

# 香港研究資助局

Research Grants Council  
of Hong Kong





## Research Grants Council Members 2016/17 研究资助局 2016/17 年度成员



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30 April 2018

Mr Carlson Tong, SBS, JP  
Chairman  
University Grants Committee  
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Hong Kong

Dear Chairman,

**Research Grants Council**  
**Report for 2016/17**

Under its terms of reference, the Research Grants Council (RGC) is required, among other things, to report on its work annually to the Government through the University Grants Committee.

I have the pleasure to submit herewith the report of the Research Grants Council covering the twenty-sixth year of the Council's operations. The report will be mounted on the RGC's website in order to reach a wider audience.

I should be grateful if you would forward the report to the Government of the Hong Kong Special Administrative Region.

Yours sincerely,



Benjamin Wah  
Chairman  
Research Grants Council

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大学教育资助委员会主席  
唐家成先生, SBS, JP

唐主席：

### 研究资助局二零一六至一七年度报告

研究资助局(研资局)的职权范围其中一项，是本局须透过大学教育资助委员会向政府提交周年工作报告。

现附上研资局的报告，载述本局的第二十六年运作情况。这份年报会载于研资局的网页，以便更多读者可以阅览。

谨请将这份报告呈交香港特别行政区政府。



研究资助局主席华云生

二零一八年四月三十日

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# Foreword from the Chairman

## 主席序言

This past year 2016/17 has been another robust and productive one for the Research Grants Council (RGC). With the vitality and creativity of Hong Kong researchers, our funded projects have continued to produce remarkable results in a wide range of disciplines.

## RGC Highlighted Activities in the 2016/17 academic year

### General Research Fund

Some 69% of RGC's budget is allocated for the General Research Fund which supports a large number of researchers. The aim is to supplement universities' own research support to those who have achieved or have the potential to achieve excellence. This past year, out of 2 813 applications from a wide range of disciplines, we funded a total of HK\$599 million for 969 research projects.

### Early Career Scheme

The Early Career Scheme is intended to nurture junior academics and to prepare them for a career in education and research. In the 2016/17 academic year, out of 366 applications, 155 projects were funded at a total amount of HK\$89 million, with 11 of them rated "Outstanding". The applicants of these projects were each granted an honorary title of "The Early Career Award 2016/17".

### Collaborative Research Fund

The Collaborative Research Fund (CRF) Scheme supports multi-investigators, multi-disciplinary projects in order to encourage more research groups to engage in creative and high quality cross-disciplinary projects. For the 2016/17 exercise, out of 173 applications, 18 research projects were funded at a total amount of HK\$110 million.

The annual CRF Symposium was held in December 2016 at the Hong Kong Polytechnic University where the progress of 18 funded projects was presented. The Symposium generated interesting discussions among researchers and provided excellent opportunities for research students to exchange views and strengthen collaborations.

对研究资助局(研资局)来说,过去的2016/17年度继续是有活力的,同时亦是丰盛的一年。凭藉香港研究学者的干劲和创意,本局的资助项目在多个研究领域持续取得卓越佳绩。

## 研资局2016/17学年的重点活动

### 优配研究金

研资局的财政预算中,约69%的资源拨作优配研究金,资助为数不少的学者进行研究。优配研究金的目的,是在大学为学者提供的研究资助以外,给表现卓越或潜质优厚的学者额外资助。过去一年,研资局收到2 813份不同学科的申请,其中969个研究项目获得资助,拨款合共5.99亿港元。

### 杰出青年学者计划

杰出青年学者计划旨在培育新进学者,帮助他们为日后的教学及研究事业作好准备。于2016/17学年,研资局共接获366个申请,其中155个研究项目获得资助,总额为8,900万港元,当中11个项目更获评核为「卓越」级别。这些申请人每人可获得「2016/17年度杰出青年学者奖」殊荣。

### 协作研究金

协作研究金旨在资助由多名学者合作的跨学科研究项目,希望鼓励研究单位进行更多具创意及高质素的跨学科研究项目。于2016/17年度,研资局接获173个申请,其中18个研究项目获得资助,总额为1.1亿港元。

2016年12月,研资局于香港理工大学举办一年一度的协作研究金研讨会,让18队获资助研究队伍汇报项目的进度。研讨会不但引起学者们的热烈讨论,同时亦提供更多机会,让研究生与学者交流意见,加强彼此的合作。



Professor Edward Yeung, Chairman of the CRF Committee, delivered a speech at the CRF Symposium 2016.  
协作研究金委员会主席杨仕成教授于2016年度协作研究金研讨会上致辞。

## Joint Research Schemes

In the 2016/17 academic year, the RGC ran seven Joint Research Schemes with partner agencies in other regions, including the new European Commission/RGC collaboration scheme which aims to foster European-Hong Kong collaboration in academic research.

## Humanities and Social Sciences Prestigious Fellowship Scheme

The Humanities and Social Sciences Prestigious Fellowship Scheme was established to recognize excellence in the Humanities and Social Sciences. It provides relief teachers and supporting funds to the outstanding investigators under the Humanities and Social Sciences Panel to enable them to focus on research work and writing. The fellowship provides resources for the employment of relief teachers, as well as the costs of travel, subsistence and dissemination of outputs. Four prestigious investigators were awarded in the 2016/17 academic year and HK\$2.9 million in total was funded.

## Theme-based Research Scheme

The objective of the Theme-based Research Scheme is to focus academic research efforts of the University Grants Committee (UGC)-funded universities on themes of strategic importance to the long-term development of Hong Kong. Starting from the sixth round exercise, a new theme named "Advancing Emerging Research and Innovations Important to Hong Kong" was added in addition to the three original themes. Four grand challenge topics, namely "Big Data", "Imaging, Robotics and Smart Manufacturing", "Urban Infrastructure" and "E-learning and Digital Citizenship", were introduced with the new theme.

## 合作研究计划

于2016/17学年，研资局分别与不同地区合办七个合作研究计划，当中包括新推出的欧洲委员会与研资局合作计划。此计划旨在推动欧洲各国与香港两方学者的学术研究及合作。

## 人文学及社会科学杰出学者计划

人文学及社会科学杰出学者计划旨在表扬杰出的人文学及社会科学学者。此计划为人文学及社会科学学科小组辖下的优秀研究人员提供替假教师及资助，让他们专心从事研究及写作。计划不单提供资源聘请替假教师，还包括旅费、生活津贴及发表研究结果的费用。于2016/17学年，四名杰出学者获得资助，总额为290万港元。

## 主题研究计划

主题研究计划的目的是集中大学教育资助委员会(教资会)资助大学的学术研究力量，对香港长远发展具策略重要性的主题进行研究。由第六轮计划起，在原有三个主题以外增加了一个名为「促进对香港起重要作用的新兴研究及创新项目」的新主题。新主题下设有四个具挑战性题目，包括「大数据」、「造像、机械人技术及智能制造」、「城市基础设施建设」及「网上学习及数码公民身分」。

The sixth round exercise was held in the 2016/17 academic year. A total of 55 preliminary proposals were received. After the preliminary selection and a vigorous process of peer-review, the RGC allocated HK\$230 million to support seven projects.

第六轮计划于2016/17学年举行。研资局共收到55份初步研究建议书。经过初步筛选及严格的学者评审后，研资局拨款约2.3亿港元，资助共七个研究项目。



Awardees of the TRS 2016/17 (Sixth Round) with the Chairman of the RGC  
研资局主席与2016/17年度主题研究计划(第六轮)得奖者

## Competitive Research Funding Schemes for the Local Self-financing Degree Sector

The RGC first launched the new research funding schemes for the local self-financing degree sector in 2013. They are namely the Faculty Development Scheme, the Institutional Development Scheme and the Inter-Institutional Development Scheme.

The results of the Third Round exercise were announced in August 2016. Out of 217 applications in the Third Round exercise, RGC funded a total of HK\$82.94 million for 66 research projects. Following the completion of the third round exercise, the fourth round of exercise started in November 2016. A total of thirteen local self-financing degree-awarding institutions were eligible for the three funding schemes. The results of the Fourth Round exercise will be announced in August 2017.

## Areas of Excellence Scheme

The Areas of Excellence Scheme provides support to the UGC-funded universities to build upon their existing strengths and develop them into areas of excellence. The seventh round exercise was held in the 2016/17 academic year. A total of 23 eligible preliminary proposals were received. After the preliminary selection and a vigorous process of peer-review, the RGC allocated HK\$231 million to support three projects.

## 本地自资学位界别竞逐的研究资助计划

研资局于2013年起为本地自资学位界别推出三项研究资助计划，分别名为教员发展计划、院校发展计划及跨院校发展计划。

第三轮计划申请拨款结果于2016年8月公布。研资局在第三轮计划共收到217份申请，其中66个研究项目获得资助，拨款合共8,294万港元。随著第三轮计划的结束，第四轮计划在2016年11月展开，共有十三所本地可颁授学位的自资院校符合参与上述三项研究资助计划的资格。第四轮计划的申请结果将于2017年8月公布。

## 卓越学科领域计划

卓越学科领域计划协助教资会资助大学把现有优势发展为卓越学科领域。第七轮计划于2016/17学年举行。研资局共收到23份合资格初步研究建议书。经过初步筛选及严格的学者评审后，研资局拨款约2.31亿港元，资助共三个研究项目。

## Hong Kong PhD Fellowship Scheme

The Hong Kong PhD Fellowship Scheme (HKPFS) continues to enhance internationalisation of our higher education sector. In the first seven rounds of exercises, about 1 260 candidates from more than 72 countries/regions were selected to pursue their PhD studies in Hong Kong.

The Awardees Reception of the HKPFS was held in October 2016. The Steering Committee, Selection Panel Members and representatives of universities, together with the past awardees, were invited to the Awardees Reception to welcome the new awardees.

## 香港博士研究生奖学金计划

研资局继续推行香港博士研究生奖学金计划，推动本地高等教育院校国际化。在首七届计划中，有大概1 260名来自超过72个国家及地区的申请人脱颖而出，在香港修读博士研究生课程。

香港博士研究生奖学金计划的得奖者茶叙已于2016年10月举行，并诚邀了督导委员会委员、遴选小组成员、大学代表，以及历届得奖者出席茶叙，欢迎新一届的得奖者。



HKPFS awardees with Professor Benjamin Wah, Chairman, RGC.  
研资局主席华云生教授与香港博士研究生奖学金计划的得奖者。

## RGC Public Lectures

To share the research findings with the community, researchers of the RGC funded projects have been invited to deliver public lectures since April 2009. In the 2016/17 academic year, the RGC jointly held four RGC Public Lectures on “Particle Physics”, “Life Quality of Elders”, “Belt and Road” and “Cyber Security” with the Hong Kong Science Museum and the Hong Kong Central Library respectively. Active participation from the community was received.

## RGC's Strategic Planning

The RGC is facing challenges arising, for example, from new and emerging research needs of the academic community and high expectation from the society on the standard of research integrity in publicly funded projects. To tackle these challenges, the RGC has taken a number of initiatives.

## 研资局公众讲座

为了与市民分享研究成果，研资局自2009年4月起定期举办公众讲座，邀请获研资局资助的学者，向市民介绍他们的研究工作。研资局于2016/17学年分别与香港科学馆及香港中央图书馆合办共四场公众讲座，以「粒子物理学」、「长者生活质素」、「一带一路」及「网络安全」为题，市民反应热烈。

## 研资局的策略计划

研资局正面对各项挑战，例如学术界的新兴研究需要，以及社会对监管受公帑资助研究项目的操守有很高的期望等。为应对这些挑战，研资局已实行多项措施。

The scope of the RGC Review covers its structure, operations and the portfolio balance of its research funding schemes, and to give recommendations by making reference to the standards and good practice in the operation of research funding agencies in other jurisdictions. The Review is conducted in two phases: Phase I of the Review covers macro issues while Phase II will cover less macro issues. A Task Force was set up under the UGC to oversee the implementation of the Phase I Review. In addition, the UGC commissioned an external consultant to assist the Task Force in the Phase I Review. The consultant conducted data collection through questionnaire surveys, online consultation and face-to-face focus group meetings with a wide pool of stakeholders. Findings and recommendations of the Phase I Review were submitted to the UGC in mid 2017. The UGC accepted the Task Force's report. The report concluded that the current system had worked well and kept reasonable pace with comparable jurisdictions. The system of research support in Hong Kong had reached a good level of maturity and had many strengths and achievements. There had been effective responses to a substantial increase in research activity in Hong Kong within the limitations of available resources, and the RGC had established a system that stood international comparison. The Task Force also made recommendations on various aspects of the RGC's work, such as communication and engagement, data collection, impact and benefit and grant processes. The UGC plans to release the full report in September 2017.

An integrated action plan is being formulated by the UGC and RGC to follow up the recommendations of the Phase I Review. A symposium to engage the front-line researchers will be held in November 2017. The Phase II Review will be conducted after the Phase I Review is completed. The RGC looks forward to working in partnership with the UGC, universities/institutions and other stakeholders with a view to reinforcing its achievements, seeking further improvements and reaching next level of excellence.

研资局检讨的范围涵盖研资局的架构、运作及各研究资助计划的组合分配，并透过参考海外其他研究资助机构的作业标准和良好做法作出建议。有关检讨分两个阶段进行：第一阶段涵盖宏观事宜，第二阶段涵盖微观事宜。教资会成立专责小组，负责监督第一阶段的检讨工作。除此之外，教资会亦委聘了独立顾问辅助专责小组进行第一阶段检讨。独立顾问透过问卷调查、网上咨询及面对面的聚焦小组会议向各方持份者收集意见。第一阶段检讨的结果和建议已于2017年中呈交予教资会。教资会接纳专责小组的报告。根据专责小组的总结，研资局现行的制度运作良好，并能紧贴其他可作比较地区的发展。报告亦指出本港的研究资助制度已发展得相当成熟，并具备不少优势及成果。在有限的资源下，研资局已对本港不断增长的研究拨款需求，作出有效的回应，且建立出一个具国际竞争力的资助制度。专责小组亦就研资局多方面的工作提供建议，包括持份者沟通和参与、数据收集、研究影响和效益，以及审批资助的程序等。教资会计划于2017年9月发布整份检讨报告。

教资会及研资局现正拟备综合行动计划，以跟进第一阶段检讨报告的建议，并将于2017年11月举行研讨会，邀请前线研究员参与有关讨论。第二阶段检讨将于第一阶段检讨工作完结后展开。研资局期待与教资会、大学/院校及其他持份者合作，以期加强成果，寻求进一步改进，并达到更高的卓越水平。

There is an increasing focus on the impact brought about by research projects in our society and economy. We see a need for greater change in the local research culture whereby researchers should be further encouraged to consider actively potential benefits and beneficiaries at the outset and how they will achieve excellence with impact. To this end, the UGC has allocated \$150 million from its Central Allocation Vote for the RGC to launch a new funding scheme called the Research Impact Fund in early 2018 on a pilot basis to encourage more impactful research and foster more collaborative efforts with stakeholders beyond academia. We have also reviewed the current assessment criteria and mechanism of the various research funding schemes and decided to include research impact as one of the assessment criteria and use research impact as a criterion for evaluation on completion of the projects for the research funding schemes concerned.

Last but not least, I would like to take this opportunity to express my heartfelt appreciation to our research colleagues who have devoted their very best to research in the past year, and have great pleasure in introducing the Annual Report for 2016/17.

研究项目能为本地社会和经济带来甚么的影响备受关注。我们认为本地研究文化需要更大的改变。因此，我们会进一步鼓励研究人员在制定研究计划时，积极考虑潜在的效益和受惠人士，以及如何达致具影响力的卓越成就。有见及此，教资会于其中央拨款拨出1亿5,000万元，由研资局以试验形式于2018年初推出名为研究影响基金的竞逐研究资助计划，鼓励本地大学进行更具影响力的研究，并推动与学术界以外的持份者更多合作的机会。我们亦已检讨各项研究资助计划目前的评审准则和机制，并决定将研究影响纳入为其中一项评审准则，及将研究影响订为评估完成有关研究资助计划项目的准则。我们期待研究人员进行更多可转化作应用的研究，以符合香港需要。

最后，我谨此向过去一年每一个致力研究的同事致以由衷谢意，并提交《研究资助局2016/17年报》。

# The Research Grants Council and its Organisation

## 研究资助局及其架构

### The Research Grants Council

The Research Grants Council (RGC) is a non-statutory advisory council operating under the aegis of the University Grants Committee (UGC). It was formally established on 1 January 1991 to replace the Research Sub-Committee of the UGC.

The RGC's members are appointed by the Chief Executive <sup>Note</sup> of the Hong Kong Special Administrative Region (HKSAR) and comprise non-local academics, local academics and local lay persons. All members are appointed on an ad personam basis. The RGC's membership for 2016/17 is listed in Appendix A.

### Terms of Reference

The RGC's Terms of Reference are:

- To advise the HKSAR Government, through the UGC, on the needs of the institutions of higher education in Hong Kong in the field of academic research, including the identification of priority areas, in order that a research base adequate for the maintenance of academic vigour and pertinent to the needs of Hong Kong may be developed.
- To invite and receive, through the institutions of higher education, applications for research grants from academic staff and for the award of studentships and post-doctoral fellowships; to approve awards and other disbursements from funds made available by the HKSAR Government through the UGC for research; to monitor the implementation of such grants and to report at least annually to the HKSAR Government through the UGC.

