RGC Reference HKUST2/CRF/11G please insert ref. above

## The Research Grants Council of Hong Kong Collaborative Research Fund Group Research Projects Completion Report

(for completed projects only)

#### **Part A:** The Project and Investigator(s)

#### 1. Project Title

Controlling scattering and absorption cross sections using simple artificial structures

2. Investigator(s) and Academic Department/Units Involved (please highlight approved changes in the composition of the project team and quote the date when RGC granted approval of such changes)

			Average number of hours per week spent on this project in the current
Research Team	Name/Post	Unit/Department/Institution	reporting period
Project Coordinator	Prof. Chan Che-ting / Chair Professor	Physics Department / HKUST	10
Co-Principal investigator(s)	Prof. Chan Ho-bun / Associate Professor	Physics Department / HKUST	6
	Prof. Cheah Kok-wai / Chair Professor	Physics Department / HKBU	2
	Prof. Ng Tsz Fai / Assistant Professor	Physics Department / HKBU	5
	Prof. Pun Yue-bun / Chair Professor	Electronic Engineering Department / CityU	4
	Prof. Sheng Ping / Dr William M W Mong Chair Professor of Nanoscience	Physics Department / HKUST	5
	Prof. Tam Hoi Lam / Research Assistant Professor	Physics Department / HKBU	5
	Prof. Tam Wing-yim Professor	Physics Department / HKUST	10
	Prof. Wen Wei-jia / Professor	Physics Department / HKUST	2
	Prof. Wong Kam-sing / Professor	Physics Department / HKUST	5

	Prof. Yang Zhiyu / Professor	Physics Department / HKUST	4
Collaborators/ Others	Prof. Li Tsan-hang / Senior Lecturer	School of Physics and Astronomy / University of Birmingham*	

\* RGC approved the request to change Prof. Jensen Li from Co-Investigator to an External Collaborator in April, 2013 [see Appendix I - RGC letter]

## 3. **Project Duration**

	Original	Revised	Date of RGC Approval (must be quoted)
Project Start Date	February 1, 2012		
Project Completion Date	January 31, 2015		
Duration (in month)	36 months		
Deadline for Submission of Completion Report	January 31, 2016		

### Part B: The Final Report

#### 5. **Project Objectives**

5.1 Objectives as per original application

1. Design artificial structures based on simple structures and materials that can modify significantly the scattering and absorption cross section of other objects. The artificial structure can either be a coating or a self-supporting structure. We will consider both electromagnetic waves and acoustic waves.

2. Develop theoretical, numerical and fabrication techniques that facilitate the realization of these structures.

- 3. Experimentally fabricate and characterize these structures.
- 4. Realize new, interesting and unusual effects using such structures.

#### 5.2 Revised objectives

Date of approval from the RGC:

Reasons for the change: \_\_\_\_\_

1. 2. 3. .... N/A

#### 6. Research Outcome

6.1 Major findings and research outcome *(maximum 1 page; please make reference to Part C where necessary)* 

The collaboration of theorists and experimentalists results in new structures with new wave manipulations capability for acoustic and EM waves. A wide frequency range is covered: from a few hundred Hz in acoustic waves, to much higher frequencies in a broad spectrum of EM waves (GHz, THz, IR and optical frequencies). Some of our results are published in high impact journals and also resulted in patents and technology transfer. In the following, we highlight some noteworthy outputs:

New structures: The theory and experimental collaboration led to the discovery of two mechanisms for designing new artificial structures that can strongly increase the absorption cross-section for low frequency sound [Nat. Mater. 13, 873(2014), Nat. Commun. 3, 756 (2012)]. Aside from their academic value, our works in this regard have initiated some applications in the use of acoustic metamaterials towards low frequency noise mitigation. In particular, a company has been incorporated by one of our former PhD students, Acoustic Metamaterials Ltd., to fabricate sound absorbing panels. The company has been admitted into the Hong Kong Science Park. We also introduced a new scheme called "space-coiling" which can be used to generate metamaterials with extreme effective parameters. Combined with resonances, the space-coiling structures can generate refractive indices from large positive to zero to negative values. The idea is published as a theoretical proposal [Phys. Rev. Lett. 108, 114301, 2012] and subsequently as an experimental realization [Sci. Rep. 3, 1614 (2013)]. We have developed a new route in constructing metasurfaces using "geometric-phase elements", which significantly simplifies design and fabrication process. We laid down the fundamental principle and design methodology using these geometric-phase metasurfaces [Opt. Exp. 20, 14882 (2012)]. It is one of the first papers on this route to numerically demonstrate spin-dependent steering and focusing of light using geometric-phase metasurfaces. We then designed and fabricated metasurfaces of this type to achieve spin-induced manipulation of orbital angular momentum of light [Nano. Lett. 13, 4148 (2013)]. We also considered non-linear responses and we realized nonlinear metasurfaces with continuously controllable phase [Nat. Mater. 14, 607 (2015)] and nonlinear plasmonic metacrystals that exhibit rotational symmetry dependent third harmonic generation [Phys. Rev. Lett. 113, 033901 (2014).]

