

**University Accountability Agreement (UAA)**  
**Institution-specific Key Performance Indicators (KPIs) (as at July 2021)**  
**The Chinese University of Hong Kong (CUHK)**

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- KPI 2 Percentage of professoriate staff members who possess one or more international academic or professional qualification
- KPI 3 Percentage of undergraduate students who have undertaken a non-local learning experience
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- KPI 1 Academic expenditure over the University expenses
- KPI 2 Net cash generated from operating activities
- KPI 3 Investment in capital projects (other than staff cost commitments)
- KPI 4 Spending on addition in fixed assets, premises and related expenses (excluding depreciation) as a percentage of cost of fixed assets

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**Domain 1: Quality of student experience of learning and teaching**

<b>CUHK Key Performance Indicators</b>	<b>Remarks</b>	<b>Values</b>
<b><i>KPI 1 Research opportunities for undergraduates in terms of courses offered and conference attendance</i></b>		
1.a.1 Number of Ug courses with more than 75% of learning and assessment activities being research-oriented in the academic years from 2017/18 to 2019/20		929
1.a.2 Number of Ug students who participated in non-credit bearing research-oriented activities in the academic years from 2017/18 to 2019/20		5,592
1.a.3 Number of conference participations by Ug students in the academic years from 2017/18 to 2019/20		1,163
1.a.4 Number of conference presentations by Ug students in the academic years from 2017/18 to 2019/20		168
<b><i>KPI 2 Whole-person development and learning opportunities in non-credit bearing activities or competitions</i></b>		
1.b.1 Number of non-credit bearing activities (including competitions) organised under the I•CARE framework in the academic years from 2017/18 to 2019/20	The I•CARE framework guides our students' whole-person development. The targets, values, and skills our students are expected to attain are categorised as follows: I(Integrity and moral development), C(Creativity and intellectual development), A(Appreciation of life and aesthetic development), R(Relationships and social development), E(Energy and wellness)	1,071
1.b.2 Number of student participations in the non-credit bearing activities (including competitions) organised under the I•CARE framework in the academic years from 2017/18 to 2019/20		121,926

<b>CUHK Key Performance Indicators</b>	<b>Remarks</b>	<b>Values</b>
<b><i>KPI 3 Student achievements including publications and awards received</i></b>		
1.c.1 Number of publications by Ug students in the academic years from 2017/18 to 2019/20		1,920
1.c.2 Number of journal publications by Ug students in the academic years from 2017/18 to 2019/20		288
1.c.3 Number of Ug students who received awards from competitions in the academic years from 2017/18 to 2019/20		2,455
<b><i>KPI 4 Employability and further studies of graduates</i></b>		
1.d.1 Proportion of bachelor-degree graduates in 2020 who are in full-time employment and/or further study as at December 2020.	Unlike CDCF, graduates who returned to their place of origin for full-time employment or further studies is not classified as “Others”.	90.8% (as at 15 Mar 2021)
1.d.2 Proportion of postgraduate degree graduates in 2020 who are in full-time employment and/or further study as at December 2020.		90.2% (as at 15 Mar 2021)

**Domain 2: Quality of research performance and of research postgraduate experience**

<b>CUHK Key Performance Indicators</b>	<b>Remarks</b>	<b>Values</b>
<b><i>KPI 1 Grants and awards obtained for inter-disciplinary collaborative projects</i></b>		
2.a.1 Number of grants obtained for inter-disciplinary collaborative projects in 2019/20 financial year (ended 30 June)	“Inter-disciplinary” means “inter-departmental”	229
2.a.2 Amount awarded to inter-disciplinary collaborative projects in 2019/20 financial year (ended 30 June)		\$ 499,779,256.67 HKD
<b><i>KPI 2 Academic impact and citations of University publications</i></b>		
2.b.1 Number of citations in a period of x years that are attributed to CUHK publications over a period of y years	x = 2015-2020, y = 2015-2019	18.23 citation per paper
<b><i>KPI 3 Research experience of students through participation and presentations in international conferences</i></b>		
2.c.1 Number of RPg student participations in conferences in the academic years from 2017/18 to 2019/20		4,466
2.c.2 Number of RPg student presentations in conferences in the academic years from 2017/18 to 2019/20		3,179
<b><i>KPI 4 Student publications in academic journals/newspapers/magazines and conference</i></b>		
2.d.1 Number of RPg publications in academic journals in the academic years from 2017/18 to 2019/20		3,644
2.d.2 Number of RPg publications in conference proceedings in the academic years from 2017/18 to 2019/20		2,786
2.d.3 Number of RPg online publications, RPg publications in newspapers/magazines and other forms in the academic years from 2017/18 to 2019/20	“Other forms” include artwork and exhibition, performance, book chapter, software, seminar and conference presentation, and patent application	901

**Domain 3: Knowledge transfer and wider engagement**

<b>CUHK Key Performance Indicators</b>	<b>Remarks</b>	<b>Values</b>		
		2017/18	2018/19	2019/20
<b><i>KPI 1 Participation in knowledge transfer activities by academic staff members</i></b>				
3.a.1 Number of participations in KT activities by academic staff members in each of the financial years from 2017/18 to 2019/20 (ended 30 June)	Follow definition of UGC/CDCF for KT activities	1,242	1,756	1,073
<b><i>KPI 2 Patent applications filed and licenses granted</i></b>				
3.b.1 Number of patent applications filed by CUHK in each of the financial years from 2017/18 to 2019/20 (ended 30 June)		327	315	386
3.b.2 Number of patents granted to CUHK in each of the financial years from 2017/18 to 2019/20 (ended 30 June)		185	202	257
3.b.3 Number of licenses granted by CUHK for research outputs developed by CUHK researchers in each of the financial years from 2017/18 to 2019/20 (ended 30 June)		78	91	52
<b><i>KPI 3 Students' participation in entrepreneurship and innovation activities</i></b>				
3.c.1 Number of student activities (including competitions), related to entrepreneurship or innovation, which were organised in the academic years from 2017/18 to 2019/20		240		
3.c.2 Number of students who participated in activities (including competitions) which were related to entrepreneurship or innovation in the academic years from 2017/18 to 2019/20		11,057		

