

RGC Ref.: (to be completed by the institution)
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**RESEARCH GRANTS COUNCIL**

**The French National Research Agency (ANR) /  
Research Grants Council (RGC) Joint Research Scheme (JRS) 2024/25**

**Application Supplement Form (for Hong Kong Applicants)**

- Please read the Guidance Notes for Completing the Application Supplement Form (ANR/RGC 2 (09/23)) carefully.
- Please attach a copy of the proposal jointly prepared by the French and Hong Kong applicants and submitted to the ANR on-line system by its deadline.
- To safeguard the interests of the researcher and the university, the awardee university bears the primary responsibility for prevention, detection and investigation of research misconduct, including but not limited to misuse of funds, data falsification, plagiarism, self-plagiarism and double-dipping. The university is strongly encouraged to vet the grant applications using anti-plagiarism software before submitting them to the RGC.

**PART I SUMMARY OF THE RESEARCH PROPOSAL**

[To be completed by the applicant(s)]

**1. Names and Academic Affiliations of Principal Investigators (PIs):**

	Name			Post	Unit / Department / Institution
	Surname (with title)	Other name	Chinese name (with title)		
Hong Kong					
France					

**2. Particulars of the Research Project:**

(a) Title of project (in English and Traditional Chinese):

(b) Nature of application:  
(Please tick '✓' as appropriate in the box)

New 
   
 Re-submission 
   
 Continuation

(Reference no. of relevant project \_\_\_\_\_)
   
 (Reference no. of relevant project \_\_\_\_\_)

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(c) Project field:

Primary field name: \_\_\_\_\_ & Code \_\_\_\_\_  
Secondary field name: \_\_\_\_\_ & Code \_\_\_\_\_

(d) A maximum of five keywords to characterise the work of your proposal  
(a maximum of 30 characters for each keyword)

(e) Project duration:  
(Please tick '✓' as appropriate in the box)

36 months                       48 months

(f) The RGC grant requested:  
[The amount shown here should be the same as the amount shown in Section 1(a) of Part II below]

Total cost of the Hong Kong part of the joint project:   
Less : Other research funds secured from other sources   
Net amount requested from RGC (exclusive of on-costs):

(g) The ANR grant requested (exclusive of indirect costs):

**3. Abstract of Research Comprehensible to a non-Specialist**  
(a maximum of 400 words in standard RGC format):

**4. Re-submission of a Proposal not Supported Previously**

(a)(i) Is this proposal a re-submission or largely similar to a proposal that has been submitted to but not supported by the UGC / RGC or other funding agencies? An affirmative reply should be given if a component of this proposal is a re-submission.

Yes  No

If yes, please state the funding agency(ies) and the funding programme(s):

Proposal reference number(s) [for UGC / RGC projects only]:  
Project title(s) [if different from Section 2(a) above]:  
Date (month / year) of application(s):  
Outcome:

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- (a)(ii) Number of times of re-submission since its first submission and proposal reference number(s) (if applicable), and a summary of all the previous submissions and the revisions made to each of the previous submissions (*a maximum of one A4 page in standard RGC format*):

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- (b) If this application is the same as or similar to the one(s) submitted but not supported previously, what were the main concerns / suggestions of the reviewers then?

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- (c) Please give a brief response to the points mentioned in Section 4(b) above, highlighting the major changes that have been incorporated in this application. (*a maximum of one A4 page in standard RGC format*)

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**PART II DETAILS OF THE RESEARCH PROPOSAL**  
 [To be completed by the applicant(s)]

**PROJECT FUNDING**

**1. Cost and Justification**

**(a) Estimated cost and resource implications (for Hong Kong Team):**

[Please refer to Section 1 of Part II of the Guidance Notes (ANR/RGC 2) for permissible items in the budget. Detailed justifications should be given in order to support the request for each item below.]