<u>New techniques</u>: Theory and experimental teams worked together to develop a very precise method to measure the microwave radiation acting on metallic resonant units and showed that the induced radiation force can be two orders of magnitude higher than the usual radiation force due to the reflection on a metallic surface. This method has the unique capability of distinguishing between the direct radiation force and the indirect bolometric component [Phys. Rev. Lett.112, 045504 (2014)]. We have developed a simple and effective shadowing vapor deposition method in the fabrication of chiral metamaterials for the visible range [J. Opt. 15, 072101 (2013)]. The work was selected as in "Lab Talk" of J. of Optics in 2013. There are many routes that can be used to fabricate chiral structures in the nano-scale and our method stands out as being very simple.

<u>New phenomena</u>: It is common textbook knowledge of photon pressure that light (carrying photons with positive linear momentum) will push an object and photons carrying positive angular momentum will rotate an object in the same sense as the incoming photons. We found that by designing systems in which the differential scattering cross sections can be controlled, some special kind of light beams can pull and can turn an object in the opposite sense as the incoming photons. We discovered and optimized many unconventional phenomena such as chirality induced optical pulling force acting on chiral particles [PRA 89, 063825 (2014)], negative optical torque [Sci. Rep. 4, 6386 (2014)], and optimized optical pulling force using "core-shell" structures [Opt. Lett. 39, 2399 (2014)].

<u>Looking forward</u>: PT-symmetric systems can strongly modify scattering and absorption cross sections. As such, the notion of "exceptional points" has attracted a lot of recent attention. As a prelude to a new line of work, we explored the coalescence of exceptional points in PT-symmetric photonic crystals [Phys. Rev. B 92, 235310 (2015)]. Exceptional point physics and topological concepts applied to classical waves will be natural extensions of our current line of work.

6.2 Potential for further development of the research and the proposed course of action *(maximum half a page)* 

A natural extension of this CRF project is to explore the application of modern concepts such as topological invariants and parity-time symmetry to the manipulation of light and sound waves. Recent advances in understanding the topological characteristics of momentum space led to the emergence of new classes of electronic materials such as topological insulators and Weyl semimetals. A novel and useful property of this new class of materials is that they can support symmetry protected uninterruptable transport at their edges or surfaces which can be exploited for many advanced applications. It will be interesting to explore the possibility of using topological notions to design artificial wave functional media (metamaterials) that supports robust transport of electromagnetic and acoustic waves that are not compromised by defects or imperfections. It is also interesting to explore the notion of Parity-Time (PT) Symmetry and "exceptional point" and their implications in classical wave transport. In the language of quantum mechanics, "PT" symmetry is about going beyond the usual Hermitian Hamiltonian and explores the consequences of having a non-Hermitian operator. In the language of optics, this is equivalent to considering systems with balanced gain and loss satisfying some specific symmetry requirements. The possibility of realizing new devices and exciting new applications such as loss-induced lasing and perfect absorption enabled by the symmetry breaking transition (exceptional points) should be explored. While most, if not all, of the current attention is focused on non-Hermitian systems that have complex diagonal elements in the Hamiltonian matrix, it is possible to construct classical wave systems in which the governing matrix is real but non-symmetric. The "exceptional point" behavior of such systems and the implications for light and sound waves can be explored.

#### 6.3 Research collaboration achieved (please give details on the achievement and its relevant impact)

To achieve the goal of realizing new materials and new structures that can beat natural materials in wave manipulation, we will first conceive such materials or phenomena through intuition and the wave-material interaction would then be simulated using numerical techniques. These two tasks are performed by the theory team. The experimental teams would then make the materials and the phenomena will be measured and characterized. The following are some examples in which the theory-simulation-fabrication-measurement collaboration is crucial for the delivering the new material:

- Low frequency sound absorbers
- Metamaterials with extreme parameters using space-coiling
- Metasurfaces for manipulating the orbital angular momentum of light
- Plasmonic metacrystals and metasurfaces for non-linear effects

We note that some of these materials have immediate application potential.

