 Percentage of Ug students who had participated in term-time exchange or experiential learning programmes outside Hong Kong in 2017/18 academic year and were satisfied or very satisfied with the overall learning experience			95%
4.d.2 Percentage of Ug students who felt they had improved core skills after participation in non-local learning experience in 2017/18 academic year	“Core skills” includes cultural awareness, ability to deal with change, and global awareness		Cultural awareness: 98% Ability to deal with change: 96% Global awareness: 95%

Domain 5: Financial health and institutional sustainability

CUHK Key Performance Indicators	Remarks	Values		
		2015/16	2016/17	2017/18
<i>KPI 1 Academic expenditure over the University expenses</i>				
5.a.1 Spending on instruction and research as a percentage of total expenditure in each of the financial years from 2015/16 to 2017/18 (ended 30 June)	Expenditure on Instruction and Research/total expenditure	72.4%	72.8%	72.8%
<i>KPI 2 Net cash generated from operating activities</i>				
5.b.1 Net cash generated from operating activities in each of the financial years from 2015/16 to 2017/18 (ended 30 June) (HK\$m)	Net cash generated from operating activities = sum of operating surplus before changes in working capital and increase/(decrease) in working capital (defined by HKFRS)	1,113.4m	1,138.0m	1,033.7m
<i>KPI 3 Investment in capital projects (other than staff cost commitments)</i>				
5.c.1 The amount of contractual commitments for capital projects in each of the financial years from 2015/16 to 2017/18 (ended 30 June), including capital and non-capital expenditure commitments (other than staff cost commitments) which are (i) contracted for or (ii) authorised but not contracted for		1,317.6m	1,231.2m	856.4m
<i>KPI 4 Spending on addition in fixed assets, premises and related expenses (excluding depreciation) as a percentage of cost of fixed assets</i>				
5.d.1 Spending on addition in fixed assets, premises and related expenses (excluding depreciation) as a percentage of cost of fixed assets in each of the financial years from 2015/16 to 2017/18 (ended 30 June)	[Addition in fixed assets for the year + premises and related expenses (exclude depreciation)] / cost of fixed assets b/f	8.0%	7.7%	11.4%