RGC Ref.:

NSFC Ref. :

*(please insert ref. above)*

# The Research Grants Council of Hong Kong

# NSFC/RGC Collaborative Research Scheme

**Joint Completion Report**

*(Please attach a copy of the completion report submitted to the NSFC*

*by the Mainland researcher)*

**Part A: The Project and Investigator(s)**

**1. Project Title**

**2. Investigator(s) and Academic Department/Units Involved**

|  |  |  |
| --- | --- | --- |
|  | Hong Kong Team | Mainland Team |
| Name of Principal  Coordinator *(with title)* |  |  |
| Post |  |  |
| Unit / Department /  Institution |  |  |
| Contact Information |  |  |
| Co-Principal Investigator(s)  *(with title and institution)* |  |  |

**3. Project Duration**

|  |  |  |  |
| --- | --- | --- | --- |
|  | Original | Revised | Date of RGC/  Institution Approval  *( must be quoted*) |
| Project Start date |  |  |  |
| Project Completion date |  |  |  |
| Duration *(in month)* |  |  |  |
| Deadline for Submission of Completion Report |  |  |  |

**4. Project Expenditure**

4.1 Sources of funding *(to be completed by Hong Kong PC only)*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Sources of funding | Amount (HK$) |  | Sources of funding | Amount (RMB) |
| RGC\* |  |  | NSFC |  |
| Institution |  |  |
| Others *(please specify)* |  |  |
| Total budget |  |  |

\* *The RGC funding is a one-line vote. However, this applies to budget items as set out in the approved budget only.*

4.2 Budget & Expenditure of the Hong Kong Team

|  |  |  |
| --- | --- | --- |
| Direct Cost | Revised budget  after approval | Actual Expenditure |
| Supporting staff |  |  |
| Equipment |  |  |
| Outsourcing of Research Work Outside Hong Kong |  |  |
| General expenses |  |  |
| Conference(s) |  |  |
| High-performance Computing Services\* |  |  |
| Research-related Software License / Dataset\* |  |  |
| Total |  |  |

\* *the RGC funding is a one-line vote except for approved items of “High-performance Computing Services” and “Software license / dataset”.*

4.3 Balance: HK$

*( % of RGC budget; refund arranged / to be arranged*)

**Part B: The Completion Report**

**5. Project Objectives**

5.1 Objectives as per original application

*1.*

*2.*

*3.* ....

* 1. Revised Objectives

Date of approval from the RGC:

Reasons for the change:

*1.*

*2.*

*3.* ....

* 1. Realisation of the objectives

(maximum 1 page; please state how and to what extent the project objectives have been achieved; give reasons for under-achievements and outline attempts to overcome problems, if any)

5.4 Summary of objectives addressed to date

|  |  |  |
| --- | --- | --- |
| Objectives  *(as per 5.1/5.2 above)* | Addressed  *(please tick)* | Percentage achieved  *(please estimate)* |
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |

1. **Research Outcome**
   1. Major findings and research outcome

*(maximum 1 page; please make reference to Part C where necessary)*

* 1. Potential for further development of the research and the proposed course of action *(maximum half a page)*

1. **The Layman’s Summary**

*(describe in layman’s language the nature, significance and value of the research project, in no more than 200 words)*

**Part C: Research Output**

1. **Peer-reviewed journal publication(s) arising directly from this research project**

*(Please attach a copy of each publication and/or the letter of acceptance if not yet submitted in the previous progress report(s). All listed publications must acknowledge RGC’s funding support by quoting the specific grant reference.)*