C.T. Chan's group provides simulation support for the experimental teams, which are essential for the interpretation of measurement results. Examples are the chiral metamaterials of Tam's and Wong's group, various holographic lithography produced samples of Wong's group, the THz's samples of Wen's group for polarization manipulation, and the microwave samples of HB Chan's group.

Collaboration among groups in different institutions take advantage of complementary expertise and equipment available in different institutions facilitate the realization of new structures: Examples are the collaboration between Baptist U group and CityU group in the realization of plasmonic metacrystals and metasurfaces for non-linear effects.

Another example is the collaboration between HKUST groups and the Baptist group to study optical pulling force and negative torque and the design of new methodology to measure optical force. The collaboration between theory and experiment is indispensable in particular for the distinction between heating effect and radiation force.

The CRF team has active international collaboration with the metamaterial laboratory at Birmingham (UK). This collaboration is facilitated by the move of one of our team member (Jensen Li) from CityU to Birmingham.

The group meeting information among different groups that testifies close collaboration can be found in the appendix [Appendix II].

#### 7. The Layman's Summary

(describe <u>in layman's language</u> the nature, significance and value of the research project, in no more than 200 words)

The essence of this proposal is to develop new functional materials and artificial structures to modify the scattering and absorption of electromagnetic waves and acoustic waves. In simple words, we want to design, fabricate and test new man-made materials which can control, confine, scatter or absorb light and sound waves better than what natural materials can do. We put emphasis on simple structures and simple techniques that can be realized more easily. The research output has both academic significance and practical impact. As one example, the team succeeded in designing and making very thin artificial structures that can absorb almost completely low frequency sound. Academically speaking, this is an extremely challenging task and our theory/experiment team jointly solved the problem. The application value is quite obvious and a small start-up company has already been founded by a former student involved in this project. Other amazing effects we have discovered include for example various strategies to use light to pull objects, which was thought to be impossible just a few years ago. Such strategy of using light to pull objects will be particularly useful in the future for the manipulation of small particles which would be otherwise very difficult to control.

## Part C: Research Output

### 8. Peer-reviewed journal publication(s) arising <u>directly</u> from this research project

(Please attach a copy of the publication and/or the letter of acceptance if not yet submitted in the previous progress report(s). All listed publications must acknowledge RGC's funding support by quoting the specific grant reference.) [See Appendix III for publications]

The La	atest Status of	f Public	cations	Author(s)	Title and	Submitte	Attache	Acknow	Accessibl
Year of	Year of	Unde	Under	(denote the	Journal/Bo		d to	ledged	e from
publicatio	Acceptance			correspondi	ok (with	(indicate	this	the	the
n		Revie	-	ng author	the volume,	the year	report	support	institution
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	not yet		×1 /	asterisk*)	other	the	No)	(Yes or	repository
	published)			,	necessary	relevant	,	No)	(Yes or
	1 ,					progress		,	No)
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			2015	H. X. He, K.	Imaging	2015	No	Yes	Not
				S. Wong*	through				applicabl
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		2015		H. M. Su, Y.	-	2015	No	Yes	Not
				X. Gao, J.	Effects in				applicabl
				Ma, Y. C.	the Optical				e
				Zhong, W.	Active				
				Y. Tam, C.	Second				
				T. Chan,	Harmonic				
				Kam Sing	Generation				
				Wong*	from				
					Sawtooth				
					Chiral				
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2015				V Disc. 7	review)	2015	Vaa	Vaa	Vaa
2015				K. Ding, Z.	Coalescenc	2015	Yes	Yes	Yes
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				<i>Crystals,</i> Phys. Rev. B 92, 235310 (2015)				
2015			M. Chen, N. Pholchai,	Continuous Control of the Nonlinearit Y Phase for Harmonic Generation s, Nat. Mater. 14, 607-612 (2015).		Yes	Yes	Yes
2015			X. Xiao, B. P. Zhou, X. K. Wang, J. W. He, B. Hou, Y. Zhang, and W. J. Wen*	An Analog of Electricall y Induced Transpare	2015	Yes	Yes	Yes
2015			C. R. Han, and W. Y. Tam*		2015	Yes	Yes	Yes
2015			C. R. Han, and W. Y. Tam*	Chirality from Shadowing Deposited Metallic Nanostruct ures, Phot. Nano. Fund. Appl. 13,	2015	Yes	Yes	Yes