*(a maximum of 500 words for the justifications in each box below)*

	Year 1	Year 2	Year 3	Year 4	Total
	(\$)	(\$)	(\$)	(\$)	(\$)

One-line vote items

**(a)(i) Supporting staff costs**

Types

- Senior Research Assistant / Research Assistant / Post-doctoral Fellows / Research Postgraduate Students
- Others

Monthly salary x number x months

Justifications:

**(a)(ii) Equipment expenses**

[Please itemize and **provide quotations** for each item costing over \$200,000.]

Justifications:

Quotation provided:                      Yes                          No

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Year 1	Year 2	Year 3	Year 4	Total
(\$)	(\$)	(\$)	(\$)	(\$)

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**(a)(iii) Outsourcing expenses of research work outside Hong Kong**

[Please itemize your cost estimation with justifications and **provide quotations** for work costing over \$200,000; and provide detailed justifications of sample sizes and costs for surveys conducted outside Hong Kong.]

Justifications:

Quotation provided:                      Yes                            No                     

**(a)(iv) General expenses**

[Please itemize and **provide quotations** for services / purchase costing over \$200,000; and provide detailed justifications of sample sizes and costs for surveys conducted in Hong Kong.]

Justifications:

Quotation provided:                      Yes                            No                     

For expenditure relating to travels, please provide breakdowns as below:

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Year 1	Year 2	Year 3	Year 4	Total
(\$)	(\$)	(\$)	(\$)	(\$)

Visits by Hong Kong team to France:

For each proposed visit:  
 (a) destination; (b) no. of days; (c) no. of team members; (d) passage cost for each team member; (e) subsistence cost per day per member  
 (e.g. Visit 1: (a) Paris; (b) 5 days; (c) 2 members; (d) \$9,000; (e) \$900)

Justifications:

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**(a)(v) Conference expenses**

Justifications:

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**Sub-total for one-line vote items (A):**

HK\$
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Earmarked Items

**(a)(vi) Costs for employment of relief teacher**

Rank of relief teacher:  
 No. of courses to be relieved:  
 Per course rate of relief teacher:  
 Period to be relieved (in months):

Justifications:

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Current average teaching load:

Total \_\_\_\_\_ courses per academic year  
 [Please report UGC-funded programmes only]

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**(a)(vii) High-performance computing services expenses**

[Please provide quotations.]

Justifications:

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Quotation provided:                      Yes                          No   

**(a)(viii) Research-related software licence / database / dataset expenses**

[Please itemize and provide quotations for each item.]

Justifications:

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Quotation provided:                      Yes                          No   

**(a)(ix) Project review workshop expenses**

Travel by Hong Kong PI to France -  
Air passage: HK\$9,000  
Subsistence: HK\$900 x \_\_ days

Justifications:

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**Sub-total for earmarked items (B):** HK\$

**(a)(x) Total cost of the project (A) + (B):** HK\$

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Less:

**(a)(xi) Deduction items (C):**

*Other research funds secured from other sources*

HK\$
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**(a)(xii) Amount requested from RGC (A) + (B) - (C):**

HK\$
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**(b) Declaration on the equipment procurement:**

(i) No procurement of equipment is required

OR

(ii) I declare that the equipment indicated in Section 1(a)(ii) above is not available in the university

OR

(iii) I declare that all or some of the equipment (please provide details in the following text box) indicated in Section 1(a)(ii) above is available in the university but cannot be used by me in view of the following reasons:

Reasons (*a maximum of 500 words*):

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**(c) Declaration on the employment of relief teacher:**

(i) No employment of relief teacher is required

OR

(ii) I declare that I currently do not hold any grant for employment of relief teacher by any on-going projects under the UGC / RGC funding schemes

OR

(iii) I declare that I hold grant for employment of relief teacher by the following on-going project(s) under the UGC / RGC funding scheme(s) (excluding Humanities and Social Sciences Prestigious Fellowship Scheme (HSSPFS)) and undertake to submit the corresponding completion report(s) by 2 July 2024:

Funding scheme:

Project reference number:

Project title:

Project commencement date:

Project completion date:





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**3. Funds Secured or to be Secured**

- (a) Other research funds already secured for this research proposal:  
 [This amount will be deducted from the total cost of the project in Section 1(a) of Part II above.]