*Note: The HKSAR Chief Executive has delegated his authority to appoint the RGC members to the Secretary for Education.*

### 研究资助局的组成

研究资助局(研资局)为大学教育资助委员会(教资会)辖下的非法定咨询机构,于1991年1月1日成立,取代当时教资会辖下的研究小组委员会。

研资局的成员由香港特别行政区行政长官委任<sup>注</sup>,包括本地及非本地学者,以及本地的非学术界人士,所有成员均以个人身分获委任。研资局2016/17年度的成员名单载于附录A。

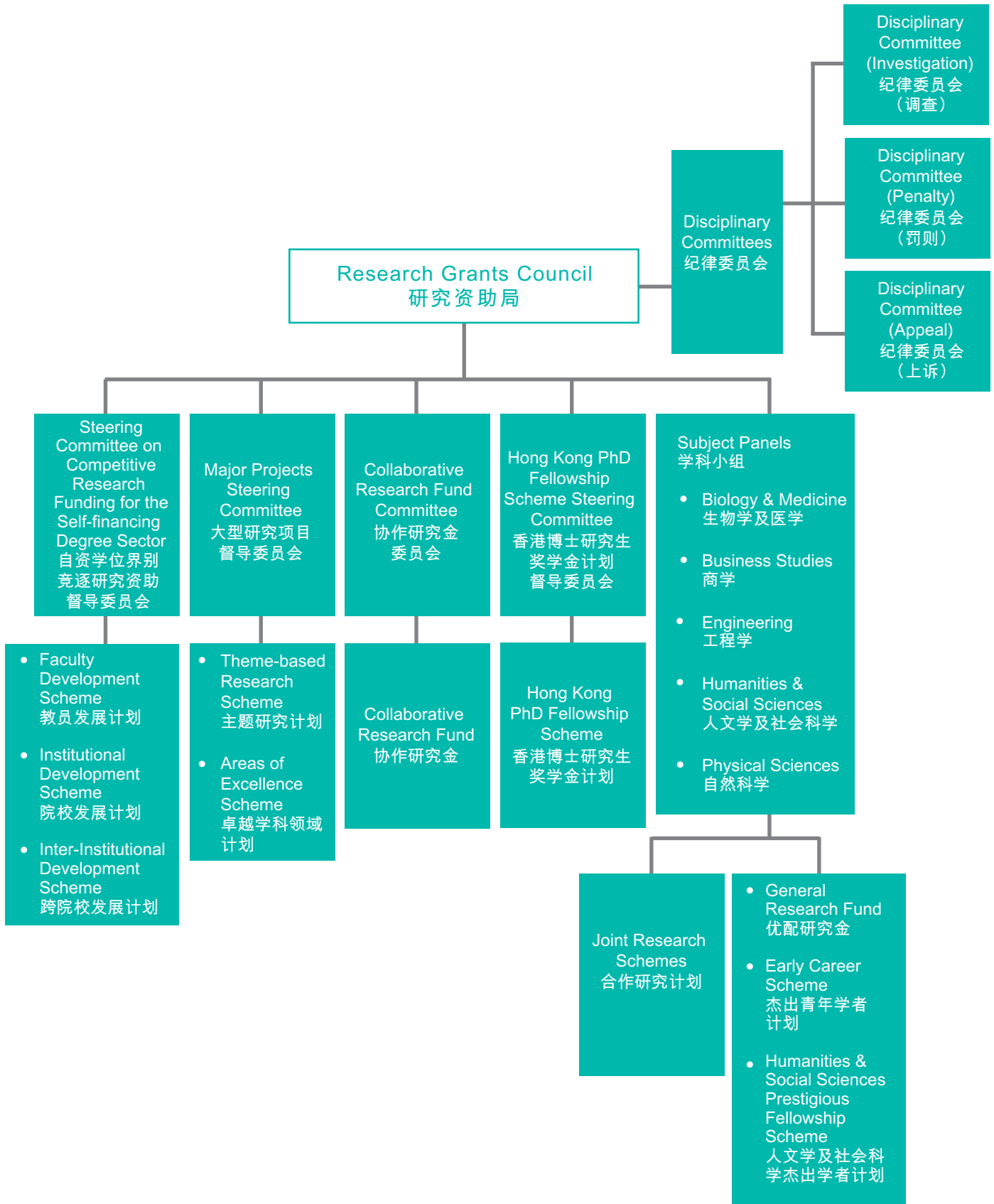
### 职权范围

研资局的职权如下:

- 透过教资会,向香港特别行政区政府建议香港高等教育机构在学术研究上的需要,包括鉴定优先范围,以发展一个足以维持学术蓬勃发展和合乎香港需要的学术研究基础。
- 透过高等教育机构,邀请和接受学术人士申请研究资助以及各类研究生申请奖学金;将香港特别行政区政府透过教资会提供的经费拨作研究资助和其他有关支出之用。此外,并负责监管这些拨款的运用,以及最少每年一次透过教资会向香港特别行政区政府报告。

*注: 香港特别行政区行政长官授权教育局局长委任研资局的成员。*

Chart 1.1: RGC Organisational Structure  
表 1.1：研资局的组织架构





## How the Research Grants Council is Organised

The RGC works in close partnership with the UGC and advises and reports to the Government through the UGC on matters within its purview. The organisational structure of the RGC is presented in Chart 1.1.

The RGC normally meets twice a year, in June and December respectively. The June meeting mainly involves the allocation of research grants submitted under funding schemes such as the General Research Fund, the Early Career Scheme, the Humanities and Social Sciences Prestigious Fellowship Scheme and the Theme-based Research Scheme; and a preliminary consideration of new applications under the Areas of Excellence Scheme. The December meeting is usually devoted to examining resource allocation among various established and new funding initiatives for the following year; the allocation of research grants submitted under the Collaborative Research Fund and the Areas of Excellence Scheme; and a preliminary consideration of new applications under the Theme-based Research Scheme.

## Subject Panels

The following five Subject Panels assist the RGC in its work:

- Biology and Medicine
- Business Studies
- Engineering
- Humanities and Social Sciences
- Physical Sciences

The five subject panels are responsible for the evaluation of applications and monitoring the approved projects under the General Research Fund, the Early Career Scheme, the Humanities and Social Sciences Prestigious Fellowship Scheme and the Joint Research Schemes. These panels consist of academics both local and non-local.

The Subject Panels normally meet once in June every year before the RGC meetings, primarily to select applications for allocations as well as to monitor on-going projects and assess completed projects.

Applications for research grants are assessed through peer review by panel members and external reviewers, all of whom are recognized experts in their fields in or outside Hong Kong. The panels report to the RGC with recommendations on which projects should be funded after their meetings.

## 运作与架构

研资局与教资会紧密合作，就其职权范围内的事务，透过教资会向政府提交建议及报告。研资局的架构，请参阅表 1.1。

研资局一般每年分别于6月及12月召开两次会议。6月份的会议主要讨论多项资助计划如优配研究金、杰出青年学者计划、人文学及社会科学杰出学者计划、主题研究计划的拨款建议，以及对卓越学科领域计划的申请作初步考虑。而12月份的会议则讨论原有及新增拨款计划在下年度的资源分配，以及协作研究金及卓越学科领域计划的拨款建议，并对主题研究计划的申请作初步考虑。

## 学科小组

以下五个学科小组辅助研资局的工作：

- 生物学及医学
- 商学
- 工程学
- 人文学及社会科学
- 自然科学

这五个学科小组负责评审优配研究金、杰出青年学者计划、人文学及社会科学杰出学者计划及合作研究计划等的申请建议书及监察获资助的项目。学科小组的成员为本地学者及非本地学者。

学科小组一般于每年6月在研资局的会议举行前召开会议，主要目的是审批拨款申请，并监察进展中项目的进度，以及对已完成项目进行评核。

学科小组的成员及外部评审员负责审批研究金的申请，他们均为有关学科的海内外学者。学科小组在会议后向研资局提交建议，推荐那些项目应获得拨款。

## Collaborative Research Fund Committee

The Collaborative Research Fund is provided to the UGC-funded universities for the procurement of major research facilities and/or equipment or library collections which could not otherwise be afforded by one university and which could be used for collaborative research or group research activities that operate across disciplines and/or normal institutional boundaries.

The Collaborative Research Fund Committee is charged with selecting proposals for funding support, as well as monitoring the funded projects.

## Major Projects Steering Committee

The Major Projects Steering Committee oversees the Theme-based Research Scheme and the Areas of Excellence Scheme. In respect of the Theme-based Research Scheme, the Committee reviews the grand challenge topics periodically and advises the RGC of any need to invite the Education Bureau to consider changes or additions. It also advises the RGC on the criteria for selecting Theme-based Research Scheme proposals and monitors the funded projects. The Theme-based Research Scheme Selection Panel under the Major Projects Steering Committee assists in implementing the shortlisting, assessment and monitoring of projects.

In respect of the Areas of Excellence Scheme, the Committee advises the RGC on the strategy and criteria for selecting potential areas of excellence, identifies and prioritizes such proposals for funding support, as well as monitors funded projects.

## 协作研究金委员会

协作研究金向教资会资助大学提供资助，购置单一大学难以负担的大型研究设备、仪器及图书馆资料，以进行跨学科及／或跨院校而具合作性的集体研究工作。

协作研究金委员会负责评审申请建议书，并监察获资助项目的进度。

## 大型研究项目督导委员会

大型研究项目督导委员会负责监督主题研究计划及卓越学科领域计划。在主题研究计划方面，督导委员会会定期检讨具挑战性的题目，并视乎需要，建议研资局请教育局考虑更改或增加题目。督导委员会亦会就甄选主题研究计划建议书的准则向研资局提供意见，以及监察获资助的项目。督导委员会辖下的主题研究计划遴选小组协助执行甄选、评核及监察各研究项目。

至于卓越学科领域计划，督导委员会会在策略和准则上，向研资局就甄选具发展潜质的卓越学科领域方面提供意见，并挑选值得资助的建议和订出优先次序，以及监察获资助的项目。

## Steering Committee on Competitive Research Funding for the Self-financing Degree Sector

The Steering Committee on Competitive Research Funding for the Self-financing Degree Sector was established in October 2013 by the RGC to oversee the implementation details of the Faculty Development Scheme, the Institutional Development Scheme, and the Inter-Institutional Development Scheme, such as formation of assessment panel, application process and procedures, eligibility and assessment criteria, monitoring and assessment of approved projects, and put forward recommendations for funding for consideration by the RGC.

## Hong Kong PhD Fellowship Scheme Steering Committee

The Hong Kong PhD Fellowship Scheme Steering Committee was established to steer and oversee the implementation of the scheme. The Committee comprises the RGC Chairman, one RGC Member, and one member from each UGC-funded university. This is to ensure that the scheme will run effectively and tie in with the student recruitment exercises of the universities.

## Disciplinary Committees

The RGC attaches great importance to research integrity. Researchers are expected to observe the highest standard of integrity in the conduct of their researches funded under the funding schemes administered by the RGC. Any research improprieties found will be dealt with fairly and seriously. To this end, the RGC set up three Disciplinary Committees (DCs), namely DC (Investigation), DC (Penalty) and DC (Appeal), to handle cases of alleged research improprieties.

The DC (Investigation) gives advice to the RGC on the investigation procedures in handling alleged improprieties and make recommendations to the RGC on whether the alleged cases are substantiated or not; the DC (Penalty) gives advice to the RGC on principles and guidelines in determining the level of penalty and make recommendations to the RGC on the level of penalty to be imposed on substantiated cases; and the DC (Appeal) handles appeal cases if they arise and gives advice to the RGC on policies and procedures in handling appeal cases.

Membership details of various RGC committees/panels for 2016/17 are in Appendix C.

## 自资学位界别竞逐研究资助督导委员会

研资局于2013年10月成立自资学位界别竞逐研究资助督导委员会，负责督导教员发展计划、院校发展计划及跨院校发展计划推行的详情，例如组成评审委员会、申请手续及处理程序、申请人资格及评核准则、监察及评核受资助的项目，以及提交拨款建议，供研资局考虑。

## 香港博士研究生奖学金计划督导委员会

香港博士研究生奖学金计划督导委员会成立的目的在于督导和监察计划的推行。委员会由研资局主席、一名研资局成员及每间教资会资助大学一名成员组成，以确保这项计划在配合大学的收生程序下得以顺利推行。

## 纪律委员会

研资局十分著重学术研究的操守，期望受资助项目的研究员在进行研究时遵守最严格的操守标准。如发现任何不当研究行为，研资局定当公正及严肃地处理。为此，研资局成立了三个纪律委员会，分别为纪律委员会(调查)、纪律委员会(罚则)和纪律委员会(上诉)，以处理涉嫌不当研究行为的个案。

纪律委员会(调查)就处理涉及不当研究行为的调查程序向研资局提供意见，并就个案的指控是否成立向研资局作出建议；纪律委员会(罚则)就决定刑罚水平的原则和指引向研资局提供意见，并就指控成立的个案的刑罚水平向研资局作出建议；而纪律委员会(上诉)则处理可能出现的上诉个案，并就处理上诉个案的政策及调查程序向研资局提供意见。

2016/17年度各研资局委员会／学科小组的成员名单详列于附录C。

## Research Endowment Fund

### Background of the Research Endowment Fund

In February 2009, a HK\$18 billion Research Endowment Fund was established. The UGC is responsible for advising on the policies governing the operation, development and investment of the fund. From the 2010/11 academic year onwards, the investment income of at least HK\$14 billion out of the total of HK\$18 billion is used to replace the bulk of the recurrent subvention from the Government allocated to the RGC, thus providing greater funding stability and certainty of funding to support universities' research projects. In 2011/12, the Government secured the support of the Legislative Council to inject HK\$5 billion into the fund starting from 2012/13. Of that, the investment income of HK\$3 billion is for funding researches of local self-financing degree-awarding institutions on a competitive basis to enhance academic and research development. The investment income of the remaining HK\$2 billion replaces the recurrent provision of HK\$100 million to the RGC to provide stable research funding for the UGC-funded universities.

### Earmarked Research Grants

The investment income of HK\$16 billion of the Research Endowment Fund provides the source of funding to the Earmarked Research Grants to support research projects and research activities. It is the main form of funding for academic research in the eight UGC-funded universities and is allocated on a competitive basis.

The Earmarked Research Grants complement the Block Grants from the UGC, part of which provide universities with funds for research infrastructure and outlays such as researchers' salaries, laboratory costs and other expenses (e.g. accommodation and equipment) related to UGC or RGC-funded research.

In the 2016/17 academic year, the RGC allocated about HK\$891 million for the Earmarked Research Grants.

### Theme-based Research Scheme

The investment income of HK\$4 billion of the Research Endowment Fund, is deployed to support the Theme-based Research Scheme involving themes of a more long-term nature and strategically beneficial to the development of Hong Kong. A Steering Committee for Research Themes was set up by the Government to advise on the selection of research themes.

## 研究基金

### 研究基金的背景

2009年2月，获拨款180亿港元的研究基金正式成立。教资会负责就监管基金运作、发展及投资方面的政策提供意见。由2010/11学年开始，总数180亿港元的基金中不少于140亿港元用作本金，当中所赚取的投资收益取代目前由政府拨予研资局的经常资助金的一大部分，为资助大学的研究项目提供更稳定明确的拨款。此外，在2011/12年度，政府得到立法会的支持，由2012/13年度起向研究基金注资50亿港元。当中30亿港元的投资收益用以资助颁授本地自资学位的院校，透过竞逐研究拨款，推动其学术和研究发展。其余20亿港元为教资会资助大学提供稳定的经费来源，其投资收入会取代每年拨给研资局的一亿元经常拨款。

### 研究用途补助金

研究用途补助金的资金来自160亿港元研究基金的投资收益，用以资助研究项目及活动。研究用途补助金是本地八所受教资会资助大学进行学术研究的主要资金来源，并会以竞逐形式决定拨款水平。

研究用途补助金与教资会向各大学提供的整体补助金相辅相成。部份整体补助金可用作支付研究基础设施、研究人员的薪酬、实验室开支，以及教资会及研资局资助的其他研究项目的一般费用（例如办公室地方及设备）。

于2016/17学年，经研资局所分配的研究用途补助金总额约为8.91亿港元。

### 主题研究计划

从研究基金40亿港元本金赚取的投资收益，用作资助主题研究计划，以进行较长期及策略上有利于香港发展的主题研究。政府成立了一个督导委员会就挑选研究主题事宜向政府提供意见。

## Competitive Research Funding Schemes for Local Self-financing Degree Sector

The investment income of HK\$3 billion of the Research Endowment Fund is designated to support the academic and research development of local self-financing degree sector on a competitive basis, through the Faculty Development Scheme, the Institutional Development Scheme and the Inter-Institutional Development Scheme.

## UGC Funds

### Areas of Excellence Scheme

The Areas of Excellence Scheme was launched by the UGC in 1998 to enable the UGC-funded universities to build upon their existing strengths and develop them into areas of excellence. The scheme is now administered by the RGC. The funding of around HK\$90 million per annum continued to come from the UGC.

### Hong Kong PhD Fellowship Scheme

Established in 2009 by the RGC, the Hong Kong PhD Fellowship Scheme aims at attracting the best and brightest students in the world to pursue their PhD programmes in Hong Kong's UGC-funded universities.

The Fellowship provides a monthly stipend of HK\$20,000 and a conference and research related travel allowance of HK\$10,000 per year for the awardees for a period of three years.

## 本地自资学位界别竞逐研究资助计划

政府指定研究基金中30亿港元须以竞逐形式资助本地自资学位界别的学术及研究发展，每年的投资收益用以资助教员发展计划、院校发展计划及跨院校发展计划。

## 教资会拨款

### 卓越学科领域计划

教资会于1998年推出卓越学科领域计划，旨在巩固及发挥香港在研究方面的现有优势，并使其发展为卓越学科领域。计划现由研资局负责管理。教资会继续为计划提供拨款，每年约9,000万港元。

### 香港博士研究生奖学金计划

研资局于2009年成立香港博士研究生奖学金计划。此项计划旨在吸引世界各地优秀的研究生，来港在教资会资助大学修读以研究为本的博士学位课程。

奖学金将为获奖的博士研究生提供每月2万港元的津贴，以及每年1万港元的会议及研究活动交通津贴，为期三年。

# Research Funding Schemes and RGC Activities

## 研究资助计划及研资局的工作

### RGC Activities funded by Research Endowment Fund

#### 研资局由研究基金资助的工作总览

#### HIGHLIGHTS

#### 提要

*In the 2016/17 academic year, the total funding available for the Earmarked Research Grants was about HK\$891 million.*

2016/17 学年研究用途补助金总额约为 8.91 亿港元。

### Allocation of the Earmarked Research Grants: 2016/17 Academic Year

### How the Earmarked Research Grants were Allocated

The bulk of Earmarked Research Grants funding was allocated by the RGC in response to competitive bids received from the universities. Funding was budgeted for six broad categories as follows:

- HK\$618 million in response to competitive bids received under the annual General Research Fund exercise. To cater for special research needs in specific areas, funding has been earmarked to support special needs under the General Research Fund:
  - “Clinical Research Fellowship” to support young medical fellows conducting clinical research.
  - “Employment of Relief Teacher under the Humanities and Social Sciences Disciplines” to free up the Principal Investigators’ time for personal research and writing.
  - “Provision of Research Experience for Undergraduate Students” to provide undergraduate students with opportunities of working with researchers of the General Research Fund projects and gaining research experience.