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				50-57				
				(2015).				
2015			M. Jie, K. S.	Fabricatio	2015	Yes	Yes	Yes
			Wong, S. Li,					
			Z. Chen, J.	Area				
			Y. Zhou, and	Photonic				
			Y. C.	Crystals				
			Zhong*	with				
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				Defects by				
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				y, J Opt				
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				19, 63-68				
				(2015).				
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2015			C W V'	(2015).	2015	<b>N</b> 7	<b>X</b> 7	N7
2015			S. W. Xiao,		2015	Yes	Yes	Yes
			G. C. Ma,	Control of Membrane				
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			Yang, P. Sheng*	-type Acoustic				
			Sheng.	Metamater				
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			Matter 26,				
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2014		N. Wang*,	-	2015	Yes	Yes	Yes
		W. L. Lu, J.					
		Ng, and Z.	"Tractor				
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			Core-shell				
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2014		X. Wang,	Optical	2015	Yes	Yes	Yes
			Activities				
		J. Hung, and					
		W. Y. Tam*	Large-area SU8				
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			Holograph				
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			y, Appl.				
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			2425-2430				
			(2014).				
2014		S. M. Chen,		2015	Yes	Yes	Yes
		G. X. Li, F.					
		Zeuner, W.	Third-harm				
		H. Wong, Y.					
		B. Pun, T.	Generation				
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			Ding, K. H.					
				Sci. Rep. 4				
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			T. Chan*					
2014			K. Ding, J.	Realization	2015	Yes	Yes	Yes
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			C. T. Chan*					
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			Z. Y. Yang,	Hybrid				
			and P.	Resonances				
			Sheng*	, Nat.				
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2014			M. Yang, G.	Homogeniz	2015	Yes	Yes	Yes
			C. Ma, Y.	ation	_010			
			Wu, Z. Y.	Scheme for				
			Yang, and P.					
			Sheng*	Metamater				
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2014			Z. Marcet,		2015	Yes	Yes	Yes
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			B. Chan*	Parallel				
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				Phys. Rev. Lett. 112, 045504				
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2014			H. M. Leung, C. R. Han, Y. H. Li, C.T.	Quasi-3D Chiral Metamater	2013	No	Yes	Yes
			Chan and W. Y. Tam*	ials Fabricated by Shadowing Vapor Deposition,				
				J. Opt. 16, 015102 (2014)				
2013			Y. F. Cheung, H. W. Choi, Z.	Hybrid GaN/Orga nic White		Yes	Yes	Yes
2013			Y. Song, X. S. Xie, M. N. Feng, Z. M. Xiao, S. Z. Deng, J. T. Li, K. S. Wong, and	Waveguide Array,		No	Yes	Yes
2013			S. B. Wang*, H. H. Zheng, J. J. Xiao, Z. F. Lin,	Fast Multiple	2013	No	Yes	Yes

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2013			S. M. Chen,		2013	No	Yes	Yes
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2013			B. Hou and		2013	No	Yes	Yes
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			Acoustic Microdevic es", edited				
			by M. G. Beghi				
			(2013)				
2013		H. M. Leung	Chiral	2013	No	Yes	Yes
2013		Edwin Y. B. Pun, K. W. Cheah, and J. Li*	ed Plasmonic Metasurfac es for Manipulati ng Orbital Angular Momentum of Light, Nano Lett. 13, 4148–4151 (2013)		No	Yes	Yes
2013		Wong, Y. P. Pun, K. W. Cheah*, G. X. Li*	Plasmon-e	2013	No	Yes	Yes
2013		M. Yang*, Z. Y. Yang and P. Sheng		2013	No	Yes	Yes