Source Amount (\$)

- (b) Other research funds to be or are being sought for this research proposal:  
 [If funds under this item are secured, the amount of the RGC grant to be awarded may be reduced.]

Source Amount (\$)

**COLLABORATION DETAILS**

**4. Particulars of PI and Co-I(s)**

- (a) Names and academic affiliations of applicants (in English)

**Hong Kong Team:**

Role	Name (with title)	Post	Unit / Department / University / Institution	ORCID iD *	Current Member of UGC / RGC / RGC Panel / Committee as at submission deadline (Yes or No)	Name of UGC / RGC Panel / Committee	Number of hours per week spent by Hong Kong applicant on the proposal
PI							
Co-I							

**French Team:**

Role	Name (with title)	Post	Unit / Department / University / Institution	ORCID iD	Current Member of UGC / RGC / RGC Panel / Committee as at submission deadline (Yes or No)	Name of UGC / RGC Panel / Committee
PI						
Co-I						

\* The Hong Kong PI and Co-I(s) are required to provide an ORCID iD to facilitate identification of researchers. ORCID iD can be registered for free at [http:// orcid.org](http://orcid.org).

(b) Curriculum vitae (CV) of applicants of **both** teams

[For PI and each Co-I, please attach a CV (*a maximum of two A4 pages in standard RGC format*) per person in the following format:

- (i) Name
- (ii) Academic qualifications
- (iii) Previous academic positions held (with dates)
- (iv) Present academic position
- (v) Previous relevant research work
- (vi) Publication records\*

[Please refer to Section 4(b) of Part II of the Guidance Notes (ANR/RGC 2) for the format required by the RGC.]

Section A - Five most representative publications in recent five years

Section B - Five representative publications beyond the recent five-year period (within ten years at maximum) with the latest publication entered first

\* Full citation of the publication should be provided. For example: it could be in the format "Author name(s) in the order as presented in the original publication with relevant researcher in bold; 'Title of Article'; Title of Journal (Year); Volume (Issue) : Pages".

(vii) Others (Please specify.)]

## (c) Plan(s) of collaboration

(*a maximum of two A4 pages in standard RGC format*)

[Please indicate the role and the specific tasks(s) the PI and each Co-I, if any, of both teams is / are responsible for. Letter(s) of collaboration from Co-I(s) should be attached.]

- I confirm that the Co-I(s) listed in the proposal has / have explicitly agreed to serve in the project team and a copy of the proposal has been provided to each of the Co-I(s). Letter(s) of collaboration from the Co-I(s) is / are attached. I shall provide further documentary proof on the collaboration upon the request of the RGC / Secretariat.

**DECLARATION OF RELATED PROPOSALS / RESEARCH WORK AND GRANT RECORD**

[Please refer to Section 5 of Part II of the Guidance Notes (ANR/RGC 2) for information required and implications for non-disclosure of related proposals / research work. If you have difficulty in making the declaration, please explain.]

**5. Grant Record and Related Proposals / Research Work of Hong Kong PI and Co-I(s)**  
[Please add a new table for each project / proposal.]

(a) Hong Kong PI –

Details of research projects / proposals / work undertaken / submitted by the Hong Kong PI (in the capacity of Project Coordinator (PC) / PI / Co-PI / Co-I) including

- (i) research projects funded from all sources (irrespective of whether from UGC / RGC) which were completed in the past five years;
- (ii) on-going research projects funded from all sources (including those funded but yet to commence; irrespective of whether from UGC / RGC);
- (iii) proposals pending funding approval (irrespective of whether submitted to UGC / RGC); and