### 2016/17 学年研究用途补助金的分配

### 研究用途补助金的具体分配

研资局研究用途补助金主要透过竞争形式拨予各大学成功申请资助计划的研究项目。研究用途补助金拨款预算主要分为六大类别：

- 6.18 亿港元拨予成功申请本年度的优配研究金的项目。为应付某些范畴在研究上的特别需要，优配研究金计划设立指定用途的款项，以资助有关的需要：
  - 为支持年轻临床医学人员进行临床医学研究而设的「临床医学研究资助计划」。
  - 「聘请替假教师安排」专为人文学及社会科学的研究项目而设，其目的是提供资助让首席研究员聘请替假教师代替其教学工作，以腾出工作时间从事研究及写作。
  - 「本科生研究津贴」为本科生提供机会与获优配研究金资助的项目研究人员共同工作，让他们汲取研究经验。

## Research Funding Schemes and RGC Activities

### 研究资助计划及研资局的工作

- HK\$102 million reserved for the Early Career Scheme to support and nurture junior new academics with a view to attracting the best junior faculty academics to strengthening the teaching-research nexus.
- HK\$110 million reserved for the Collaborative Research Fund to strengthen the research base, and support group research proposals that promote academic collaboration among universities and synergy between research teams.
- HK\$49 million reserved for the Joint Research Schemes established with other regions.
- HK\$10 million reserved for the Humanities and Social Sciences Prestigious Fellowship Scheme to enhance support to research in disciplines under the Humanities and Social Sciences Panel.
- HK\$1.2 million for the Research Postgraduate Students Conference/Seminar Grants to support inter-institutional and inter-disciplinary exchanges among postgraduates and to provide opportunities for them to meet and interact with overseas scholars.
- 1.02 亿港元拨作杰出青年学者计划，用于支持及培育新进的青年学者，以吸引最杰出的青年学者加强发展教学研究纽带。
- 1.1 亿港元拨作协作研究金，用于巩固研究基础，以及资助由不同大学及研究队伍共同参与的集体合作研究项目，以鼓励大学在学术上的合作及发挥协同效应。
- 4,900 万港元拨款，供与其他地区合办的合作研究计划之用。
- 1,000 万港元拨作人文学及社会科学杰出学者计划，为人文学及社会科学学科的学者提供更佳支援。
- 120 万港元拨作支持研究生进行跨院校及跨学科的交流活动，以及与海外学者会面及交流。

**HIGHLIGHTS**  
提要

*In the 2016/17 academic year, the total General Research Fund allocation was HK\$599 million, supporting 969 projects. As at 30 June 2017, the number of on-going projects is 4 538.*

在2016/17学年，优配研究金拨款总额达5.99亿港元，资助研究项目达969个。截至2017年6月30日，4 538个资助研究项目仍在进行中。

## General Research Fund

Altogether, 2 813 proposals across a wide range of subject disciplines were received under the General Research Fund exercise, seeking grants totaling HK\$2.95 billion. The bids were initially reviewed by external reviewers, and then assessed by the relevant Subject Panels.

Following rigorous peer review via the RGC's international network of external reviewers, on advice from the Subject Panels, the RGC approved allocating an amount of HK\$599 million to support 969 projects with an average grant of about HK\$0.62 million in the 2016/17 academic year. The overall success rate is 34%.

Allocation of the General Research Fund for the 2016/17 academic year by broad subject category is summarised in Chart 3.1.

## 优配研究金

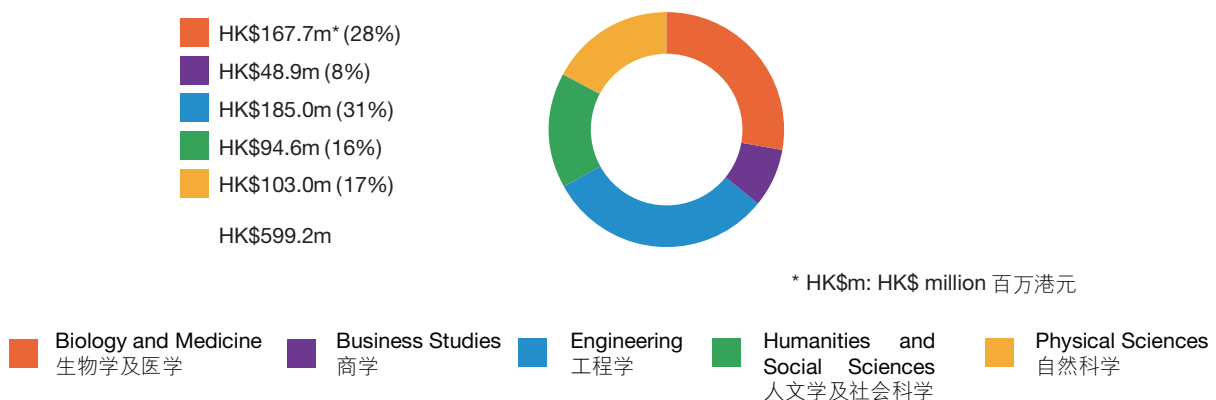
是年度向研资局申请优配研究金的研究项目共2 813个，研究范围广泛，申请金额达29.5亿港元。申请先由外部评审员评审，再由有关的学科小组作出审阅。

经研资局的外部评审员国际网络进行严格学者评审后，研资局根据学科小组的意见，于2016/17学年拨出5.99亿港元予969个研究项目，平均每个项目约获拨款62万港元，整体成功率为34%。

表3.1列出2016/17学年各学科所获优配研究金的分配。

Chart 3.1 : Allocation of the General Research Fund by Broad Subject Category in the 2016/17 Academic Year

表3.1 : 2016/17学年各学科所获优配研究金的分配



Note: Figures may not add up to the total due to rounding.

注：由于进位原因，合计之数未必等于全部数字的总和。



- **Clinical Research Fellowship**

The RGC awarded research fellowship to support young clinicians in undertaking clinical research. The scheme has been implemented in close partnership with the two local universities which offer medical training (i.e. The Chinese University of Hong Kong and The University of Hong Kong) and is part of the overall efforts to create more opportunities for promising clinicians to be exposed to and to engage in clinical research.

Under the scheme, a supported fellow would be awarded an annual grant equally contributed by the RGC and the hosting university for up to three years to undertake and complete a clinical research project. The amount of fellowship award for each application is capped at HK\$1.2 million per year.

- **Employment of Relief Teacher under the Humanities and Social Sciences Disciplines**

The objective of this funding support is to address the special needs of the Humanities and Social Sciences academics. The humanities and social sciences projects normally require employment of relief teachers to free up the Principal Investigators' time for personal research and writing, rather than funding for research assistants and research infrastructure (e.g. equipment). The duration of employment of relief teachers normally ranges from four to a maximum of 12 months for a typical 24 to 36-month project.

- **Provision of Research Experience for Undergraduate Students**

This scheme is to provide undergraduate students with opportunities of working with researchers of the General Research Fund projects and gaining research experience. Principal Investigators can include the provision of a monthly allowance of up to HK\$2,500 to an undergraduate student helper for a maximum period of ten months for each General Research Fund application. The universities have agreed to provide the same amount of allowance to the students as the RGC on a matching basis so as to allow more students to benefit from the scheme.

- **临床医学研究资助计划**

研资局在优配研究金中，提供研究资助金，以支持年轻临床医学人员进行临床医学研究。该计划乃与香港中文大学及香港大学两家提供医疗训练的本地大学紧密合作推行，致力为具潜质的临床医学人员提供更多机会，以参与及从事临床医学的研究。

此计划让获资助者每年获得由研资局及所属大学提供相等份额的拨款，最多资助三年，以从事及完成临床医学研究计划。此计划每个申请的资助金额上限为每年120万港元。

- **人文学及社会科学学科的聘用替假教师安排**

此计划设立的目的是为照顾人文学及社会科学学者的特别需要。这些学科的研究项目多涉及个人研究或撰写工作，需要首席研究员腾出工作时间来进行，并非可以聘用研究助理或购置研究设施来完成，故需聘请替假教师代替其教学工作，以腾出时间从事研究及写作。一般而言，24至36个月的研究项目可申请聘用四个月至最多12个月的替假教师。

- **本科生研究津贴**

此计划为本科生提供与优配研究金获资助研究项目的研究人员一同工作的机会，让他们汲取研究经验。首席研究员可于申请建议书中申请为本科生提供为期最多十个月，每月2,500港元的津贴。大学亦已同意提供与研资局相等份额的拨款，令更多本科生受惠。

**HIGHLIGHTS**  
提要

*In the 2016/17 academic year, the total Early Career Scheme allocation approved was HK\$89 million supporting 155 projects. As at 30 June 2017, the number of on-going projects is 641.*

在2016/17学年，杰出青年学者计划拨款总额约8,900万港元，资助研究项目达155个。截至2017年6月30日，641个资助研究项目仍在进行中。

## Early Career Scheme

The Early Career Scheme aims at nurturing new junior faculty members.

A total of 366 proposals were received under the Early Career Scheme, seeking grants totaling HK\$329 million. Like the bids for the General Research Fund, the bids were first reviewed by external reviewers, and then assessed by the relevant Subject Panels.

Following the rigorous peer review via the RGC's international network of external reviewers, on advice from the Subject Panels, the RGC awarded an amount of HK\$89 million to support 155 projects with an average grant of about HK\$0.58 million in the 2016/17 academic year. The overall success rate is 42%.

Allocation of the Early Career Scheme for the 2016/17 academic year by broad subject category is summarised in Chart 3.2.

## 杰出青年学者计划

杰出青年学者计划的目的在于培育新进的青年学者。

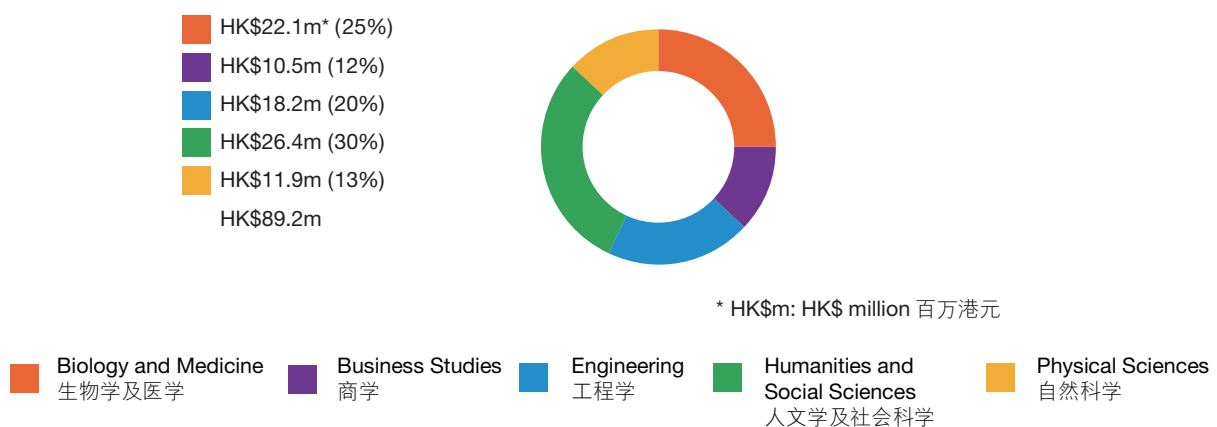
杰出青年学者计划接获研究项目申请共366个，申请金额达3.29亿港元。与优配研究金的申请相同，申请会先由外部评审员评审，再由有关的学科小组作出审阅。

经研资局的外部评审员国际网络进行严格学者评审后，研资局根据学科小组的意见，于2016/17学年拨出8,900万港元予155个研究项目，平均每个项目约获拨款58万港元。整体成功率为42%。

表3.2列出2016/17学年各学科所获杰出青年学者计划的分配。

Chart 3.2 : Allocation of the Early Career Scheme by Broad Subject Category in the 2016/17 Academic Year

表3.2：2016/17学年各学科所获杰出青年学者计划的分配



## HIGHLIGHTS 提要

*In the 2016/17 academic year, the total Collaborative Research Fund allocation was HK\$110 million supporting 18 projects. As at 30 June 2017, the number of on-going projects is 68.*

在2016/17学年，协作研究金拨款总额为1.1亿港元，资助研究项目达18个。截至2017年6月30日，68个资助研究项目仍在进行中。

## Collaborative Research Fund

The Collaborative Research Fund (CRF) is provided to the UGC-funded universities for the procurement of major research facilities and/or equipment or library collections to support collaborative research, or group research activities that operate across disciplines and/or normal institutional boundaries.

The CRF exercise adopts a two-stage application process consisting of submission of preliminary and full proposals. In the 2016/17 academic year, the RGC received a total of 173 preliminary proposals, consisting of 17 equipment bids and 156 group research applications, seeking grants totally HK\$83 million and HK\$1,114 million respectively.

After a peer review process and interviews of selected research teams by the Collaborative Research Fund Committee (CRFC), 18 full proposals were eventually funded at a total amount of HK\$110 million.

From the 2008/09 academic year onwards, the RGC holds an annual CRF Symposium for on-going funded projects to facilitate exchange of views among the CRFC members, research teams and researchers from various universities.

The 2016 Symposium was held in December 2016 at the Hong Kong Polytechnic University. Eighteen on-going projects funded in the 2014/15 academic year were presented in the Symposium.

## 协作研究金

协作研究金向由大学合作的研究项目提供资助，购置主要的研究设备、仪器或图书馆资料以进行协作研究，或让院校进行跨学科及／或跨院校的集体研究。

协作研究金计划采用两个阶段的申请程序，包括提交初步及具体建议书。研资局于2016/17学年共接获173份初步建议书，包括17份购置器材的申请及156项资助集体合作研究的申请，申请资助额分别为8,300万港元及11.14亿港元。

经过学者评审及协作研究金委员会与个别研究队伍会面后，研资局落实资助其中18项申请，资助总额为1.1亿港元。

由2008/09学年起，研资局为进行中的研究项目举办周年协作研究金研讨会，以促进协作研究金委员会委员与研究队伍及不同大学的研究人员的交流。

2016年度协作研究金研讨会已于2016年12月假香港理工大学举行。在是次研讨会中，发布了18个于2014/15学年获资助的研究项目的中期成果。

## HIGHLIGHTS 提要

*In the 2016/17 academic year, the total Joint Research Schemes allocation was HK\$42.73 million for the Joint Research Schemes with different regions supporting 57 collaborative projects and exchange fellowships. As at 30 June 2017, the number of on-going projects is 157.*

在2016/17学年，研资局与不同地区分别合办的合作研究计划拨款总额为4,273万港元，共资助57个研究合作项目及交流计划。截至2017年6月30日，157个资助研究项目仍在进行中。

## Joint Research Schemes

### Project Grants

- **National Natural Science Foundation of China/RGC Joint Research Scheme**

The National Natural Science Foundation of China/RGC Joint Research Scheme was launched in November 1998. The scheme aims to promote collaboration in research between researchers/research teams in Hong Kong and their counterparts in Mainland China, in areas of common interest to complement each other's strengths. There are six focus areas:

- Information Technology
- Life Science
- Management Science
- Marine and Environmental Science
- Medicine
- New Materials Science

The scheme provides funding to support actual expenses, inclusive of passage and subsistence. In the 2016/17 academic year, a total of 136 eligible preliminary proposals were received, seeking a total of HK\$160 million of RGC grants. After shortlisting, and in consultation with the National Natural Science Foundation of China, 39 proposals were selected for submission of full proposals.

Following a peer review process and detailed discussions by the Joint Selection Committee comprising experts from both the National Natural Science Foundation of China and the RGC, 22 proposals were finally selected for funding support at a total amount of HK\$24.21 million.

## 合作研究计划

### 项目补助金

- **国家自然科学基金委员会及香港研资局联合科研资助基金**

研资局与国家自然科学基金委员会于1998年11月成立联合科研资助基金。此计划旨在促进香港与内地的研究人员或队伍在双方具有共同兴趣的范围上展开合作研究，以收相辅相成之效。资助的合作范畴为以下六个重点领域：

- 信息科学
- 生物科学
- 管理科学
- 海洋与环境科学
- 医学科学
- 新材料科学

此计划支持研究的实际开支，包括交通及生活费。计划于2016/17学年内共收到136份合格的初步建议书，申请金额约1.6亿港元。经研资局与国家自然科学基金委员会的甄选，39个项目获选可提交具体建议书。

申请均经由学者评审，并经过国家自然科学基金委员会与研资局共同组成的联合甄选委员会详细讨论，最后对22个申请项目提供资助，资助总额为2,421万港元。

- **The French National Research Agency/RGC Joint Research Scheme**

Launched in the 2012/13 academic year, this scheme aims to further strengthen the collaboration between French and Hong Kong research communities in areas of mutual interest.

In the 2016/17 academic year, 22 eligible applications were received, seeking total RGC grants of HK\$57.68 million. Following a peer review process and rigorous selection by the experts from the French National Research Agency and the RGC, three proposals were selected for funding support with total RGC grants of HK\$6.48 million.