2013     Andrey A.     ncy     Narrow-ba       2013     M. Yang, Z.     Narrow-ba     nd       2013     M. Yang, G.     Coustic     Filter with       Large     Orifice,     Appl.       Phys. Lett.     103,     011903       (2013)     M. Yang, G.     Cougled     2013     No       Yes     Yes     Yes       Yes		, I	,						
2013   G. C. Ma*, Newsings on Acoustics, Vol. 19, 065016 (2013)   No   Yes   Yes     2013   G. C. Ma*, N. Yang, Z. Y. Yang*, and P. Sheng   No   Yes   Yes     2013   M. Yang, Z. Y. Yang*, and P. Sheng   No   Yes   Yes     2013   M. Yang, C. Y. Yang*, and P. Sheng   No   Yes   Yes     2013   M. Yang, G. Cutation (11903)   2013   No   Yes     2013   M. Yang, G. Cutation (11903)   2013   No   Yes     2013   M. Yang, G. Cutation (11903)   2013   No   Yes     2013   M. Yang, G. Cutation (2013)   2013   No   Yes     2013   Matrix   Matrix   No   Yes     2013   Andrei S. Susha, Xu, R. Q.   2013   No   Yes     2013   Andrei S. Susha, Xu, R. Q.   Zoll No   Yes     2014   Andrey A. Sudy of Lutich, C. Colloidal M. Liu, H.   Natores Yung, Andrei S. Susha, Xu, R. Q.   Yes     2014   Andrey A. Susha, S. H. Yang.   Yes   Yes					Metamater				
2013   G. C. Ma*, Newsings on Acoustics, Vol. 19, 065016 (2013)   No   Yes   Yes     2013   G. C. Ma*, N. Yang, Z. Y. Yang*, and P. Sheng   No   Yes   Yes     2013   M. Yang, Z. Y. Yang*, and P. Sheng   No   Yes   Yes     2013   M. Yang, C. Y. Yang*, and P. Sheng   No   Yes   Yes     2013   M. Yang, G. Cutation (11903)   2013   No   Yes     2013   M. Yang, G. Cutation (11903)   2013   No   Yes     2013   M. Yang, G. Cutation (11903)   2013   No   Yes     2013   M. Yang, G. Cutation (2013)   2013   No   Yes     2013   Matrix   Matrix   No   Yes     2013   Andrei S. Susha, Xu, R. Q.   2013   No   Yes     2013   Andrei S. Susha, Xu, R. Q.   Zoll No   Yes     2014   Andrey A. Sudy of Lutich, C. Colloidal M. Liu, H.   Natores Yung, Andrei S. Susha, Xu, R. Q.   Yes     2014   Andrey A. Susha, S. H. Yang.   Yes   Yes					ials.				
2013     G. C. Ma*, N. Yang, Z. ncy Y. Yang*, and P. Sheng     No     Yes     Yes       2013     G. C. Ma*, N. Yang, Z. ncy Y. Yang*, and P. Sheng     No     Yes     Yes       2013     M. Yang, Z. ncy Y. Yang*, and P. Sheng     Narrow-ba Acoustic Filter with Large Orifice, Appl. Phys. Lett. 103, 011903 (2013)     No     Yes     Yes       2013     M. Yang, G. C. Ma, Z. Y. Membrane Yang, and P. Sheng*     2013     No     Yes     Yes       2013     M. Yang, G. C. Ma, Z. Y. Membrane Yang, and P. Sheng*     2013     No     Yes     Yes       2013     M. Yang, G. C. Ma, Z. Y. Mass Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301 (2013)     2013     No     Yes     Yes       2013     Andrei S. Susha, Andrey A. Zhang, Y. Zhang, Y. She Yang, Thin     2013     No     Yes     Yes									
2013   G. C. Ma*, Acoustics, Vol. 19, 065016 (2013)   No   Yes   Yes     2013   G. C. Ma*, Y. Yang*, and P. Sheng   Low-freque Narrow-ba nd Acoustic Filter with Large Orifice, Appl.   No   Yes   Yes     2013   M. Yang, G. Sheng   Narrow-ba nd Acoustic Filter with Large Orifice, Appl.   No   Yes   Yes     2013   M. Yang, G. C. Ma, Z. Y. Menbrane Yang, and P. Sheng*   2013   No   Yes   Yes     2013   M. Yang, G. C. Ma, Z. Y. Membrane Yang, and P. Sheng*   2013   No   Yes   Yes     2013   M. Yang, G. Coupled Cou									
2013   G. C. Ma*, N. Yang, Z. Y. Yang*, and P. Sheng   Low-freque ncy   2013   No   Yes   Yes     2013   G. C. Ma*, M. Yang, Z. Y. Yang*, and P. Sheng   Low-freque ncy   2013   No   Yes   Yes     2013   M. Yang, Z. Y. Yang*, and P. Sheng   Narrow-ba Acoustic Filter with Large Orifice, Appl. Phys. Lett. 103, 011903   No   Yes   Yes     2013   M. Yang, G. Coupled C. Ma, Z. Y. Mag, and P. Sheng*   2013   No   Yes   Yes     2013   M. Yang, G. C. Ma, Z. Y. Mass, Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301 (2013)   2013   No   Yes   Yes     2013   Andrei S. Susha, Andrey A. Study of Lutich, C. Zhong, K. S. Wong, K. S. Wong, K. S. Wong, K. S. Wong, Atomically   2013   No   Yes   Yes									