		Details
(1)	Project / Proposal ref. no. (if any)	
(2)	Project title	
(3)	Project objectives	
(4)	Status	Completed / On-going / Pending funding approval
(5)	Capacity	PC / PI / Co-PI / Co-I
(6)	Funding source(s) and amount (\$)	
(7)	Start date and (estimated) completion date (if applicable)	
(8)	Number of hours per week spent by the Hong Kong PI on each on-going project*	
(9)	Related to the current application If yes, provide the details in table under (iv) below	Related / NA
(iv)	any related research work that is being / has been conducted in relation to the proposal (irrespective of whether from UGC / RGC and not limited to the past five years), including but not limited to data collection, preliminary research, working papers, publications (such as journal papers, conference papers and books, etc.), presentations, media interviews and other submitted proposals, etc. Please also provide the details of the related research work (such as the title of the projects and / or papers / publications, or a brief description of the preliminary research work, etc.) whether or not such work was part of a funded project; and provide	

clarifications which distinguish that related research work from the work requested to be funded through this proposal. **Any researcher who fails to disclose any related research work that is being / has been conducted in relation to the proposal will be subject to disciplinary action.**

*(a maximum of 400 words)*

\* The Hong Kong PI is not required to report on the time spent in the capacity of Co-I in General Research Fund (GRF) / JRS projects funded by the RGC.

(b) Hong Kong Co-I(s) –

Details of research projects / proposals / work undertaken / submitted by each Hong Kong Co-I(s) (in the capacity of PC / PI) including

- (i) on-going research projects funded from all sources (including those funded but yet to commence; irrespective of whether from UGC / RGC);
- (ii) proposals pending funding approval (irrespective of whether submitted to UGC / RGC); and

Name of Co-I concerned: \_\_\_\_\_

		Details
(1)	Project / Proposal ref. no. (if any)	
(2)	Project title	
(3)	Status	On-going / Pending funding approval
(4)	Capacity	PC / PI
(5)	Funding source(s) and amount (\$)	
(6)	Start date and (estimated) completion date (if applicable)	
(7)	Related to the current application If yes, provide the details in table under (iii) below	Related / NA

- (iii) any related research work that is being / has been conducted in relation to the proposal (irrespective of whether from UGC / RGC and not limited to the past five years), including but not limited to data collection, preliminary research, working papers, publications (such as journal papers, conference papers and books, etc.), presentations, media interviews and other submitted proposals, etc. Please also provide the details of the related research work (such as the title of the projects and / or papers / publications, or a brief description of the preliminary research work, etc.) whether or not such work was part of a funded project; and provide clarifications which distinguish that related research work from the work requested to be funded through this proposal. **Any researcher who fails to disclose any**

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**related research work that is being / has been conducted in relation to the proposal will be subject to disciplinary action.**

*(a maximum of 400 words)*

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**6. Major Research Output of Previously Funded Projects**

*(a maximum of 400 words in standard RGC format for each project)*

[Please provide the major research output of each previously UGC / RGC and non-UGC / RGC funded project undertaken by the Hong Kong PI and each Co-I, in descending chronological order, which are relevant to the application. The research output includes the progress / publications / conferences / student-training / output in any other formats with the relevant project reference number.

**ANCILLARY INFORMATION****7. Research Ethics / Safety Approval and Access to Government / Official / Private Data and Records**

[Please refer to Section 7 of Part II of the Guidance Notes (ANR/RGC 2) for the responsibilities and implications.]

## (a) Research ethics / safety approval

- (i) I confirm that the research proposal  involves /  does not involve human subjects.
- (ii) I confirm that the research proposal  involves /  does not involve the study of artefacts.
- (iii) Please tick '✓' in the appropriate boxes to confirm if approval for the respective ethics and / or safety issues is required and has been / is being obtained from the Hong Kong PI's university. Hong Kong PIs are encouraged to seek necessary approval before application deadline as far as possible.