- **Scottish Funding Council/RGC Joint Research Scheme**

Launched in the 2014/15 academic year, this scheme aims to promote and support collaboration between scientists in the Scotland and Hong Kong. Proposals in the fields of Life Sciences and Energy will be invited under the scheme.

The RGC allocated HK\$1.57 million in the last round exercise to support a total of 13 projects.

- **European Commission (EC)/RGC Collaboration Scheme**

Launched in the 2016/17 academic year, this scheme supports the participation of researchers in universities funded by the UGC in Hong Kong in joint research projects conducted under the framework of the European Union's "Horizon 2020". Both research project proposals in science disciplines (viz. biology and medicine, engineering and physical sciences) and exchange proposals in all disciplines will be accepted.

Two rounds of exercise were held in the 2016/17 academic year. Four applications were received, seeking about HK\$9 million dollars of RGC grants. All four applications were supported with total RGC grants of HK\$9 million.

- **法国国家科研署与研资局合作研究计划**

本计划于2012/13学年推出，旨在进一步加强香港与法国的科研人员就共同感兴趣的领域上的合作。

在2016/17年度，研资局共收到22份合资格的申请，申请金额约5,768万港元。经学者评审及研资局与法国国家科研署的严格甄选后，选出三份申请批予资助。研资局合共批出648万港元。

- **苏格兰拨款委员会与研资局合作研究计划**

本计划于2014/15学年推出，旨在推广及支持苏格兰与香港两地科研人员之间的合作研究。本计划接受生命科学和能源两个领域的研究项目申请。

研资局于过去一轮的计划合共拨出157万港元，以资助十三个项目。

- **欧洲委员会与研究资助局合作计划**

本计划于2016/17学年推出，旨在推动欧洲各国与香港两地学者的学术研究及合作，并资助香港研究人员参与在欧盟「地平线2020」框架下的合作研究项目。此合作计划接受研究项目（适用于生物学及医学、工程学及自然科学等学科）及交流项目（适用于所有学科）的申请。

研资局于2016/17学年进行了两轮计划，合共收到四个申请，申请金额约900万港元，最终四份申请获批资助，资助总额为900万港元。

## Travel/Conference Grants

### • **Germany/Hong Kong Joint Research Scheme**

In the 1996/97 academic year, in collaboration with the German Academic Exchange Service (DAAD), the RGC launched a joint research scheme to encourage collaborative research between scholars in Germany and Hong Kong.

The grants provide researchers with passage and a subsistence allowance for a maximum period of two years enabling them to visit their counterparts to discuss or conduct research. The scheme is operated on an annual basis.

In the 2016/17 academic year, 37 applications covering a wide range of subjects were received, seeking about HK\$2.67 million of RGC grants. Experts from both Hong Kong and Germany jointly selected 12 research proposals for about HK\$1.02 million RGC grants.

### • **PROCORE – France/Hong Kong Joint Research Scheme**

In 1998, the RGC and the French Consulate in Hong Kong agreed to launch a joint research scheme to encourage and promote scientific collaboration between France and Hong Kong by providing grants for researchers to make exchange visits.

In the 2016/17 academic year, a total of 49 applications were received. Among these applications, 45 were travel grant requests and four were conference/workshop grants applications. The total amount of RGC grants sought was about HK\$3.35 million.

Of these, ten applications including nine travel grants and one conference/workshop grant were approved, with about HK\$0.7 million RGC grants awarded.

## 旅费／会议补助金

### • **德国与香港合作研究计划**

1996/97 学年，研资局与德国学术交流协进会合作，推出合作研究计划，促进德国与香港学者合作进行研究。

拨款用于支付研究人员为期最多两年的交通及生活津贴，让两地的研究人员携手合作，商讨及进行研究。此计划每年进行一次遴选。

在 2016/17 学年，双方共接获的申请有 37 份，涵盖学科甚为广泛，申请金额约 267 万港元。本港及德国的学者最终共同选出 12 份申请予以资助，研资局给予的资助金额约 102 万港元。

### • **法国与香港合作研究计划**

1998 年，研资局与法国驻港领事馆协议合办此项合作研究计划，资助研究人员互访交流，藉以鼓励及促进法国与香港合作进行科学研究。

2016/17 学年，双方共接获 49 份申请，其中 45 份是旅费补助金申请，其余四份是会议／工作坊补助金申请。申请金额约 335 万港元。

在上述申请中，共有十份申请获得拨款，其中九份是旅费补助金申请，一份是会议／工作坊补助金申请，研资局批出的资助金额约 70 万港元。

## Fellowships

- **Fulbright – RGC Hong Kong Senior Research Scholar/Research Scholar Award Programmes**

Since 2002/03 academic year, the RGC has collaborated with the US Consulate-General in Hong Kong in launching the Fulbright – RGC Hong Kong Senior Research Scholar Award Programme (Senior Programme) to support Hong Kong scholars to undertake research work in the United States. The programme awards fellowships, each at a maximum of HK\$0.35 million for up to ten months in each year. A supplementary grant of up to HK\$0.15 million per application may be provided to meet research expenses in justified cases. In the 2016/17 exercise, this Senior Programme attracted nine applications. Two local scholars were supported with roughly HK\$0.93 million.

In addition, the Fulbright – RGC Hong Kong Research Scholar Award Programme (Junior Programme) was first launched in the 2006/07 academic year. The programme provides sponsorship of up to HK\$0.12 million for ten months to each local PhD student. In the 2016/17 academic year, 53 applications were received. Four local PhD students were supported with about HK\$0.4 million RGC grants.

## 研究奖助金

- **富布莱特－研资局香港学人／青年学人计划**

由2002/03学年开始，研资局与香港美国总领事馆合作，推出富布莱特－研资局香港学人计划，资助香港学者前赴美国进行研究工作。在此计划下，每位学者可获最高35万港元的资助，进行每年为期最多十个月的交流。如有需要，每名申请者最高可获15万港元额外津贴，作为支付研究开支之用。此计划在2016/17学年收到九份申请，以约93万港元资助了两位本地学者。

此外，富布莱特－研资局香港青年学人计划于2006/07学年推出。在这项计划下，每位本地研究生可获最高12万港元进行为期最多十个月的交流。在2016/17学年收到合共53份申请，当中有四位本地研究生获研资局资助共约40万港元。

## HIGHLIGHTS 提要

*In the 2016/17 academic year, an amount of HK\$2.9 million was awarded to support four prestigious investigators under the Humanities and Social Sciences Prestigious Fellowship Scheme. As at 30 June 2017, the number of on-going projects is 17.*

在2016/17学年，人文学及社会科学杰出学者计划拨出290万港元资助四名杰出学者。截至2017年6月30日，17个资助研究项目仍在进行中。

### Humanities and Social Sciences Prestigious Fellowship Scheme

The Humanities and Social Sciences Prestigious Fellowship Scheme was implemented to enhance support to research in disciplines under the Humanities and Social Sciences Panel. The fellowship not only provides resources for the employment of relief teachers, but also cost of travel, subsistence and dissemination of outputs.

After rigorous peer review via the RGC's international network of external reviewers, on the advice of the Selection Committee, the RGC awarded an amount of HK\$2.9 million to support four prestigious investigators with an average fellowship of about HK\$0.73 million in the 2016/17 academic year. The overall success rate is 24%.

### 人文学及社会科学杰出学者 计划

人文学及社会科学杰出学者计划的目的是为人文学及社会科学学科的学者提供更佳支援。计划不单提供资源聘请替假老师，还包括旅费、生活津贴及发表研究结果的费用。

经研资局的外部评审员国际网络进行严格学者评审后，研资局根据遴选委员会的意见，于2016/17学年拨出290万港元资助四名杰出学者，平均每名约73万港元。整体成功率为24%。



HIGHLIGHTS  
提要

*In the 2016/17 academic year, the RGC provided HK\$0.58 million for the Research Postgraduate Students Conference/Seminar Grants supporting 12 conferences/seminars.*

在2016/17学年，研究生会议／研讨会补助金拨款额58万港元，资助12个会议／研讨会。

## Research Postgraduate Students Conference/Seminar Grants

The grant is provided to support and promote inter-institutional and inter-disciplinary exchanges among postgraduate students of the UGC-funded universities, and to provide opportunities for postgraduate students of these universities to meet and interact with eminent scholars from outside Hong Kong.

Specifically, the scheme aims to facilitate the organisation of locally held inter-institutional conferences/seminars targeted at postgraduate students.

The grant is given in the form of travel grants to cover the travel and associated costs of inviting visiting scholars to attend and speak at conferences/seminars in Hong Kong.

Applications are received all year round. Grants are given subject to the availability of funds set aside by the RGC.

In the 2016/17 academic year, the RGC approved 12 applications with a total funding of HK\$0.58 million.

## 研究生会议／研讨会补助金

是项补助金是为支持及促进受教资会资助大学的研究生进行跨院校及跨学科交流而设，亦资助研究生与海外的卓越学者会面及交流。

是项计划旨在资助于本地举行，并以研究生为对象的跨院校会议及研讨会。

补助金以补助旅费的形式发放，用以支付邀请学者来港出席会议／研讨会及发表演说所需的旅费及有关开支。

此项补助金全年接受申请，批核与否则视乎研资局的预留拨款金额是否有馀而定。

于2016/17学年，研资局批准了12项申请，资助总额为58万港元。

## HIGHLIGHTS 提要

*In the 2016/17 academic year, the Theme-based Research Scheme allocated HK\$230 million to support seven projects. As at 30 June 2017, the number of on-going projects is 25.*

在2016/17学年，主题研究计划拨款总额达2.3亿港元，资助共七个研究项目。截至2017年6月30日，25个资助研究项目仍在进行中。

## Theme-based Research Scheme

The objective of the Theme-based Research Scheme is to focus academic research efforts of the UGC-funded universities on themes of strategic importance to the long-term development of Hong Kong.

The Steering Committee for Research Themes was set up by the Government to advise on the selection of research themes. Starting from the 2016/17 academic year, a new theme “Advancing Emerging Research and Innovations Important to Hong Kong” was introduced. The current four themes are:

- (a) Promoting Good Health;
- (b) Developing a Sustainable Environment;
- (c) Enhancing Hong Kong’s Strategic Position as a Regional and International Business Centre; and
- (d) Advancing Emerging Research and Innovations Important to Hong Kong

Under these four themes, 16 grand challenge topics have been identified by the RGC in consultation with the academic community and approved by the Government.

Annual review is conducted to broaden/clarify the existing topics after consulting the universities and obtaining approval of the Education Bureau.

The funding ceiling under this scheme is HK\$75 million per project for up to five years.

The Sixth Round exercise was held in the 2016/17 academic year. A total of 55 preliminary proposals were received, seeking RGC grants of HK\$2.91 billion. After the preliminary selection and a vigorous process of peer-review, the RGC allocated HK\$230 million to support seven projects.

## 主题研究计划

主题研究计划的目的是集中教资会资助大学的学术研究力量，对香港长远发展具策略重要性的主题进行研究。

政府成立了研究主题督导委员会，就挑选研究主题事宜向政府提供意见。自2016/17学年起，政府新增了一个名为「促进对香港起重要作用的新兴研究及创新项目」的新主题。现时四个主题分别为：

- (一) 促进健康；
- (二) 建设可持续发展的环境；
- (三) 加强香港作为地区及国际商业中心的策略性地位；及
- (四) 促进对香港起重要作用的新兴研究及创新项目。

就上述四个主题，研资局在咨询学术界后，选出16个具挑战性的题目，并获政府批准。

研资局每年在征询大学的意见及获教育局批准后，会修订具挑战性的题目，以扩阔及阐明题目相关的范围。

每项主题研究计划年期最长为五年，每项申请的资助上限为7,500万港元。

第六轮计划于2016/17学年举行。研资局共收到55份初步建议书，申请金额约29.1亿港元。经过初步筛选及严格学者评审后，研资局拨款约2.3亿港元，资助共七个研究项目。

## HIGHLIGHTS

### 提要

*In the 2016/17 academic year, the RGC provided about HK\$82.94 million for the three funding schemes for the local self-financing sector supporting 66 research projects. As at 30 June 2017, the number of on-going projects is 133.*

在2016/17学年，研资局就三项供本地自资学位界别竞逐的研究资助计划拨款总额约8,294万港元，共资助66个研究合作项目。截至2017年6月30日，133个资助研究项目仍在进行中。

## Competitive Research Funding Schemes for the Local Self-financing Degree Sector

The RGC launched three research funding schemes for the local self-financing degree sector, namely the Faculty Development Scheme, the Institutional Development Scheme and the Inter-Institutional Development Scheme. The three funding schemes are funded by the investment income of HK\$3 billion in the Research Endowment Fund.

The results of the Third Round exercise were announced in August 2016. Following the completion of the Third Round exercise, the Fourth round of exercise started in November 2016. A total of thirteen local self-financing degree-awarding institutions were eligible for the three funding schemes in the Third Round exercise, including Caritas Institute of Higher Education, Centennial College, Chu Hai College of Higher Education, Gratia Christian College, Hang Seng Management College, Hong Kong Shue Yan University, The Open University of Hong Kong, Tung Wah College, HKCT Institute of Higher Education, Hong Kong Nang Yan College of Higher Education, Hong Kong Baptist University – School of Continuing Education, The Hong Kong Polytechnic University – School of Professional Education and Executive Development, and Technological and Higher Education Institute of Hong Kong. The results of the Fourth Round exercise will be issued in August 2017.

## 本地自资学位界别竞逐的研究资助计划

研资局为本地自资学位界别推出三项研究资助计划，名为教员发展计划、院校发展计划及跨院校发展计划。三项资助计划的拨款，源自研究基金中的30亿港元的投资收益。

第三轮计划申请结果已于2016年8月公布。随着第三轮计划的结束，第四轮计划在2016年11月已展开。共有十三所本地可颁授学位的自资院校符合参与上述三项研究资助计划的资格，分别为明爱专上学院、明德学院、珠海学院、宏恩基督教学院、恒生管理学院、香港树仁大学、香港公开大学、东华学院、港专学院、香港能仁专上学院、香港浸会大学－持续教育学院、香港理工大学－专业进修学院及香港高等科技教育学院。第四轮计划的申请结果将于2017年8月公布。

## Faculty Development Scheme (FDS)

The Faculty Development Scheme aims at developing the research capability of individual academics in the institutions so that they can transfer their research experiences and new knowledge into teaching and learning. In the 2016/17 exercise, HK\$38.3 million was granted to 50 research projects out of 182 applications.

## Institutional Development Scheme (IDS)

The Institutional Development Scheme aims at building up the research capacity of the institutions in their strategic areas through the provision of physical research facilities, infrastructure and other kinds of supports. Of the nine proposals received in the 2016/17 exercise, six projects were supported with a total grant of HK\$ 40.1 million.

## Inter-Institutional Development Scheme (IIDS)

The Inter-Institutional Development Scheme aims at enhancing academics' research capability in the institutions and keeping them abreast of new developments, and challenging research topics in relevant fields through organisation of workshops, seminars or short courses by an institution or jointly between institutions. In the 2016/17 exercise, 22 applications were received and 10 of them were awarded HK\$ 4.6 million.

## 教员发展计划

教员发展计划旨在帮助院校的个别教学人员发展研究能力，使他们能将研究经验和新知识转移至教与学的层面。2016/17年度，本计划共收到182份申请，其中50份研究计划获得资助，总额为3,830万港元。

## 院校发展计划

院校发展计划目标是透过提供具体研究设施、支援研究的基础建设及其他形式的支援，建立院校在其策略发展范畴的研究能力。2016/17年度共收到九份申请，最后共批出4,010万港元资助六份计划。

## 跨院校发展计划

跨院校发展计划则旨在提升院校教学人员的研究能力，透过个别院校筹组或与其他院校合办的工作坊、研讨会或短期课程，使他们在各自的专研范畴涉猎最新的发展和充满挑战的研究专题。2016/17年度共收到22份申请，合共批出460万港元资助10份计划。

## RGC Activities funded by UGC Funds 研资局由教资会拨款的工作总览

### HIGHLIGHTS 提要

*In the 2016/17 academic year, the Areas of Excellence Scheme allocated HK\$231 million to support three projects. As at 30 June 2017, the number of on-going projects is 11.*

*在2016/17学年，卓越学科领域计划拨款总额达2.31亿港元，资助共三个研究项目。截至2017年6月30日，11个资助研究项目仍在进行中。*

### Areas of Excellence Scheme

The Areas of Excellence Scheme was launched by the UGC. The Scheme is now administered by the RGC.

Following a comprehensive review of higher education of Hong Kong, the UGC advised the Government in a report published in October 1996 that Hong Kong would need world-class institutions with distinct areas of excellence in order to retain its leading economic position in the development of China and the Pacific Rim. The UGC recommended, and the Government agreed, that the UGC-funded universities should build upon their existing strengths and develop them into areas of excellence.

With an annual budget of about HK\$90 million, the scheme supports research projects of similar scale as the TRS. Proposals from all disciplines including those falling outside the scope of the topics of the TRS may be submitted under this scheme. The prevailing funding ceiling is HK\$60 million per project for up to eight years.

The Seventh Round exercise was held in the 2016/17 academic year. A total of 23 eligible preliminary proposals were received, seeking RGC grants of HK\$1.52 billion. After the preliminary selection and a vigorous process of peer-review, the RGC allocated HK\$231 million to support three projects.