|  |
| --- |
| *Please fill in the following table for* ***each*** *publication.* |
| 1. **The Latest Status of Publication** | **Published** | **Accepted but not yet published^** | | **Under Review^** | | **Under Preparation^** (optional) |
|  |  | |  | |  |
| ^ For not-yet-published publication, items (vi) to (xxvi) can be left blank if information is not yet available. | | | | | | |
| 1. **Author(s)**   *(denote the corresponding author with an asterisk\*)* |  | | | | | |
| 1. **Contact information of the corresponding author(s)** | Name | | ORCID (if any) | | Email | |
|  | |  | |  | |
| 1. **Title (in published language)** |  | | | | | |
| 1. **Title in other language (if any)** |  | | | | | |
| 1. **Full name of journal/book** |  | | | | | |
| 1. **Volume** |  | | | | | |
| 1. **Issue number** |  | | | | | |
| 1. **Pages** |  | | | | | |
| 1. **Article Number** |  | | | | | |
| 1. **Other necessary publishing details (if any)** |  | | | | | |
| 1. **Year of publication / Year of acceptance** |  | | | | | |
| 1. **Original language of the publication** |  | | | | | |
| 1. **Publisher or equivalent** |  | | | | | |
| 1. **Digital object identifier (DOI)** |  | | | | | |
| 1. **Abstract (as set out in the journal article)** |  | | | | | |
| 1. **Open access status**   *(Immediate open access / Embargoed open access / Non-open access)* |  | | | | | |
| 1. **Embargo end date (month, year) (if any)** |  | | | | | |
| 1. **Accessible from the institutional repository** *(Yes or No)* |  | | | | | |
| 1. **Hyperlink to the publication (the link to institutional repository if preferred) (if any)** |  | | | | | |
| 1. **Other affordable means for access (if any)**   *(Individual article purchase offered by the publisher / Access through the university libraries (on membership) / Contacting the corresponding author(s))* |  | | | | | |
| 1. **Article Processing Charge (APC) for publishing the article in an open access journal\***   *(Required / Not required / Not applicable)* |  | | | | | |
| 1. **Total amount of associated APC\* (in Hong Kong dollars, if any)** |  | | | | | |
| 1. **Amount of associated APC paid by university\* (or universities, in case it is borne by more than one university) (in Hong Kong dollars, if any)** |  | | | | | |
| 1. **Copyright retained by author(s)** *(Yes or No)* |  | | | | | |
| 1. **Number(s) and jurisdiction(s) of the granted patents associated with the article (if any)** |  | | | | | |
| 1. **Submitted to RGC (indicate the year ending of the relevant progress report)** |  | | | | | |
| 1. **Attached to this report** *(Yes or No)* |  | | | | | |
| 1. **Acknowledged the support of RGC** *(Yes or No)* |  | | | | | |

*\* This information will be for the Secretariat’s reference only and not be disclosed to the public.*

1. **Recognized international conference(s) in which paper(s) related to this research project was/were delivered** *(Please attach a copy of each delivered paper. All listed papers must acknowledge RGC’s funding support by quoting the specific grant reference.)*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Month/Year/  Place | Title | Conference Name | Submitted to RGC *(indicate the year ending of the relevant progress report)* | Attached to this report  *(Yes or No)* | Acknowledged the support of this Collaborative Research Scheme  *(Yes or No)* | Accessible from the institutional repository  *(Yes or No)* |
|  |  |  |  |  |  |  |

1. **Student(s) trained** *(Please attach a copy of the title page of the thesis.)*

|  |  |  |  |
| --- | --- | --- | --- |
| Name | Degree registered for | Date of registration | Date of thesis submission/ graduation |
|  |  |  |  |

1. **Other impact** *(e.g. award of patents or prizes, collaboration with other research institutions, technology transfer, etc.)*
2. **Statistics on Research Outputs** *(Please ensure the summary statistics below are consistent with the information presented in other parts of this report.)*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | Peer-reviewed journal publications | Conference papers | Scholarly books, monographs and chapters | Patents awarded | Other research outputs (Please specify) |
| No. of outputs arising directly from this research project [or conference] |  |  |  |  |  |

1. **Public access of completion report***(Please specify the information, if any, that cannot be provided for public access and give the reasons.)*

|  |  |
| --- | --- |
| Information that cannot be provided for public access | Reasons |
|  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Hong Kong Principal Coordinator** | |  | **Mainland Principal Coordinator** | |
| Signature: |  |  | Signature: |  |
| Name: |  |  | Name: |  |
| Date: |  |  | Date: |  |

Note: Principal Coordinators of projects approved in 2010/2011 onwards are required to release the completion reports to the public through the RGC website. Completion reports containing information such as abstracts in non-technical terms, objectives, research output including the list of conference papers / publications / journals and research findings and contact information of PIs should be open to public access.