	<u>Approval not required</u>	<u>Approval being sought</u>	<u>Approval obtained</u>
(1) Human research ethics	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Animal research ethics	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Biological safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Ionizing radiation safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Non-ionizing radiation safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Chemical safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Artefacts research ethics	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- (iv) If approval is required by **other authorities**, please indicate below the names of the authorities and the prospects of obtaining such approval. If not applicable, please put down "N.A.".

## (b) Access to Government / official / private data and records

- (i) Is access to Government / official / private data and records critical to the research proposal?

No

Yes

If approval is required, please indicate below the names of the agency(ies) of obtaining such approval.

- (ii) Please tick '✓' in the appropriate boxes to confirm if approval for access to the related data / records has been / is being obtained from the relevant agency(ies). If approval has been obtained, please provide evidence.

<u>List of agency(ies)</u>	<u>Approval not required</u>	<u>Approval being sought</u>	<u>Approval obtained</u>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

[Note: Hong Kong PIs should **seek necessary approval before application deadline** as far as possible.]

### 8. Release of Completion Report, Data Archive Possibilities, and Public Access of Publications Resulting from Research Funded by the RGC

- (a) Is the proposed project likely to generate data set(s) of retention value?

Yes  No

If yes, please describe the nature, quantity and potential use of the data set(s) in future.

- (b) Are you willing to make the data set(s) available to others for reference twelve months after the publication of research results or the completion of this proposed project?

Yes  No

We understand that the RGC will release the completion report to the public and only considers data archiving requests after the completion of the RGC-funded project. The RGC has full discretion in funding the archiving requests. Data sets archived with RGC funds will require users to acknowledge the originator and the RGC. The originator will also be provided with copies of all publications derived from the use of the data.

The Hong Kong PI undertakes to include in the completion report the URL links to the university's repository or the publishers' websites so that the public could have quick and easy access to the manuscripts or journal articles. The Hong Kong PI will also consider to include in the completion report the data repository where research data of the project could be accessed and shared, where appropriate.

We understand that the RGC will collect open-access-related information of peer-reviewed journal publications arising directly from the project.

The Hong Kong PI also undertakes that upon acceptance of a paper for publication:

- (i) he / she will check whether the publisher already allows (A) full open access to the publisher's version, or (B) his / her depositing a copy of the paper (either the publisher's



version or the final accepted manuscript after peer-review) in the university's repository for open access;

- (ii) if both (i)(A) and (B) are not allowed, he / she will request the publisher to allow him / her to place either version in his / her university's repository for restricted access immediately upon publication or after an embargo period of up to 12 months if required by the publisher; and
- (iii) subject to the publisher's agreement on (i) or (ii) above, he / she will deposit a copy of the publication in his / her university's repository as early as possible but no later than six months after publication or the embargo period, if any.

**We certify that we have completed this Application Supplement Form (ANR/RGC 1) in accordance with the Guidance Notes for Completing the Application Supplement Form (ANR/RGC 2). The information given is complete and accurate to the best of our knowledge, and consistent with the information given in the joint proposal submitted by the French team to the ANR. The Hong Kong PI herewith confirms that he / she has obtained the explicit consent of all the parties in Section 4(a) of Part II to collaborate in the proposed research and he / she would be required to provide documentary proof on the collaboration if necessary. The Hong Kong PI also confirms that a copy of the proposal has been provided to each of the Co-Is and that he / she had made the proposal available to all the Co-Is for making declaration. The Hong Kong PI fully understands the failure to comply with any of the RGC's requirements may lead to disqualification of the application and / or other debarment of applying for future UGC / RGC grants for a certain period of time.**

**In addition, by submitting the application, we undertake that the research activity(ies) / expenditure(s) of the project if funded will be carefully monitored for its / their compliance with applicable laws, health and safety guidelines and ethical standards.**

**Hong Kong Team:**

Name of PI : \_\_\_\_\_ Signature : \_\_\_\_\_ Date : \_\_\_\_\_

Name of Co-I : \_\_\_\_\_ Signature : \_\_\_\_\_ Date : \_\_\_\_\_

Name of Co-I : \_\_\_\_\_ Signature : \_\_\_\_\_ Date : \_\_\_\_\_

[Add more name(s) for Co-I(s) if necessary]

**PART III UNIVERSITY'S STATEMENT ON SUBMISSION**

[To be completed and signed by the appropriate authority of the Hong Kong PI's university. The university should confirm that it has evaluated and given support to the application before submission to the RGC.]