### 卓越学科领域计划

教资会于早年推出卓越学科领域计划。该计划现时由研资局管理。

1996年10月，教资会在全面检讨香港的高等教育情况后，发表了一份检讨报告。教资会在报告中向政府提出，香港须拥有能够建立卓越学科领域的世界级学府，才可以中国和太平洋周边地区的经济发展中，保持领导地位。教资会建议受资助的大学尽展所长、精益求精，把现有的优势发展为卓越学科领域。政府对这项建议亦表赞同。

卓越学科领域计划的资助金额预算约为每年9,000万港元，提供资助予与主题研究计划规模相约的计划。在这个计划下每个项目的资助金额上限约为6,000万港元，年期最长为八年。所有涉及主题研究计划题目范围以外的建议书均可申请卓越学科领域计划的拨款。

第七轮计划于2016/17学年举行。研资局共收到23份合资格初步研究建议书，申请金额约15.2亿港元。经过初步筛选及严格学者评审后，研资局拨款约2.31亿港元，资助共三个研究项目。

## HIGHLIGHTS

### 提要

*In the 2016/17 academic year, the Hong Kong PhD Fellowship Scheme awarded 231 top students from 39 countries/regions.*

在2016/17学年，香港博士研究生奖学金计划颁发奖学金予来自全球39个国家及地区共231位优秀学生。

## Hong Kong PhD Fellowship Scheme

The Hong Kong PhD Fellowship Scheme was launched in September 2009 to attract the best and brightest students in the world, irrespective of their country of origin, prior work experience and ethnic background, to pursue their PhD studies and research in the UGC-funded universities.

In the Seventh Round exercise, 231 top students from 39 countries/regions received the award. By the deadline in December 2016, over 4 900 applicants from 123 countries/regions submitted full applications for the Eighth Round exercise.

This prestigious scheme will unite top international research postgraduate students and Hong Kong's world class research universities.

## 香港博士研究生奖学金计划

香港博士研究生奖学金计划于2009年9月推出，目的是吸引世界各地最优秀及出色的研究生前来教资会资助大学就读博士研究生课程，而不论其国籍、工作经验和种族背景。

第七届香港博士研究生奖学金计划的得主为231位来自全球39个国家及地区的优秀学生。而至2016年12月的报名截止日期，4 900多名来自123个国家及地区的申请者就第八轮计划提交正式申请书。

这个备受推崇的计划将会把来自各地的研究生尖子，汇集于香港的世界级学府。

## RGC Visits to UGC-funded universities

The RGC visited The University of Hong Kong on 16 June 2016. The RGC visits one UGC-funded university each year. The main objectives of the visit are for the RGC and Subject Panel members to:

- familiarise themselves with the overall research activities, research strategies and major achievements of the university;
- see research facilities, equipment, project highlights and important research outputs/results with a view to understanding the proclaimed research direction; and
- meet and talk with academic staff and research postgraduate students about their research activities.

Visits and discussions with staff and research postgraduate students are undertaken in small groups. The visiting team is split broadly on the basis of Subject Panel groups. The discussions are for open collegial exchanges between staff and research postgraduate students and the RGC.

## Provision of Expert Advice in Assessment of Academic Research Proposals

The RGC offers expert advice in assessing the academic research proposals of the following programmes:

- State Natural Science Award

At the invitation of the Education Bureau, the RGC assists in the preliminary content assessment of the State Natural Science Award applications and makes recommendations to the Education Bureau for submission to the National Office for Science and Technology Awards.

## 研资局访问教资会资助大学

2016年6月16日，研资局探访了香港大学。研资局每年造访教资会资助大学的其中一间，其目的是让研资局成员及学科小组成员：

- 了解各大学的研究活动，研究策略及主要成就；
- 视察各种设施、设备、研究项目的重点及成果，从而了解该院校的研究方向；及
- 与教学人员和研究院研究课程学生会晤及讨论他们进行的研究活动。

访问及与教学人员和研究院研究课程学生的讨论，均以小组形式进行。研资局大致按学科小组的性质类别分成小组。小组讨论的目的是让教学人员及研究院研究课程学生与研资局及学科小组成员进行交流。

## 为学术研究建议书的评审提供专家意见

研资局亦会协助以下计划的学术评审工作，就计划下提交的研究建议书提供专家意见：

- 国家自然科学基金

应教育局的邀请，研资局会协助对国家自然科学基金申请书的内容作初步评审，并向教育局建议推荐名单，以便提交国家科学技术奖励工作办公室考虑。

## RGC Contacts with Other Funding Bodies

The RGC maintains regular contacts with other bodies that provide funding for research. This good working relationship ensures that applications for grants are cross-checked to avoid unjustified duplication. The main research organisations with which the RGC maintains regular contacts are:

- Croucher Foundation
- Environment and Conservation Fund
- Health and Medical Research Fund
- Innovation and Technology Commission
- Quality Education Fund
- S K Yee Medical Foundation
- Overseas bodies and organisations in the Mainland with funding for academic research

## 研资局与其他研究资助机构的联系

研资局与其他资助研究的机构经常保持联系，互相核对研究经费的申请，以防止不合理的重迭拨款。研资局经常与下列主要研究资助机构保持联系：

- 裘槎基金会
- 环境及自然保育基金
- 医疗卫生研究基金
- 创新科技署
- 优质教育基金
- 余兆麒医疗基金
- 海外及内地的学术研究资助机构



# How Funded Projects are Selected, Monitored and Assessed

## 资助项目的审批、监察与评核

### How Projects are Selected

To drive Hong Kong's research quality to a higher level of excellence, the RGC is focusing its resources to provide better support to top quality research projects through competitive funding schemes.

Across the board, equal emphasis is given to both fundamental and applied research.

Additionally, the RGC must be satisfied that the projects it funds have significant intellectual and innovative content and serve the purpose of academic development.

For applied research projects which involve potential benefits to industry in the short to medium term, the RGC expects to see some funding from the potential beneficiaries.

### Aim of Monitoring and Assessment

Under its Terms of Reference, the RGC is required to monitor the implementation of research projects funded by disbursements from the UGC, and to report at least annually to the Government through the UGC.

The RGC considers that monitoring of on-going projects and assessment of completed projects are necessary for reasons of public accountability.

As part of its efforts to strive for improvement, the RGC constantly reviews its monitoring and assessment system with a view to streamlining the relevant processes and enhancing overall effectiveness.

The Principal Investigator/Project Coordinator of an approved project is therefore required to submit progress reports and a completion report on the project for monitoring and scrutiny by Subject Panel/Committee members. The sponsoring institution is required to manage the projects and expenditures, oversee the procurement of equipment and devices approved under the projects and to put in place a due internal monitoring system.

### 审批项目的准则

为提升香港学术研究的质素至另一高峰，研资局集中资源，通过具竞争性的拨款资助计划，为最优质的学术研究项目提供更多资助。

整体来说，研资局对基础研究及应用研究一视同仁，无分轩輊。

此外，研资局必须确定其资助的研究项目具有重大学术价值、内容创新，又能促进学术发展。

对于能为工商界带来短期以至中期利益的应用研究项目，研资局期望受惠的行业亦能承担部分资助。

### 监察与评核的目标

按职权范围，研资局必须监察在教资会研究经费下获拨款的研究项目，并透过教资会最少每年一次向政府提交报告。

为确保公帑用得其所，研资局认为必须监察进展中的项目，以及评核已完成的项目。

为了精益求精，研资局经常检讨监察与评核的机制，以期精简程序，提高整体效率。

因此，获拨款项目的首席研究员／项目统筹者必须向研资局呈交项目进度报告及项目完成报告，以供学科小组／委员会成员监察及审查。研究员所属的院校有责任管理项目及其支出，监察获拨款的设备及仪器的采购及安装，以及设立适当的内部监察机制。

## Monitoring of On-going Projects

The objective of the monitoring is to ensure that the project is proceeding on schedule and that any problems identified are followed up by the Principal Investigator/Project Coordinator. In 2015, the RGC enhanced the monitoring measures. From the 2016/17 funding exercises, except a small number of short duration research projects which are granted project funds in a lump sum, the project funds of all research projects will be disbursed by instalments. The Principal Investigators/Project Coordinators concerned should demonstrate satisfactory progress before obtaining further funding from the RGC.

For individual research projects, one Subject Panel member is assigned to each funded project with the responsibility for monitoring, on the basis of progress reports and completion report. The Subject Panel member assigned to the project reviews the progress report and, where necessary, provides his/her comments to the Principal Investigator for reference and follow-up action.

For group research projects, Project Coordinators are required to submit progress reports for the monitoring panel's/committee's scrutiny and follow up on comments made by the panel/committee.

Principal Investigators / Project Coordinators may also be required to present the progress and achievements at a symposium or during on-site visits to the panel/committee, research community and/or members of the public.

## Assessment of Completed Projects

After the scheduled completion date of a project, the Principal Investigator/Project Coordinator concerned is required to submit a completion report detailing the outcome of the research project, the results achieved, students trained, and publications produced, as well as reporting on the use and application of the grant awarded. These completion reports are passed to the responsible panel/committee members for final assessment.

Completed projects are rated as "Satisfactory", "Barely Satisfactory" or "Unsatisfactory". These assessment results are also taken into account when assessing new grant applications from the same Principal Investigator/Project Coordinator.

## 监察进展中的项目

监察程序确保有关项目如期进行，并确保首席研究员／项目统筹者有跟进成员所发现及指出的问题。研资局于2015年加强监察程序。由2016/17年度起，除少数短期研究项目可一次过获得整笔资助款项外，所有项目的资助款项将为分期支付。有关首席研究员／项目统筹者必须展示令人满意的进度，才可获得研资局进一步分期资助款项。

就个人研究项目而言，每个获资助的项目，均由一位指定的学科小组成员负责监察。成员根据项目进度报告及项目完成报告监察每个项目。学科小组成员在审阅进度报告后，会因应需要向项目的首席研究员反映意见，供其参考及跟进。

至于集体合作研究项目，项目统筹者必须向负责监察的小组／委员会呈交项目进度报告，以及对其意见作出跟进。

部分项目的首席研究员／项目统筹者须于研讨会或实地探访时向有关小组／委员会、研究界别，以及／或公众人士发表其研究进度及成果。

## 评核已完成的项目

在研究项目预定完成日期后，首席研究员／项目统筹者必须向研资局提交项目完成报告，详列出研究结果、成绩、接受培训的学生、已发表的报告、论文及文章，以至拨款的运用及应用的详情。这些报告会交由负责审核该项目的小组／委员会成员作最后评核。

评核已完成的项目分为「满意」、「仅符合要求」及「不满意」三个等级。当首席研究员／项目统筹者提交新的拨款申请时，研资局会考虑其过往的评核结果。

## Feedback on Assessments

Feedback is an important part of the RGC's effort to encourage and assure quality standards in research projects. The feedback from external reviewers forms an essential part of the peer review process and enables researchers to improve and refine their research methodologies. It also represents a valuable input to the Hong Kong academia of new ideas and expertise from the international research community.

As a matter of policy, the RGC arranges to provide the unattributed comments of external reviewers to applicants of individual and group project grants and, where necessary, convey additional comments from the panel/committee.

## Public Access to Completion Reports

To share the research findings with the public, for projects approved from the 2010/11 academic year onwards, Principal Investigators/Project Coordinators are required to release the completion reports containing abstract in layman terms, objectives, research output including a list of conference papers/publications/journals/research findings and contact information of Principal Investigators/Project Coordinators for public access through the RGC website.

## 反映评核的意见

研资局致力保证及提高研究项目的质素，向研究人员反映外部评审员的意见，是学者评审过程的重要部分。这项做法有助研究人员改进其研究方法，使之更为完善，并将国际研究界别的新意念及专业知识引入本地学术界。

按政策规定，研资局将外部评审员的意见以不具名方式转达给与个人及集体合作研究项目的申请人，如有需要，亦会转达小组／委员会的额外意见。

## 公开完成报告

为让公众分享研究成果，由2010/11学年获资助的计划开始，首席研究员／项目统筹者须公开其项目完成报告，并通过研资局网页让公众查阅。报告包含以浅白文字撰写的摘要、计划目标、研究成果，当中包括以表列形式列出所发表的会议论文／出版刊物／其他研究发现，以及首席研究员／项目统筹者的联络资料。

# Appendices

## 附录

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## Appendix A: RGC Membership 2016/17 附录 A：研资局 2016/17 年度成员名单

RGC Member 研资局成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Benjamin W Wah (Chairman) 华云生教授 (主席)	The Chinese University of Hong Kong 香港中文大学	1/2013
Prof Peter Baehr	Lingnan University 岭南大学	7/2012
Prof Louis KC Chan 陈国器教授	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	7/2009
Prof Jiun-shyan Chen 陈俊贤教授	University of California, San Diego 美国加州大学圣地牙哥分校	7/2016
Prof Winnie Cheng 郑梁慧莲教授	The Hong Kong Polytechnic University 香港理工大学	11/2016
Ms Cordelia Chung 锺郝仪女士	LIXIL Corporation 骊住株式会社	5/2017
Prof Roy Chi-ping Chung, BBS, JP 锺志平教授, BBS, JP	Techtronic Industries Company Limited 创科实业有限公司	7/2016
Prof Yip-wah Chung, BBS 锺业华教授, BBS	Northwestern University 西北大学	7/2011
Prof Paul Clark	The University of Auckland 奥克兰大学	7/2014
Prof Michael P Doyle	The University of Texas at San Antonio 得克萨斯大学－圣安东尼奥分校	7/2015
Prof Zhigang He 何志刚教授	Harvard University 哈佛大学	12/2016
Prof Joanna Ho 何莉芸教授	University of California, Irvine 加州大学尔湾分校	7/2012
Prof Ronnie Po-chia Hsia 夏伯嘉教授	The Pennsylvania State University 宾夕法尼亚州立大学	7/2007
Prof Chun Hui 许浚教授	The University of Hong Kong 香港大学	7/2014
Mr Henry Kwong-han Leung 梁广恒先生	Nano and Advanced Materials Institute Limited 纳米及先进材料研究院有限公司	4/2017
Dr York Liao, SBS, JP 廖约克博士, SBS, JP	Winbridge Co. Ltd.	7/2016
Prof Jian Lu 吕坚教授	City University of Hong Kong 香港城市大学	7/2016
Dr Kim Kin-wah Mak, BBS, JP 麦建华博士, BBS, JP	Caritas Institute of Higher Education and Caritas Bianchi College of Careers 明爱白英奇专业学校	4/2017

## Appendix A: RGC Membership 2016/17 附录 A：研资局 2016/17 年度成员名单

RGC Member 研资局成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Helen Mei-ling Meng 蒙美玲教授	The Chinese University of Hong Kong 香港中文大学	7/2016
Prof Joshua Ka-ho Mok 莫家豪教授	Lingnan University 岭南大学	4/2017
Prof Irene Oi-lin Ng 吴吕爱莲教授	The University of Hong Kong 香港大学	4/2017
Prof Andy Parker	University of Cambridge 剑桥大学	7/2015
Prof Jay Siegel	Tianjin University 天津大学	7/2014
Prof Geoffrey L Smith	University of Cambridge 剑桥大学	7/2015
Prof Billy Kee-long So 苏基朗教授	The Hong Kong University of Science and Technology 香港科技大学	7/2016
Prof Richard Strugnell	The University of Melbourne 墨尔本大学	7/2016
Prof Kar-yan Tam 谭嘉因教授	The Hong Kong University of Science and Technology 香港科技大学	7/2014
Prof Nora Fung-yeet Tam, BBS, JP 谭凤仪教授, BBS, JP	City University of Hong Kong 香港城市大学	11/2016
Prof Paul KH Tam 谭广亨教授	The University of Hong Kong 香港大学	10/2011
Dr Franklin Fuk-kay Tong 汤复基博士	Hong Kong Applied Science and Technology Research Institute 香港应用科技研究院	7/2015
Prof Alexander Ping-kong Wai 卫炳江教授	The Hong Kong Polytechnic University 香港理工大学	7/2016
Prof Edward Sze-shing Yeung 杨仕成教授	Iowa State University 爱荷华州立大学	7/2014
Prof On-ching Yue (Ex-officio Member) 余安正教授 (当然成员)	Innovation and Technology Commission 创新科技署	7/2011
Mr David Leung (Secretary) 梁子琪先生 (秘书)	University Grants Committee Secretariat 大学教育资助委员会秘书处	

Appendix B: Attendance Record of RGC Members in 2016/17<sup>Note 1</sup>  
 附录 B：2016/17 年度研资局成员出席会议的记录<sup>注 1</sup>

Name 姓名	Total number of RGC meeting(s) 研资局会议的总次数	Total number of RGC meeting(s) attended 成员出席研资局会议次数	Attendance rate 出席率
Prof Benjamin W Wah 华云生教授	2	2	100%
Prof Peter Baehr	2	2	100%
Prof Louis KC Chan* 陈国器教授*	2	2	100%
Prof Jiun-shyan Chen* 陈俊贤教授*	2	2	100%
Prof Winnie Cheng 郑梁慧莲教授	2	2	100%
Ms Cordelia Chung 锺郝仪女士	1	1	100%
Prof Roy Chi-ping Chung, BBS, JP 锺志平教授, BBS, JP	2	2	100%
Prof Yip-wah Chung, BBS* 锺业华教授, BBS*	2	2	100%
Prof Paul Clark*	2	2	100%
Prof Michael P Doyle*	2	1	50%
Prof Zhigang He* 何志刚教授*	2	2	100%
Prof Joanna Ho* 何莉芸教授*	2	2	100%
Prof Ronnie Po-chia Hsia* 夏伯嘉教授*	2	2	100%
Prof Chun Hui 许浚教授	2	1	50%
Mr Henry Kwong-han Leung 梁广恒先生	1	1	100%
Dr York Liao, SBS, JP 廖约克博士, SBS, JP	2	2	100%
Prof Jian Lu 吕坚教授	2	2	100%
Dr Kim Kin-wah Mak, BBS, JP 麦建华博士, BBS, JP	1	1	100%
Prof Helen Mei-ling Meng 蒙美玲教授	2	2	100%
Prof Joshua Ka-ho Mok 莫家豪教授	1	1	100%

Appendix B: Attendance Record of RGC Members in 2016/17<sup>Note 1</sup>  
附录 B：2016/17 年度研资局成员出席会议的记录<sup>注 1</sup>

Name 姓名	Total number of RGC meeting(s) 研资局会议的总次数	Total number of RGC meeting(s) attended 成员出席研资局会议次数	Attendance rate 出席率
Prof Irene Oi-lin Ng 吴吕爱莲教授	1	1	100%
Prof Andy Parker*	2	2	100%
Prof Jay Siegel*	2	1	50%
Prof Geoffrey L Smith	2	2	100%
Prof Billy Kee-long So 苏基朗教授	2	2	100%
Prof Richard Strugnell*	2	2	100%
Prof Kar-yan Tam 谭嘉因教授	2	1	50%
Prof Nora Fung-yee Tam, BBS, JP 谭凤仪教授, BBS, JP	2	2	100%
Prof Paul KH Tam 谭广亨教授	2	2	100%
Dr Franklin Fuk-kay Tong 汤复基博士	2	0	0%
Prof Alexander Ping-kong Wai 卫炳江教授	2	2	100%
Prof Edward Sze-Shing Yeung* 杨仕成教授*	2	2	100%
Prof On-ching Yue 余安正教授	2	2	100%

Notes : 1. To enhance the transparency of the RGC operation, starting from December 2007, the attendance record of RGC Members is uploaded onto the homepage of the RGC, and is published in the Annual Report for public reference.  
2. \*denotes members outside Hong Kong.