**Domain 3: Knowledge transfer and wider engagement**

CUHK Key Performance Indicators	Remarks	Values		
		2017/18	2018/19	2019/20
<b><i>KPI 4 Contract research/consultancies undertaken and the income so generated</i></b>				
3.d.1 Number of contract research projects undertaken in each of the financial years from 2017/18 to 2019/20 (ended 30 June)	Defined as “research projects meeting the specific research needs of external partners, which is likely to be a service that could otherwise be procured from non-higher education institution providers.”	66	69	78
3.d.2 Number of consultancies undertaken in each of the financial years from 2017/18 to 2019/20 (ended 30 June)	Defined as “the provision of expert advice and work usually paid for at a market rate, which, while it may involve a degree of analysis, measurement or testing, is crucially dependent on a high degree of intellectual input from the institution of the client (commercial or non-commercial) without the creation of new knowledge (although new understanding is the main desired impact).	363	384	299
3.d.3 Total income generated from contract research projects in each of the financial years from 2017/18 to 2019/20 (ended 30 June)		\$81,965,174	\$57,167,163	\$75,278,899
3.d.4 Total income generated from consultancies in each of the financial years from 2017/18 to 2019/20 (ended 30 June)		\$137,975,630	\$168,019,850	\$141,080,199

**Domain 4: Enhanced internationalisation**

<b>CUHK Key Performance Indicators</b>	<b>Remarks</b>	<b>Values</b>	
		2018/19	2019/20
<b><i>KPI 1 Number of non-local students enrolled in learning activities</i></b>			
4.a.1 Total number of non-local students enrolled in learning activities in each of the academic years from 2018/19 to 2019/20	“Non-local students” includes non-local students doing a degree programme, exchange-in students during term-time, non-local summer programme participants, non-local students making short visits with a formal status.	6,627	5,313
<b><i>KPI 2 Percentage of professoriate staff members who possess one or more international academic or professional qualification</i></b>			
4.b.1 Percentage of professoriate staff members as at 30 September 2020 who possess an international academic or professional qualification	Professoriate staff equivalent to UGC “A to I” staff	93.0%	
<b><i>KPI 3 Percentage of undergraduate students who have undertaken a non-local learning experience</i></b>			2020
4.c.1 Percentage of Ug students, graduated in 2020, who had undertaken a non-local learning experience		69%	
<b><i>KPI 4 Student satisfaction with international learning experience</i></b>			Results from 2020 iMPACT Study
4.d.1 Percentage of Ug students who had participated in term-time exchange or experiential learning programmes outside Hong Kong in 2019/20 academic year and were satisfied or very satisfied with the overall learning experience		94%	
4.d.2 Percentage of Ug students who felt they had improved core skills after participation in non-local learning experience in 2019/20 academic year	“Core skills” includes cultural awareness, ability to deal with change, and global awareness	Cultural awareness: 99% Ability to deal with change: 98% Global awareness: 98%	



**Domain 5: Financial health and institutional sustainability**

CUHK Key Performance Indicators	Remarks	Values		
		2017/18	2018/19	2019/20
<b><i>KPI 1 Academic expenditure over the University expenses</i></b>		2017/18	2018/19	2019/20
5.a.1 Spending on instruction and research as a percentage of total expenditure in each of the financial years from 2017/18 to 2019/20 (ended 30 June)	Expenditure on Instruction and Research/total expenditure	72.8%	72.0%	71.4%
<b><i>KPI 2 Net cash generated from operating activities</i></b>		2017/18	2018/19	2019/20
5.b.1 Net cash generated from operating activities in each of the financial years from 2017/18 to 2019/20 (ended 30 June) (HK\$m)	Net cash generated from operating activities = sum of operating surplus before changes in working capital and increase/(decrease) in working capital (defined by HKFRS)	1,033.7m	2,549.4m	2,945.6m
<b><i>KPI 3 Investment in capital projects (other than staff cost commitments)</i></b>		2017/18	2018/19	2019/20
5.c.1 The amount of contractual commitments for capital projects in each of the financial years from 2017/18 to 2019/20 (ended 30 June), including capital and non-capital expenditure commitments (other than staff cost commitments) which are (i) contracted for or (ii) authorised but not contracted for		856.4m	2,406.9m	2,412.9m
<b><i>KPI 4 Spending on addition in fixed assets, premises and related expenses (excluding depreciation) as a percentage of cost of fixed assets</i></b>		2017/18	2018/19	2019/20
5.d.1 Spending on addition in fixed assets, premises and related expenses (excluding depreciation) as a percentage of cost of fixed assets in each of the financial years from 2017/18 to 2019/20 (ended 30 June)	[Addition in fixed assets for the year + premises and related expenses (exclude depreciation)] / cost of fixed assets b/f	11.4%	8.0%	9.7%