**1. University's Endorsement on Eligibility and Accuracy on Submission**

(\*Please tick '✓' the appropriate boxes.)

I confirm the following:

(a) the application has been evaluated and endorsed by the university for submission to the RGC;

(b) this application

has

has not

(Please provide reasons for not scanning by anti-plagiarism software:

\_\_\_\_\_ )  
been scanned by anti-plagiarism software;

(c) the university accepts its responsibility for prevention, detection and investigation of research misconduct, including but not limited to misuse of funds, data falsification, plagiarism, self-plagiarism and double-dipping;

(d) the Hong Kong PI, in the staff grade \_\_\_\_\_, meets fully the stipulated staff eligibility requirement for ANR / RGC JRS and is not debarred from applying for RGC grant;

[Where the Hong Kong PI is newly appointed, the university has formally entered into a contract of service with him / her on or before the RGC's submission deadline and his / her appointment would take effect on or before 2 July 2024.]

(e)  the Hong Kong PI is / will be primarily engaged in and spending at least 80% of time in degree or higher degree work at the university; **or**

the Hong Kong PI is / will be seconded to work at the following Innovation and Technology clusters at the Hong Kong Science Park with effect from \_\_\_\_\_ (mm/yyyy); **or**

Health@InnoHK

AIR@InnoHK

the Hong Kong PI is / will be seconded to conduct research in a university or a research institute / body / agency in the Mainland / overseas jurisdiction with effect from \_\_\_\_\_ (mm/yyyy);

[Name of university / research institute / body / agency: \_\_\_\_\_  
Region / Country: \_\_\_\_\_]

- (f)  the Hong Kong PI is / will be employed on permanent terms;  
 the Hong Kong PI is / will be employed on fixed-term contract;

[If the Hong Kong PI is / will be employed on a fixed-term contract, the Hong Kong PI will still be eligible for an ANR / RGC JRS grant at the time of the funding award being made in October 2024 and for at least the first year of the planned project duration.]

- (g)  the Hong Kong PI is / will be a visiting scholar;  
 the Hong Kong PI is NOT a visiting scholar;

[Where the Hong Kong PI is a visiting scholar, he / she has a full-time employment at the university covering at least one year or the expected duration of the project whichever is the longer.]

- (h) the applicant's declared teaching load at Section 1(a)(vi) of Part II above has been verified (only for the case where the applicant is seeking funding support for relief teacher);
- (i) the applicant will have the number of hours per week as declared in Section 4(a) of Part II to supervise the proposed project without prejudice to his / her existing commitment in other research work, teaching and administrative duties;
- (j) the university will inform the RGC as soon as the Hong Kong PI ceases to be eligible to apply, receive or hold the ANR / RGC JRS grant, and will withdraw the application; or once funded and commenced, recommend to the RGC for approval a suitable new Hong Kong PI, if any, to take over the funded project. The university understands that failure in identifying a suitable new PI or obtaining approval from the RGC for change of PI will result in termination of the funded project;
- (k) the Hong Kong PI does not hold an on-going ANR / RGC JRS project in the capacity of a Hong Kong PI by the RGC's submission deadline;
- (l) the university understands that the project, if funded, should commence within six months of the announcement of funding results and any adjustment will require prior approval. The university understands that the ANR / RGC JRS grant, if given, will be withdrawn if the project does not start within six months of the announcement of funding results without justifications to the satisfaction of the RGC; or the Hong Kong PI leaves the UGC sector within the first year of project commencement. The university should report to the RGC as soon as possible when a Hong Kong PI proceeds on no-pay leave / professional leave for a continuous or cumulative period exceeding 183 days within the project period; and
- (m) [for Hong Kong PI requesting employment of relief teacher at Section 1(a)(vi) of Part II above only]

the Hong Kong PI does not currently hold any grant for employment of relief teacher of any on-going project under UGC / RGC funding schemes (excluding HSSPFS).