注： 1. 为增加研资局工作的透明度，自 2007 年 12 月起，成员于研资局会议的出席率已刊载于局方的网页及年报内，供公众查阅。  
2. \*为海外成员。



## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Subject Panels

#### 学科小组

Biology and Medicine 生物学及医学		
Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Zhigang He (Chairman) 何志刚教授 (主席)	Harvard University 哈佛大学	12/2016
Prof Kai-nan An (Deputy Chairman – Joint Research Schemes) 安介南教授 (副主席－合作研究计划)	Mayo Clinic 梅约临床医学院	2/2015
Prof David Bellinger	Boston Children’s Hospital 波士顿儿童医院	3/2014
Prof Zhaoxiang Bian 卞兆祥教授	Hong Kong Baptist University 香港浸会大学	2/2016
Prof Juliana CN Chan 陈重娥教授	The Chinese University of Hong Kong 香港中文大学	11/2012
Prof Wai Yee Chan 陈伟仪教授	The Chinese University of Hong Kong 香港中文大学	1/2015
Prof Rossa Chiu 赵慧君教授	The Chinese University of Hong Kong 香港中文大学	11/2012
Prof Yang Dan 丹扬教授	University of California, Berkeley 加州大学柏克莱分校	11/2012
Prof Thomas Efferth	Johannes Gutenberg University 约翰尼斯·古腾堡－美茵兹大学	1/2016
Prof James Fawcett	University of Cambridge 剑桥大学	1/2017
Prof Farida Fortune	Queen Mary University of London 伦敦大学玛丽皇后学院	11/2011
Prof George Fu Gao 高福教授	Chinese Academy of Sciences 中国科学院	1/2017
Prof Aaron D. Gitler	Stanford University 史丹福大学	1/2017
Prof Xiaohua Gong	University of California, Berkeley 加州大学柏克莱分校	1/2014
Dr Chi-chung Hui	University of Toronto 多伦多大学	1/2014

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Wei Jia 贾伟教授	University of Hawaii at Monoa 夏威夷大学马诺阿分校	1/2014
Prof Paul Kwan-sing Lam 林群声教授	City University of Hong Kong 香港城市大学	2/2012
Dr William J Langston	Marine Biological Association of the United Kingdom 英国海洋生物学协会	11/2012
Prof Yu-lung Lau 刘宇隆教授	The University of Hong Kong 香港大学	3/2013
Prof Ping-yee Law 罗秉义教授	University of California, Los Angeles 加州大学洛杉矶分校	1/2014
Prof Chung-mau Lo 卢宠茂教授	The University of Hong Kong 香港大学	1/2014
Prof Matthias Löhr	Karolinska University Hospital 卡罗琳大学医院	1/2013
Prof Fanxin Long	Washington University 华盛顿大学	1/2014
Prof Aiping Lyu 吕爱平教授	Hong Kong Baptist University 香港浸会大学	1/2014
Prof Maria Masucci	Karolinska Institutet 卡罗琳医学院	11/2012
Prof Tony Shu-kam Mok 莫树锦教授	The Chinese University of Hong Kong 香港中文大学	2/2016
Prof Peter Petraitis	University of Pennsylvania 宾夕法尼亚大学	3/2014
Prof Jerry W Shay	University of Texas Southwestern Medical Center 德克萨斯州大学西南医学中心	2/2013
Prof Geoffrey L Smith	University of Cambridge 剑桥大学	11/2011
Prof Eric J Stanbridge	University of California, Irvine 加州大学尔湾分校	2/2012
Prof Kathryn Choon Beng Tan 陈俊明教授	The University of Hong Kong 香港大学	1/2017
Prof Adrian Thrasher	University College London 伦敦大学学院	1/2013
Prof Hung-fat Tse 谢鸿发教授	The University of Hong Kong 香港大学	2/2012
Prof Cun-yu Wang 王春雨教授	University of California, Los Angeles 加州大学洛杉矶分校	1/2017

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Dazhi Wang 王大之教授	Harvard University 哈佛大学	1/2014
Prof Zhiyong Wang 王志勇教授	Stanford University 史丹福大学	3/2014
Prof Zilong Wen 温子龙教授	The Hong Kong University of Science and Technology 香港科技大学	2/2012
Prof Chris Kong-chu Wong 黄港住教授	Hong Kong Baptist University 香港浸会大学	1/2014
Prof Frances Kam Yuet Wong 黄金月教授	The Hong Kong Polytechnic University 香港理工大学	1/2015
Prof Nathalie Wong 王昭春教授	The Chinese University of Hong Kong 香港中文大学	11/2012
Prof Wing-sze Wong 黄颖诗教授	The Education University of Hong Kong 香港教育大学	1/2014
Prof Ed Xuekui Wu 吴学奎教授	The University of Hong Kong 香港大学	1/2015
Prof Samuel Miao-sin Wu 吴淼鑫教授	Baylor College of Medicine 梅奥医学中心医学院	2/2016
Prof Zhenguo Wu 邬振国教授	The Hong Kong University of Science and Technology 香港科技大学	1/2017
Prof Chunfeng Zhao 梅约临床医学院	Mayo Clinic 梅约临床医学院	1/2015
Prof Minghao Zheng 西澳大学	The University of Western Australia 西澳大学	1/2015
Prof Jun Xia 夏军教授	The Hong Kong University of Science and Technology 香港科技大学	3/2013
Prof Yiji Xia 夏亦芥教授	Hong Kong Baptist University 香港浸会大学	3/2013
Prof Aimin Xu 徐爱民教授	The University of Hong Kong 香港大学	3/2013
Dr Zhongying Zhao 赵中应博士	Hong Kong Baptist University 香港浸会大学	3/2013
Prof Yong Ping Zheng 郑永平教授	The Hong Kong Polytechnic University 香港理工大学	2/2016

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Subject Panels

#### 学科小组

<b>Business Studies</b> 商学		
<b>Panel Member</b> 学科小组成员	<b>Institution</b> 所属机构	<b>Appointed in (Month/Year)</b> 委任于(月/年)
Prof Louis KC Chan (Chairman) 陈国器教授 (主席)	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	12/2010
Prof Hanming Fang (Deputy Chairman – Joint Research Schemes) 方汉明教授 (副主席－合作研究计划)	University of Pennsylvania 宾夕法尼亚大学	2/2015
Prof Eli Bartov	New York University 纽约大学	3/2014
Prof Fernando Bernstein	Duke University 杜克大学	3/2013
Prof Patrick YK Chau 周荫强教授	The University of Hong Kong 香港大学	3/2014
Prof Yongmin Chen 陈勇民教授	University of Colorado at Boulder 科罗拉多大学博尔德分校	1/2014
Prof Yuxin Chen 陈宇新教授	New York University Shanghai 上海纽约大学	1/2015
Prof Agnes CS Cheng 郑振兴教授	The Hong Kong Polytechnic University 香港理工大学	2/2013
Prof Louis TW Cheng 郑子云教授	The Hong Kong Polytechnic University 香港理工大学	2/2016
Prof Daniel A Cohen	The University of Texas at Dallas 德克萨斯州大学达拉斯分校	1/2014
Prof Andrew Delios	National University of Singapore 新加坡国立大学	1/2015
Prof Barbara Flynn	Indiana University 印第安纳大学	3/2014
Prof Anindya Ghose	New York University 纽约大学	1/2014
Prof Vidhan K Goyal	The Hong Kong University of Science and Technology 香港科技大学	1/2014

Appendix C: Committee/Panel Membership 2016/17  
附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof David A. Griffith	Lehigh University 理海大学	1/2016
Prof Zhaoyang Gu	The Chinese University of Hong Kong 香港中文大学	1/2017
Prof JJ Po-an Hsieh 谢博安教授	Georgia State University 乔治亚州立大学	1/2014
Prof Xu Huang 黄旭教授	Hong Kong Baptist University 香港浸会大学	2/2016
Prof Raymond Kan	University of Toronto 多伦多大学	1/2015
Prof Haitao Li 李海涛教授	Cheung Kong Graduate School of Business 长江商学院	1/2015
Prof Hui Liao	University of Maryland 马里兰大学	2/2015
Prof Chen Lin 林晨教授	The University of Hong Kong 香港大学	1/2012
Prof Ping Lin 林平教授	Lingnan University 岭南大学	2/2012
Prof Anna S Mattila	The Pennsylvania State University 宾夕法尼亚州立大学	11/2012
Prof Suraj Srinivasan	Harvard University 哈佛大学	1/2015
Prof Zhigang Tao 陶志刚教授	The University of Hong Kong 香港大学	2/2015
Prof James Thong 汤永亮教授	The Hong Kong University of Science and Technology 香港科技大学	1/2015
Prof David Tse 谢贵枝教授	The University of Hong Kong 香港大学	2/2012
Prof Linn Van Dyne	Michigan State University 密歇根州立大学	2/2012
Prof Eric Van Wincoop	University of Virginia 维吉尼亚大学	2/2017
Prof Nancy Wong	University of Wisconsin – Madison 威斯康星大学麦迪逊分校	1/2016
Prof Houmin Yan 严厚民教授	City University of Hong Kong 香港城市大学	2/2012

Appendix C: Committee/Panel Membership 2016/17  
附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Andy CL Yeung 杨昌良教授	The Hong Kong Polytechnic University 香港理工大学	11/2012
Prof Shaohui Zheng 郑少辉教授	The Hong Kong University of Science and Technology 香港科技大学	1/2014
Prof Kevin Zhou 周政教授	The University of Hong Kong 香港大学	1/2012

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Subject Panels

#### 学科小组

Engineering 工程学		
Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Jiun-shyan Chen (Chairman) 陈俊贤教授 (主席)	University of California, San Diego 加州大学圣地牙哥分校	7/2016
Prof Wing Kam Liu (Deputy Chairman – Joint Research Schemes) 廖荣锦教授 (副主席－合作研究计划)	Northwestern University 西北大学	2/2015
Prof Dapeng Oliver Wu (Chairman, Computer Science & Information Technology and Electrical & Electronic Engineering Sub-Panel) 吴大鹏教授 (计算机科学及资讯科技暨 电机电子工程分组主席)	University of Florida 佛罗里达大学	12/2014
Prof Yuri Bazilevs	University of California, San Diego 加州大学圣地牙哥分校	12/2014
Prof Adrian Bejan	Duke University 杜克大学	1/2012
Prof Ronaldo I Borja	Stanford University 史丹福大学	1/2016
Prof Lilong Cai 蔡李隆教授	The Hong Kong University of Science and Technology 香港科技大学	2/2014
Prof Xiaoqiang Cai 蔡小强教授	The Chinese University of Hong Kong 香港中文大学	11/2012
Prof Gregory P. Carman	University of California, Los Angeles 加州大学洛杉矶分校	1/2017
Prof Albert Ping Chuen Chan 陈炳泉教授	The Hong Kong Polytechnic University 香港理工大学	1/2016
Prof Chi-hou Chan 陈志豪教授	City University of Hong Kong 香港城市大学	2/2012
Prof Keith CC Chan 陈振冲教授	The Hong Kong Polytechnic University 香港理工大学	11/2012

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Christopher YH Chao 赵汝恒教授	The Hong Kong University of Science and Technology 香港科技大学	1/2012
Prof Chang Wen Chen 陈长汶教授	University at Buffalo, The State University of New York 纽约州立大学水牛城分校	12/2014
Prof Kevin J Chen 陈敬教授	The Hong Kong University of Science and Technology 香港科技大学	3/2013
Prof Lei Chen 陈雷教授	The Hong Kong University of Science and Technology 香港科技大学	1/2017
Prof Eric Ka-wai Cheng 郑家伟教授	The Hong Kong Polytechnic University 香港理工大学	1/2016
Prof Michael Kwok Keung Cheng 郑国强教授	The Chinese University of Hong Kong 香港中文大学	1/2016
Prof Sai-on Cheung 张世安教授	City University of Hong Kong 香港城市大学	1/2017
Prof George TC Chiu 邱祚之教授	Purdue University 普渡大学	1/2016
Prof Ken P Chong 张建平教授	The George Washington University 乔治华盛顿大学	11/2012
Ir Prof Kwok Fai Chung 锺国辉教授	The Hong Kong Polytechnic University 香港理工大学	1/2016
Prof Cunsheng Ding 丁存生教授	The Hong Kong University of Science and Technology 香港科技大学	1/2016
Prof George Q Huang 黄国全教授	The University of Hong Kong 香港大学	2/2016
Prof Chennupati Jagadish	Australian National University 澳洲国立大学	12/2014
Prof Woody Jiann-wen Ju 朱建文教授	University of California, Los Angeles 加州大学洛杉矶分校	7/2014
Prof Irwin King 金国庆教授	The Chinese University of Hong Kong 香港中文大学	2/2012
Prof Jay C C Kuo 郭宗杰教授	University of Southern California 南加州大学	1/2017
Prof Sritawat Kitipornchai 陈锦顺教授	University of Queensland 昆士兰大学	2/2016
Prof Philip T Krein	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	7/2014



## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Yue Kuo 郭育教授	Texas A&M University 德州农工大学	2/2013
Prof James Lam 林参教授	The University of Hong Kong 香港大学	2/2016
Prof Kenneth Kin-man Lam 林健文教授	The Hong Kong Polytechnic University 香港理工大学	11/2013
Prof Andrew Chi-sing Leung 梁志成教授	City University of Hong Kong 香港城市大学	1/2016
Professor Duan Li 李端教授	The Chinese University of Hong Kong 香港中文大学	1/2017
Prof Haizhou Li 李海洲教授	National University of Singapore 新加坡国立大学	1/2017
Prof Ninghui Li 李宁辉教授	Purdue University 普渡大学	1/2017
Prof Ping Li 李坪教授	City University of Hong Kong 香港城市大学	11/2013
Prof Qiusheng Li 李秋胜教授	City University of Hong Kong 香港城市大学	1/2017
Prof Xiao-yan Li 李晓岩教授	The University of Hong Kong 香港大学	11/2012
Prof Yun-hui Liu 刘云辉教授	The Chinese University of Hong Kong 香港中文大学	11/2013
Prof Hong K. Lo 罗康锦教授	The Hong Kong University of Science and Technology 香港科技大学	1/2017
Prof Irene MC Lo 劳敏慈教授	The Hong Kong University of Science and Technology 香港科技大学	1/2015
Prof Jon Longtin	Stony Brook University 石溪大学	12/2014
Prof Sylvie Lorente	University of Toulouse 图卢兹大学	2/2013
Prof Jian Lu 吕坚教授	City University of Hong Kong 香港城市大学	1/2012
Prof Howard Cam Luong 梁锦和教授	The Hong Kong University of Science and Technology 香港科技大学	1/2017
Prof Anil Netravali	Cornell University 康奈尔大学	11/2013

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Charles WW Ng 吴宏伟教授	The Hong Kong University of Science and Technology 香港科技大学	1/2015
Prof Joseph KY Ng 吴其彦教授	Hong Kong Baptist University 香港浸会大学	1/2012
Prof Michael KP Ng 吴国宝教授	Hong Kong Baptist University 香港浸会大学	2/2016
Prof Thomas Shiu Tong Ng 吴兆堂教授	The University of Hong Kong 香港大学	1/2015
Dr Vincent TY Ng 吴道义博士	The Hong Kong Polytechnic University 香港理工大学	1/2012
Prof Jian-lei Niu 牛建磊教授	The Hong Kong Polytechnic University 香港理工大学	1/2012
Prof Thomas J Overbye	Texas A&M University 德州农工大学	12/2014
Prof Stella W Pang 彭慧芝教授	City University of Hong Kong 香港城市大学	11/2012
Prof Jian Pei 裴健教授	Simon Fraser University 西门菲沙大学	12/2014
Prof Long Quan 权龙教授	The Hong Kong University of Science and Technology 香港科技大学	11/2013
Prof San Qiang Shi 石三强教授	The Hong Kong Polytechnic University 香港理工大学	1/2016
Prof Michael Geoffrey Somekh	The Hong Kong Polytechnic University 香港理工大学	2/2016
Prof Dong Sun 孙东教授	City University of Hong Kong 香港城市大学	1/2012
Prof Charles Surya	The Hong Kong Polytechnic University 香港理工大学	2/2016
Prof Hong Tang	Yale University 耶鲁大学	1/2017
Prof Norman Chihnan Tien 田之楠教授	The University of Hong Kong 香港大学	1/2014
Prof Hon Ki Tsang 曾汉奇教授	The Chinese University of Hong Kong 香港中文大学	2/2012
Prof Michael CK Tse 谢智刚教授	The Hong Kong Polytechnic University 香港理工大学	2/2013

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Fugee Tsung 宗福季教授	The Hong Kong University of Science and Technology 香港科技大学	11/2013
Prof Alexander Ping-kong Wai 卫炳江教授	The Hong Kong Polytechnic University 香港理工大学	1/2012
Prof Cho-li Wang 王卓立教授	The University of Hong Kong 香港大学	1/2017
Prof Liqiu Wang 王立秋教授	The University of Hong Kong 香港大学	11/2013
Prof Min Wang 王敏教授	The University of Hong Kong 香港大学	1/2016
Prof Tien Tsin Wong 黄田津教授	The Chinese University of Hong Kong 香港中文大学	1/2016
Prof Ning Xi 席宁教授	The University of Hong Kong 香港大学	1/2016
Prof Jianliang Xu 徐建良教授	Hong Kong Baptist University 香港浸会大学	1/2017
Prof Cary Yang 杨远伟教授	Santa Clara University 圣塔克拉拉大学	2/2016
Prof Lawrence K Yeung 阳坤教授	The University of Hong Kong 香港大学	1/2012
Dr Yuen-tak Yu 余远德博士	City University of Hong Kong 香港城市大学	2/2013
Prof Pong Chi Yuen 阮邦志教授	Hong Kong Baptist University 香港浸会大学	12/2014
Prof Richard Kwok Kit Yuen 袁国杰教授	City University of Hong Kong 香港城市大学	1/2015
Ir Prof Ming Zhang 张明教授	The Hong Kong Polytechnic University 香港理工大学	2/2017
Prof Nevin Zhang 张连文教授	The Hong Kong University of Science and Technology 香港科技大学	1/2017
Prof Tongyi Zhang 张统一教授	Shanghai University 上海大学	11/2012
Prof Xiaodong Zhang 张晓东教授	The Ohio State University 俄亥俄州立大学	2/2013
Prof Li Min Zhou 周利民教授	The Hong Kong Polytechnic University 香港理工大学	1/2012

Appendix C: Committee/Panel Membership 2016/17  
附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Song-chun Zhu	University of California, Los Angeles 加州大学洛杉矶分校	1/2017
Prof Moshe Zukerman	City University of Hong Kong 香港城市大学	1/2014

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### Subject Panels

#### 学科小组

Humanities and Social Sciences 人文学及社会科学		
Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Ronnie Po-chia Hsia (Chairman) 夏伯嘉教授 (主席)	The Pennsylvania State University 宾夕法尼亚州立大学	7/2011
Prof Elisabetta Corsi (Deputy Chairman – Joint Research Schemes) 伊丽教授 (副主席－合作研究计划)	University of Rome, La Sapienza 罗马大学	2/2015
Prof Maria M Piñango (Chairman, Psychology and Linguistics Sub-Panel) (心理学及语言学科分组主 席)	Yale University 耶鲁大学	9/2008
Prof C Cindy Fan 范芝芬教授 (Chairman, Social and Behavioural Sciences Sub-Panel) 社会及行为科学学科分组 主席)	University of California, Los Angeles 加州大学洛杉矶分校	11/2010
Prof Jennifer Adams	Drexel University 德雷塞尔大学	1/2015
Prof Terry KF Au 区洁芳教授	The University of Hong Kong 香港大学	2/2014
Prof Michael Berry	University of California, Los Angeles 加州大学洛杉矶分校	1/2017
Prof Yanjie Bian 边燕杰教授	The University of Minnesota 明尼苏达大学	2/2014
Prof Cornelis de Bot	University of Groningen 格罗宁根大学	2/2016
Prof Jean-Pierre Cabestan 高敬文教授	Hong Kong Baptist University 香港浸会大学	2/2013
Prof Timothy WK Chan 陈伟强教授	Hong Kong Baptist University 香港浸会大学	1/2017
Prof Lei Chang 张雷教授	University of Macau 澳门大学	12/2011

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Maria PS Cheng 郑宝璇教授	City University of Hong Kong 香港城市大学	12/2014
Prof Hin-tat Cheung 张显达教授	The Education University of Hong Kong 香港教育大学	1/2017
Prof Kai-wing Chow 周启荣教授	University of Illinois at Urbana – Champagne 伊利诺大学厄巴纳－香槟分校	11/2013
Prof Rey Chow 周蕾教授	Duke University 杜克大学	2/2013
Prof Samuel KW Chu 朱启华博士	The University of Hong Kong 香港大学	3/2017
Prof Paul Clark	The University of Auckland 奥克兰大学	11/2011
Dr Alexandra Cook	The University of Hong Kong 香港大学	12/2014
Prof Stephen Crain	Macquarie University 麦觉理大学	11/2013
Prof Lucy Dunne	University of Minnesota 明尼苏达大学	1/2015
Prof Anne Edwards	University of Oxford 牛津大学	6/2014
Prof Harrison Fraker	University of California, Berkeley 加州大学柏克莱分校	2/2013
Prof Helene HL Fung 冯海岚教授	The Chinese University of Hong Kong 香港中文大学	1/2017
Prof Piper Gaubatz	University of Massachusetts 麻省大学	2/2015
Prof Ping-chen Hsiung 熊秉真教授	The Chinese University of Hong Kong 香港中文大学	11/2013
Prof Bo Huang 黄波教授	The Chinese University of Hong Kong 香港中文大学	2/2014
Prof Chu-ren Huang 黄居仁教授	The Hong Kong Polytechnic University 香港理工大学	2/2012
Prof Jill Hunter	The University of New South Wales 新南威尔士大学	11/2013
Prof Wilt Idema 伊维德教授	Harvard University 哈佛大学	12/2014
Prof Fiona Lee	University of Michigan 密歇根大学	2/2013

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Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Thomas Hun-tak Lee 李行德教授	The Chinese University of Hong Kong 香港中文大学	7/2014
Prof Maggy Lee 李淑仪教授	The University of Hong Kong 香港大学	12/2015
Prof Pui-wa Lei 李佩华教授	The Pennsylvania State University 宾夕法尼亚州立大学	11/2011
Prof Angela KC Leung 梁其姿教授	The University of Hong Kong 香港大学	11/2013
Prof Gigi Luk 陆智敏教授	Harvard University 哈佛大学	11/2013
Prof Joyce LC Ma 马丽庄教授	The Chinese University of Hong Kong 香港中文大学	1/2013
Prof Anita Mak	University of Canberra 坎培拉大学	2/2015
Prof Joshua KH Mok 莫家豪教授	Lingnan University 岭南大学	1/2013
Prof Magdalena Mo-ching Mok 莫慕贞教授	The Education University of Hong Kong 香港教育大学	2/2014
Prof Edward Y Y Ng 吴恩融教授	The Chinese University of Hong Kong 香港中文大学	12/2011
Prof On-cho Ng 伍安祖教授	The Pennsylvania State University 宾夕法尼亚州立大学	11/2011
Prof Richard Bernhart Owen 欧文彬教授	Hong Kong Baptist University 香港浸会大学	2/2014
Prof Michael JE Palmer 彭文浩教授	University of London 伦敦大学	2/2013
Prof Hai-hua Pan 潘海华教授	The Chinese University of Hong Kong 香港中文大学	2/2015
Prof Lai-kwan Pang 彭丽君教授	The Chinese University of Hong Kong 香港中文大学	2/2013
Prof Mu-chou Poo 蒲慕州教授	The Chinese University of Hong Kong 香港中文大学	11/2013
Prof Lisa Rofel 罗黎沙教授	University of California, Santa Cruz 加州大学圣克鲁斯分校	2/2013
Prof Ute Schönpflug	Freie Universität Berlin 柏林自由大学	4/2013

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<b>Panel Member</b> 学科小组成员	<b>Institution</b> 所属机构	<b>Appointed in</b> <b>(Month/Year)</b> 委任于(月/年)
Prof Winnie WM So 苏咏梅教授	The Education University of Hong Kong 香港教育大学	1/2011
Prof David Takeuchi	Boston College 波士顿学院	1/2015
Prof Christian Wagner	City University of Hong Kong 香港城市大学	12/2014
Prof Alan Walker	The University of Sheffield 谢菲尔德大学	2/2015
Prof Roger Watson	University of Hull 赫尔大学	11/2013
Prof Christopher J Webster	The University of Hong Kong 香港大学	2/2016
Prof Patrick CM Wong 黄俊文教授	The Chinese University of Hong Kong 香港中文大学	1/2017
Prof Tim Wright 韦立德教授	The University of Sheffield 谢菲尔德大学	2/2013
Prof Emilie Yueh-yu Yeh 叶月瑜教授	Lingnan University 岭南大学	2/2014
Prof Carine Yiu 姚玉敏教授	The Hong Kong University of Science and Technology 香港科技大学	12/2014
Prof May Yuan	The University of Texas at Dallas 德克萨斯州大学达拉斯分校	2/2015



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### Subject Panels

#### 学科小组

Physical Sciences 自然科学		
Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Andy Parker (Chairman) (主席)	University of Cambridge 剑桥大学	7/2015
Prof Ralf Bundschuh (Deputy Chairman – Joint Research Schemes) (副主席－合作研究计划)	The Ohio State University 俄亥俄州立大学	2/2015
Prof Alain Bensoussan	City University of Hong Kong 香港城市大学	2/2016
Prof Wesley Cantwell	Khalifa University 哈里发大学	11/2013
Prof Chak-keung Chan 陈泽强教授	City University of Hong Kong 香港城市大学	11/2012
Prof Johnny CL Chan 陈仲良教授	City University of Hong Kong 香港城市大学	1/2017
Prof Kwok Sum Chan 陈国森教授	City University of Hong Kong 香港城市大学	2/2016
Prof Raymond Hon-fu Chan 陈汉夫教授	The Chinese University of Hong Kong 香港中文大学	2/2014
Prof Guohua Chen 陈国华教授	The Hong Kong Polytechnic University 香港理工大学	1/2015
Prof Andrew Fisher	University College London 伦敦大学学院	3/2016
Prof Jianping Gan 甘剑平教授	The Hong Kong University of Science and Technology 香港科技大学	2/2017
Prof Gian Francesco Giudice	CERN 欧洲核子研究组织	2/2016
Prof Xuming He 何旭铭教授	University of Michigan 密歇根大学	3/2014
Prof Arie Iserles	University of Cambridge 剑桥大学	3/2014
Prof Jon P Keating	University of Bristol 布里斯托大学	2/2016

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Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Fuk Yee Kwong 邝福儿教授	The Chinese University of Hong Kong 香港中文大学	1/2017
Prof Chun-sing Lee 李振声教授	City University of Hong Kong 香港城市大学	11/2013
Prof Ting-kuo Lee 李定国教授	Academia Sinica 中央研究院	2/2016
Prof Ren Bao Liu 刘仁保教授	The Chinese University of Hong Kong 香港中文大学	1/2015
Prof Bill Milne	University of Cambridge 剑桥大学	11/2013
Prof Xiaoqing Pan 潘晓晴教授	University of California, Irvine 加州大学尔湾分校	11/2013
Prof Liqun Qi 祁力群教授	The Hong Kong Polytechnic University 香港理工大学	2/2014
Prof Chi-wang Shu 舒其望教授	Brown University 布朗大学	7/2014
Prof C K Shum 沈嗣钧教授	The Ohio State University 俄亥俄州立大学	11/2011
Prof Ben-zhong Tang 唐本忠教授	The Hong Kong University of Science and Technology 香港科技大学	5/2012
Prof Yinsheng Wang 汪寅生教授	University of California, Riverside 加州大学河滨分校	2/2016
Prof Zidan Wang 汪子丹教授	The University of Hong Kong 香港大学	11/2012
Prof Raymond Wai-yeung Wong 黄维扬教授	The Hong Kong Polytechnic University 香港理工大学	2/2012
Prof Ricky Man-shing Wong 黄文成教授	Hong Kong Baptist University 香港浸会大学	2/2017
Prof Teng-fong Wong 黄庭芳教授	The Chinese University of Hong Kong 香港中文大学	2/2013
Prof Wing-tak Wong 黄永德教授	The Hong Kong Polytechnic University 香港理工大学	2/2012
Prof Keqing Xia 夏克青教授	The Chinese University of Hong Kong 香港中文大学	2/2013
Prof Yushan Yan 严玉山教授	University of Delaware 特拉华大学	1/2015

Appendix C: Committee/Panel Membership 2016/17  
附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 学科小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Dan Yang 杨丹教授	The University of Hong Kong 香港大学	11/2011
Prof Shou-wu Zhang 张寿武教授	Princeton University 普林斯顿大学	2/2017
Prof Tian Cheng Zhang 张天成教授	University of Nebraska-Lincoln 内布拉斯加大学林肯分校	2/2014
Prof Ding-xuan Zhou 周定轩教授	City University of Hong Kong 香港城市大学	11/2012
Prof Lixing Zhu 朱力行教授	Hoong Kong Baptist University 香港浸会大学	11/2012

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Collaborative Research Fund Committee

#### 协作研究金委员会

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Edward Sze-shing Yeung (Chairman) 杨仕成教授 (主席)	Iowa State University 爱荷华州立大学	7/2014
Prof Jennifer Adams	Drexel University 德雷塞尔大学	4/2016
Prof Yuri Bazilevs	University of California, San Diego 加州大学圣地牙哥分校	3/2016
Prof Adrian Bejan	Duke University 杜克大学	3/2016
Prof Yanjie Bian 边燕杰教授	The University of Minnesota 明尼苏达大学	4/2016
Dr Peter Brewer	Monterey Bay Aquarium Research Institute 蒙特瑞湾海洋研究所	4/2016
Professor L. Catherine Brinson	Northwestern University 西北大学	3/2016
Dr Gilbert Brunet	Environment and Climate Change Canada 加拿大环境部	3/2016
Prof Wesley Cantwell	The University of Liverpool 利物浦大学	3/2016
Prof Louis KC Chan 陈国器教授	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	7/2015
Prof Chang Wen Chen 陈长汶教授	University at Buffalo, The State University of New York 纽约州立大学水牛城分校	3/2016
Prof Jiun-shyan Chen 陈俊贤教授	University of California, San Diego 加州大学圣地牙哥分校	3/2016
Prof George TC Chiu 邱祚之教授	Purdue University 普渡大学	3/2016
Prof Ken P Chong 张建平教授	The George Washington University 乔治华盛顿大学	3/2016
Prof Rey Chow 周蕾教授	Duke University 杜克大学	4/2016
Prof Yip-wah Chung, BBS 锺业华教授, BBS	Northwestern University 西北大学	7/2015
Prof Paul Clark	University of Auckland 奥克兰大学	7/2014
Prof Elisabetta Corsi 伊丽教授	University of Rome, La Sapienza 罗马大学	4/2016

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Mark E Courtney	The University of Chicago 芝加哥大学	4/2016
Prof Stephen Crain	Macquarie University 麦觉理大学	4/2016
Prof Yang Dan 丹扬教授	University of California, Berkeley 加州大学柏克莱分校	3/2016
Prof Michael P Doyle	The University of Texas at San Antonio 德克萨斯大学圣安东尼奥分校	7/2015
Prof Thomas Efferth	Johannes Gutenberg University 约翰尼斯·古腾堡—美茵兹大学	3/2016
Prof C Cindy Fan 范芝芬教授	University of California, Los Angeles 加州大学洛杉矶分校	4/2016
Prof Manfred M Fischer	Vienna University of Economic and Business 维也纳经济管理大学	4/2016
Prof Harrison Fraker	University of California, Berkeley 加州大学柏克莱分校	4/2016
Prof Piper Gaubatz	University of Massachusetts 麻省大学	4/2016
Prof Gian Francesco Giudice	CERN 欧洲核子研究组织	4/2016
Prof Xiaohua Gong	University of California, Berkeley 加州大学柏克莱分校	3/2016
Prof Min Han 韩珉教授	University of Colorado at Boulder 科罗拉多大学波尔得分校	3/2016
Dr Zhigang He 何志刚博士	Harvard University 哈佛大学	3/2016
Prof Joanna Ho 何莉芸教授	University of California, Irvine 加州大学尔湾分校	7/2014
Prof Ronnie Po-chia Hsia 夏伯嘉教授	The Pennsylvania State University 宾夕法尼亚州立大学	7/2015
Prof William N Hunter	University of Dundee 邓迪大学	3/2016
Prof Chennupati Jagadish	Australian National University 澳洲国立大学	3/2016
Prof HV Jagadish	University of Michigan 密歇根大学	3/2016

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Wei Jia 贾伟教授	University of Hawaii at Monoa 夏威夷大学马诺阿分校	3/2016
Prof Yue Kuo 郭育教授	Texas A&M University 德州农工大学	3/2016
Dr William J Langston	Marine Biological Association of the United Kingdom 英国海洋生物学协会	3/2016
Prof Ping-yee Law 罗秉义教授	University of Minnesota 明尼苏达大学	3/2016
Prof Ting-kuo Lee 李定国教授	Academia Sinica 中央研究院	3/2016
Prof Wing kam Liu 廖荣锦教授	Northwestern University 西北大学	3/2016
Prof Fanxin Long	Washington University 华盛顿大学	2/2016
Prof Sylvie Lorente	University of Toulouse 图卢兹大学	3/2016
Prof Gigi Luk 陆智敏教授	Harvard University 哈佛大学	4/2016
Prof Anita Mak	University of Canberra 坎培拉大学	4/2016
Prof Bill Milne	University of Cambridge 剑桥大学	3/2016
Prof Anil Netravali	Cornell University 康奈尔大学	3/2016
Prof On-cho Ng 伍安祖教授	The Pennsylvania State University 宾夕法尼亚州立大学	4/2016
Prof Stephen JD O'Keefe	University of Pittsburgh 匹兹堡大学	3/2016
Prof Thomas J Overbye	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	3/2016
Prof Eric G Pamer	Memorial Sloan – Kettering Cancer Center 史隆基达灵纪念癌症中心	3/2016
Prof Xiaoqing Pan 潘晓晴教授	University of California, Irvine 加州大学尔湾分校	4/2016
Prof Andy Parker	University of Cambridge 剑桥大学	7/2015