the Hong Kong PI holds the grant for employment of relief teacher of the on-going project(s) at Section 1(c) of Part II (excluding HSSPFS) and the university shall ensure the Hong Kong PI to submit the corresponding completion report(s) by 2 July 2024.

## 2. University's Commitments on Support for Staff and other Personnel

(\*Please tick '✓' the appropriate boxes)

I confirm that:

(a) adequate supervision, research facilities and training provisions

will

will not

be in place to meet the need of research postgraduate student(s) so employed under the research grant if this application is supported by the RGC;

no research postgraduate students will be trained in this proposed project

not applicable

(b) the research project under this ANR / RGC JRS application

is

is not

in line with the role of the university;

(c)  no outsourcing outside Hong Kong is required;

the Hong Kong PI's declaration and reasons in Section 1(a)(iii) of Part II are true and correct and the university supports the PI's application for outsourcing the stated work outside Hong Kong;

(d)  no equipment is required;

the Hong Kong PI's declaration and reasons in Section 1(a)(ii) of Part II are true and correct and the university supports the PI's request for this procurement of equipment;

- (e)  no high-performance computing services is required;
- the Hong Kong PI's declaration and reasons in Section 1(a)(vii) of Part II are true and correct and the university supports the PI's request for this subscription of high-performance computing services;
- (f)  no research-related software licence / database / dataset is required; and
- the Hong Kong PI's declaration and reasons in Section 1(a)(viii) of Part II are true and correct and the university supports the PI's request for this procurement of the research-related software licence / database / dataset; and
- (g)  this application does not include requests for purchasing normal academic equipment, computer, consumables, postage, fax, stationery, overseas telephone charges, and standard software licence / database / dataset known to be available or reasonably expected to be provided in the universities concerned.

### 3. Research Ethics / Safety Approval and Access to Government / Official / Private Data and Records

(Please tick '✓' the appropriate boxes.)

- (a) Research ethics / safety approval

I have examined the research proposal and confirm that:

- (i)  the research proposal involves human subjects and human research ethics and
- approval has been obtained;
- approval is being sought;
- approval not required / exemption has been obtained;
- exemption is being sought; **OR**
- the research proposal does not involve human subjects;
- (ii)  the research proposal involves the study of artefacts and
- approval has been obtained;
- approval is being sought;
- approval not required; **OR**
- the research proposal does not involve the study of artefacts;

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(iii) the approval of the appropriate authority(ies) is not required or has been / will be obtained in respect of the following:

	<u>Approval not required</u>	<u>Approval being sought</u>	<u>Approval obtained</u>
(1) Animal research ethics	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Biological safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Ionizing radiation safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Non-ionizing radiation safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Chemical safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Artefacts research ethics	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(b) Access to Government / official / private data and records

I have examined the research proposal and confirm that

(i) the approval of the appropriate authority(ies) is / are:

required

not required

(ii) the approval of the appropriate agency(ies) has been / will be obtained in respect of the following:

<u>List of agency(ies)</u>	<u>Approval not required</u>	<u>Approval being sought</u>	<u>Approval obtained</u>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For (a) and (b) above, where such approval is required but has not yet been obtained, the university will ensure that it will be obtained without delay. **The university understands that if no confirmation of such approval is provided to the RGC by 2 July 2024, the RGC will regard this application as being withdrawn and will stop further processing it.**

Signature : \_\_\_\_\_

Name : \_\_\_\_\_  
(in BLOCK letters)

Designation : \_\_\_\_\_

Date : \_\_\_\_\_