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Jian Pei 裴健教授	Simon Fraser University 西门菲沙大学	3/2016
Prof Peter Petraitis	University of Pennsylvania 宾夕法尼亚大学	3/2016
Prof Maria M Piñango	Yale University 耶鲁大学	4/2016
Prof Jerry W Shay	University of Texas Southwestern Medical Center 德克萨斯州大学西南医学中心	3/2016
Prof C K Shum 沈嗣钧教授	The Ohio State University 俄亥俄州立大学	4/2016
Prof Jay Siegel	Tianjin University 天津大学	7/2014
Prof Geoffrey L Smith	University of Cambridge 剑桥大学	7/2015
Prof David T. Takeuchi	Boston College 波士顿学院	4/2016
Prof Rocky S Tuan 段崇智教授	University of Pittsburgh 匹兹堡大学	7/2015
Prof Ya Ping Wang 王亚平教授	University of Glasgow 格拉斯哥大学	4/2016
Prof Yinsheng Wang 汪寅生教授	University of California, Riverside 加州大学河滨分校	4/2016
Prof Zhiyong Wang 王志勇教授	Stanford University 史丹福大学	3/2016
Prof Tim Wright 韦立德教授	The University of Sheffield 谢菲尔德大学	4/2016
Prof Oliver Dapeng Wu 吴大鹏教授	University of Florida 佛罗里达大学	3/2016
Prof Yushan Yan 严玉山教授	University of Delaware 特拉华大学	4/2016
Prof Paul KL Yu 余劫离教授	University of California, San Diego 加州大学圣地牙哥分校	7/2014
Prof Xiaodong Zhang 张晓东教授	The Ohio State University 俄亥俄州立大学	3/2016
Prof Minghao Zheng	The University of Western Australia 西澳大学	3/2016

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Major Projects Steering Committee

#### 大型研究项目督导委员会

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Yip-wah Chung, BBS (Chairman) 锺业华教授, BBS (主席)	Northwestern University 西北大学	8/2011
Prof Louis K C Chan 陈国器教授	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	8/2011
Prof Jiun-shyan Chen 陈俊贤教授	University of California, San Diego 加州大学圣地牙哥分校	7/2016
Prof Zhi-gang He 何志刚教授	Harvard University 哈佛大学	12/2016
Prof Ronnie Po-chia Hsia 夏伯嘉教授	The Pennsylvania State University 宾夕法尼亚州立大学	8/2011
Prof Andy Parker	University of Cambridge 剑桥大学	7/2015
Prof Edward Sze-shing Yeung 杨仕成教授	Iowa State University 爱荷华州立大学	8/2011



## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Theme-based Research Scheme Selection Panel

#### 主题研究计划遴选小组

Panel Member 遴选小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Yip-wah Chung, BBS (Chairman) 锺业华教授, BBS (主席)	Northwestern University 西北大学	8/2011
Prof Jennifer Adams	Drexel University 卓克索大学	11/2015
Prof Margaret Bell	Newcastle University 英国新堡大学	7/2014
Dr Peter Brewer	Monterey Bay Aquarium Research Institute 蒙特瑞湾海洋研究所	9/2011
Prof Sabri Cetinkunt	University of Illinois at Chicago 伊利诺大学芝加哥分校	7/2016
Prof Louis K C Chan 陈国器教授	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	9/2011
Prof Rowland W Chang 张慰堂教授	Northwestern University 西北大学	7/2014
Prof Anne Cooke	University of Cambridge 剑桥大学	9/2011
Prof Anne Edwards	University of Oxford 牛津大学	11/2015
Prof Zhi-gang He 何志刚教授	Harvard University 哈佛大学	12/2016
Prof Anil K Jain	Michigan State University 密歇根州立大学	11/2015
Prof Hau L Lee 李效良教授	Stanford University 史丹福大学	9/2011
Prof Eddy F Y Liew 刘富友教授	University of Glasgow 格拉斯哥大学	9/2011
Prof Wing-kam Liu 廖荣锦教授	Northwestern University 西北大学	11/2015
Prof Phillip Phan 潘庆财教授	Johns Hopkins University 约翰斯·霍普金斯大学	9/2011
Prof Roger H Reeves	Johns Hopkins University 约翰斯·霍普金斯大学	7/2014
Prof Anne Rutkowski	Tilburg University 蒂尔堡大学	7/2014

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

<b>Panel Member</b> 遴选小组成员	<b>Institution</b> 所属机构	<b>Appointed in</b> <b>(Month/Year)</b> 委任于(月/年)
Prof Jay Siegel	Tianjin University 天津大学	7/2016
Prof Masayoshi Tomizuka 冨冢诚义教授	University of California at Berkeley 加州大学柏克莱分校	11/2015
Dr Terence Wan 温思明博士	Hong Kong Jockey Club 香港赛马会	7/2016
Dr Allen Webb	McKinsey Company 麦肯锡公司	7/2014
Prof William M Worek	Texas A&M University – Kingsville 德克萨斯州农工大学金斯维尔分校	7/2014
Prof Edward Sze-shing Yeung 杨仕成教授	Iowa State University 爱荷华州立大学	9/2011
Prof Paul K L Yu 余劫离教授	University of California, San Diego 加州大学圣地牙哥分校	9/2011

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Steering Committee on Competitive Research Funding for the Self-financing Degree Sector 自资学位界别竞逐研究资助督导委员会

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Benjamin W Wah (Chairman) 华云生教授 (主席)	The Chinese University of Hong Kong 香港中文大学	10/2013
Prof Terry Kit-fong Au 区洁芳教授	The University of Hong Kong 香港大学	10/2013
Prof Che-ting Chan 陈子亭教授	The Hong Kong University of Science and Technology 香港科技大学	10/2016
Prof Kin-chung Ho, BBS 何建宗教授, BBS	The Open University of Hong Kong 香港公开大学	10/2013
Prof Helen Mei-ling Meng 蒙美玲教授	The Chinese University of Hong Kong 香港中文大学	10/2013
Prof Eden Siu-hung Yu 俞肇熊教授	Chu Hai College of Higher Education 珠海学院	10/2016
Mr Brian Sai-hung Lo, JP (Ex-officio Member) 卢世雄先生, JP (当然成员)	Education Bureau, HKSAR Government 香港特别行政区·教育局	7/2014

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Assessment Panel for Competitive Research Funding Schemes for the Local Self-financing Degree Sector

本地自资学位界别竞逐研究资助计划评审小组

Panel Member 小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Helen Mei-ling Meng (Chairman) 蒙美玲教授 (主席)	The Chinese University of Hong Kong 香港中文大学	9/2016
Prof Che-ting Chan (Subject Convenor (Physical Sciences)) 陈子亭教授 (学科召集人(自然科学))	The Hong Kong University of Science and Technology 香港科技大学	2/2016
Prof Patrick Yam-keung Chau (Subject Convenor (Business Studies)) 周荫强教授 (学科召集人(商学))	The University of Hong Kong 香港大学	5/2014
Prof Chu-ren Huang (Subject Convenor (Humanities and Social Sciences)) 黄居仁教授 (学科召集人(人文学 及社会科学))	The Hong Kong Polytechnic University 香港理工大学	2/2016
Prof George Guo-quan Huang (Subject Convenor (Engineering)) 黄国全教授 (学科召集人(工程学))	The University of Hong Kong 香港大学	3/2016
Prof Mingjie Zhang (Subject Convenor (Biology and Medicine)) 张明杰教授 (学科召集人(生物学 及医学))	The Chinese University of Hong Kong 香港中文大学	3/2017
Prof Iris Benzie	The Hong Kong Polytechnic University 香港理工大学	3/2016
Prof Hok-yin Chan 陈学然教授	City University of Hong Kong 香港城市大学	3/2015
Prof Felix Tung-sun Chan 陈东燊教授	The Hong Kong Polytechnic University 香港理工大学	3/2017
Prof Honglin Chen 陈鸿霖教授	The University of Hong Kong 香港大学	3/2015

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

Panel Member 小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Agnes Cheng-shing Cheng 郑振兴教授	The Hong Kong Polytechnic University 香港理工大学	3/2015
Prof Cecilia Cheng 郑思雅教授	The University of Hong Kong 香港大学	3/2015
Prof Stephen Yiu-wai Chu 朱耀伟教授	The University of Hong Kong 香港大学	3/2017
Prof Hong Chua 蔡宏教授	Technological and Higher Education Institute of Hong Kong 香港高等教育科技学院	4/2016
Prof Joanne Wai-yee Chung 锺慧仪教授	The Education University of Hong Kong 香港教育大学	3/2015
Prof Lok-sang Ho, BBS 何沛生教授	Chu Hai College of Higher Education 珠海学院	3/2017
Prof Liqiang Huang 黄力强教授	The Chinese University of Hong Kong 香港中文大学	3/2015
Prof Paul Chi-kong Kwok 郭始刚教授	The Open University of Hong Kong 香港公开大学	5/2014
Prof Timothy Chi-yui Kwok 郭志锐教授	The Chinese University of Hong Kong 香港中文大学	3/2017
Prof Joseph Tak-fai Lau 刘德辉教授	The Chinese University of Hong Kong 香港中文大学	3/2017
Prof Julie Juan Li 李娟教授	City University of Hong Kong 香港城市大学	3/2015
Prof Qing Li 李青教授	City University of Hong Kong 香港城市大学	3/2015
Prof Thomas Wai-hung Lo 劳伟雄教授	The Hong Kong Polytechnic University 香港理工大学	3/2015
Prof Qin Lu, MH 陆勤教授, MH	The Hong Kong Polytechnic University 香港理工大学	3/2015
Prof Joshua Ka-ho Mok 莫家豪教授	Lingnan University 岭南大学	5/2014
Prof Eric Wai-ting Ngai 倪伟定教授	The Hong Kong Polytechnic University 香港理工大学	3/2017
Prof Kenneth Kuen-fung Sin 冼权锋教授	The Education University of Hong Kong 香港教育大学	3/2015
Prof King-fai Tam 谭景辉教授	The Hong Kong Polytechnic University 香港理工大学	3/2017

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

<b>Panel Member</b> 小组成员	<b>Institution</b> 所属机构	<b>Appointed in</b> <b>(Month/Year)</b> 委任于(月/年)
Prof Chiu-kay Tang 邓昭祺教授	Centennial College 明德学院	3/2016
Prof Man-lai Tang 邓文礼教授	Hang Seng Management College 恒生管理学院	4/2016
Prof Wilson Hin-sang Tong 唐宪生教授	The Hong Kong Polytechnic University 香港理工大学	3/2016
Prof Xin Wang 王鑫教授	The University of Hong Kong 香港大学	3/2015
Prof Ellick Kin-fai Wong 黄健辉教授	The Hong Kong University of Science and Technology 香港科技大学	3/2015
Prof Jiang Xu 徐江教授	The Chinese University of Hong Kong 香港中文大学	3/2015

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Hong Kong PhD Fellowship Scheme Selection Panels

#### 香港博士研究生奖学金计划遴选小组

Humanities, Social Sciences and Business Studies 人文学、社会科学及商科		
Panel Member 遴选小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Alister Cumming (Chairman) (主席)	University of Toronto 多伦多大学	12/2015
Prof Hervé Ascensio	University of Paris 1 巴黎第一大学	12/2015
Prof Iain Borden	University College London 伦敦大学学院	12/2015
Prof Joanna Ho 何莉芸教授	University of California, Irvine 加州大学尔湾分校	12/2015
Prof Maxwell King	Monash University 蒙纳士大学	12/2015
Prof Mei Po Kwan 关美宝教授	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	12/2015
Prof Nancy Wai Ying Law 罗陆慧英教授	The University of Hong Kong 香港大学	12/2015
Prof Tai Lok Lui 吕大乐教授	The Education University of Hong Kong 香港教育大学	12/2015
Prof Joyce Lai Chong Ma 马丽庄教授	The Chinese University of Hong Kong 香港中文大学	12/2015
Prof Phyllis Lai Lan Mo 巫丽兰教授	City University of Hong Kong 香港城市大学	12/2015
Prof Anirban Mukhopadhyay 谿宁宝教授	The Hong Kong University of Science and Technology 香港科技大学	12/2015
Prof Barry Naughton	University of California, San Diego 加州大学圣地牙哥分校	12/2015
Prof Ken Neil	The Glasgow School of Art 格拉斯哥艺术学院	12/2015
Prof Jean Oi	Stanford University 史丹福大学	12/2015

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

<b>Panel Member</b> 遴选小组成员	<b>Institution</b> 所属机构	<b>Appointed in</b> <b>(Month/Year)</b> 委任于(月/年)
Prof Jan Servaes 瑟韦斯教授	City University of Hong Kong 香港城市大学	12/2015
Prof Suresh Sethi	The University of Texas at Dallas 德州大学达拉斯分校	12/2015
Prof Billy So 苏基朗教授	The Hong Kong University of Science and Technology 香港科技大学	12/2015
Prof Crispin Thurlow	University of Bern 伯恩大学	12/2015
Prof Andrew Tolmie	University of London 伦敦大学	12/2015
Prof Richard Mark Walker 华乐勤教授	City University of Hong Kong 香港城市大学	12/2015



## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Hong Kong PhD Fellowship Scheme Selection Panels

#### 香港博士研究生奖学金计划遴选小组

Science, Medicine, Engineering and Technology 科学、医学、工程及科技		
Panel Member 遴选小组成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Richard Strugnell (Chairman) (主席)	The University of Melbourne 墨尔本大学	12/2015
Prof Ilesanmi Adesida	University of Illinois at Urbana – Champaign 伊利诺大学厄巴纳－香槟分校	12/2015
Prof Iris Benzie	The Hong Kong Polytechnic University 香港理工大学	12/2015
Prof Lin-Lin Chen	The National Taiwan University of Science and Technology 国立台湾科技大学	12/2015
Prof Manish Chhowalla	Rutgers University 罗格斯大学	12/2015
Prof Siu-Wing Cheng	The Hong Kong University of Science and Technology 香港科技大学	12/2015
Prof Amr Elnashai	The Pennsylvania State University 宾夕凡尼亚州立大学	12/2015
Prof Brian Ford-Lloyd	University of Birmingham 伯明翰大学	12/2015
Prof Robin Garrell	University of California, Los Angeles 加州大学洛杉矶分校	12/2015
Prof Jionghua Judy Jin	University of Michigan 密西根大学	12/2015
Prof Mohan Kankanhalli	National University of Singapore 新加坡国立大学	12/2015
Prof Baochun Li 李葆春教授	University of Toronto 多伦多大学	12/2015
Prof Victor O.K. Li	The University of Hong Kong 香港大学	12/2015
Prof Chain Tsuan Liu	City University of Hong Kong 香港城市大学	12/2015
Prof Giovanni Lozza	Politecnico di Milano 米兰理工大学	12/2015
Prof John Chi Shing Lui	The Chinese University of Hong Kong 香港中文大学	12/2015

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

<b>Panel Member</b> 遴选小组成员	<b>Institution</b> 所属机构	<b>Appointed in</b> <b>(Month/Year)</b> 委任于(月/年)
Prof Peter Markowich	University of Cambridge 剑桥大学	12/2015
Prof Bradley Nelson	ETH Zurich 苏黎世联邦理工学院	12/2015
Prof Irene Oi Lin Ng 吴吕爱莲教授	The University of Hong Kong 香港大学	12/2015
Prof Kwok-po Ng 吴国宝教授	Hong Kong Baptist University 香港浸会大学	12/2015
Prof Laura Poole-Warren	University of New South Wales 新南威尔士大学	12/2015
Prof Michael Somekh	The Hong Kong Polytechnic University 香港理工大学	12/2015
Prof Michel Van Hove	Hong Kong Baptist University 香港浸会大学	12/2015
Prof Xun-Li Wang	City University of Hong Kong 香港城市大学	12/2015
Prof Ming Hung Wong	The Education University of Hong Kong 香港教育大学	12/2015
Prof Zhenguo Wu	The Hong Kong University of Science and Technology 香港科技大学	12/2015
Prof Zhou Ping Xin	The Chinese University of Hong Kong 香港中文大学	12/2015
Prof Vivian Wing-wah Yam 任咏华教授	The University of Hong Kong 香港大学	12/2015
Prof Yuan F Zheng	The Ohio State University 俄亥俄州立大学	12/2015

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Disciplinary Committee (Investigation)

纪律委员会 (调查)

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Albert F Yee (Chairman) 余凡教授 (主席)	University of California, Irvine 加州大学尔湾分校	2/2015
Prof Chris Berry 裴开瑞教授	King's College London 伦敦国王学院	2/2015
Prof Guoping Feng 冯国平教授	Massachusetts Institute of Technology 麻省理工学院	2/2015
Prof Joanna Ho 何莉芸教授	University of California, Irvine 加州大学尔湾分校	2/2015
Prof Jay Siegel	Tianjin University 天津大学	2/2015

## Appendix C: Committee/Panel Membership 2016/17 附录 C：委员会／学科小组 2016/17 年度成员名单

### Disciplinary Committee (Penalty)

纪律委员会 (罚则)

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Peter Y Yu (Chairman) 于鑫教授 (主席)	University of California, Berkeley 加州大学柏克莱分校	7/2016
Prof Peter Frederic Cane	University of Cambridge 剑桥大学	7/2016
Prof S. Tamer Cavusgil	Georgia State University 乔治亚州立大学	7/2016
Prof Yip-wah Chung, BBS 锺业华教授, BBS	Northwestern University 西北大学	7/2016
Prof Geoffrey L Smith	University of Cambridge 剑桥大学	7/2016

Appendix C: Committee/Panel Membership 2016/17  
附录 C：委员会／学科小组 2016/17 年度成员名单

**Disciplinary Committee (Appeal)**

纪律委员会（上诉）

Committee Member 委员会成员	Institution 所属机构	Appointed in (Month/Year) 委任于(月/年)
Prof Alan B Rickinson (Chairman) (主席)	The University of Birmingham 伯明罕大学	8/2016
Prof Paul Clark	The University of Auckland 奥克兰大学	8/2016
Prof Michael P Doyle	The University of Texas at San Antonio 德克萨斯大学圣安东尼奥分校	8/2016
Prof Andrew J Szeri	University of California, Irvine 加州大学尔湾分校	8/2016
Prof Rosalie L. Tung	Simon Fraser University 西门菲莎大学	8/2016

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