2013   G. C. Ma*, Low-freque (2013)   2013   No   Yes   Yes     2013   G. C. Ma*, Low-freque (2013)   2013   No   Yes   Yes     2014   M. Yang, Z. Narrow-ba and P. Sheng   Narrow-ba Acoustic Filter with Large Orifice, Appl.   No   Yes   Yes     2013   M. Yang, G. C. Ma, Z. Y. Membrane Yang, and P. Sheng*   2013   No   Yes   Yes     2013   M. Yang, G. C. Ma, Z. Y. Membrane Yang, and P. Sheng*   2013   No   Yes   Yes     2013   M. Yang, G. C. Ma, Z. Y. Membrane Yang, and P. Sheng*   2013   No   Yes   Yes     2013   M. Yang, G. C. Ma, Z. Y. Mass Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301 (2013)   2013   No   Yes   Yes     2013   Andrei S. Comparati Andrey A. Susha, Xu, RQ, Titania Zhang, Y. Namorods C. Zhong, K. S. Wong, Atomically   2013   No   Yes   Yes					-				
2013     G. C. Ma*, Low-freque 2013 (2013)     No     Yes     Yes       2013     G. C. Ma*, Low-freque 2013 M. Yang, Z. ncy Y. Nag*, and P. Sheng     No     Yes     Yes       2014     M. Yang, Z. ncy Y. Nag*, and P. Sheng     nd marrow-ba nd Acoustic Filter with Large Orifice, Appl.     No     Yes     Yes       2013     M. Yang, G. Coupled C. Ma, Z. Y. Membrane Yang, and P. s with Sheng*     2013     No     Yes     Yes       2013     M. Yang, G. Coupled C. Ma, Z. Y. Membrane Yang, and P. s with Doubly Negative Mass Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301     2013     No     Yes     Yes       2013     Andrei S. Susha, Xuñdrey A. Zutag, Y. Zutag, Y. Nanorods C. Zhong, K. S. Wong, K. S. Wong, S. H. Yang, Thin     2013     No     Yes     Yes									
2013     G. C. Ma*, (2013)     Low-freque M. Yang, Z. ncy Y. Yang*, and P. Sheng     No     Yes     Yes       2013     No     Yes     Yes     Yes       2014     Sheng     Accoustic Filter with Large Orfifice, Appl.     No     Yes     Yes       2013     M. Yang, G. Coustic Filter with Large     2013     No     Yes     Yes       2013     M. Yang, G. Coustic Filter with Large     2013     No     Yes     Yes       2013     M. Yang, G. Coustic Filter with Large     2013     No     Yes     Yes       2013     M. Yang, G. Coustic Wass     2013     No     Yes     Yes       2013     M. Yang, G. Coustic Wass     2013     No     Yes     Yes       2013     M. Yang, G. Coustic Wass     2013     No     Yes     Yes       2013     Andrei S.     Comparati Wass     2013     No     Yes     Yes       2013     Andrei S.     Comparati Wassha, Andrey A.     2013     No     Yes     Yes       2013     Andrey A.     Study of Lutich, C.     Colloidal M. Liu, H.     Anatase Xu, R, O.     7iania Zhang, Y.     Nonorods R. S.					,				
2013     G. C. Ma*, Low-freque     2013     No     Yes     Yes       2013     G. C. Ma*, Low-freque     2013     No     Yes     Yes       M. Yang, Z.     nd     Narrow-ba     nd     Narrow-ba     nd       Sheng     Acoustic     Filter with     Large     Orifice,     Appl.       Phys. Lett.     103,     011903     2013     No     Yes     Yes       2013     M. Yang, G. Coupled     2013     No     Yes     Yes       2013     M. Yang, G. Coupled     2013     No     Yes     Yes       2013     M. Yang, G. Coupled     2013     No     Yes     Yes       2013     M. Yang, S. Coupled     2013     No     Yes     Yes       2013     M. Yang, S. Coupled     2013     No     Yes     Yes       2013     Andrei S.     Yes     Yes     Yes     Yes       2013     Andrei S.     Comparati     2013     No     Yes     Yes       2013     Andrei S.     Comparati     2013     No     Yes     Yes       2013									
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2013     M. Yang*, Narrow-ba and P.     Narrow-ba nd       2013     M. Yang, G. Coupled C. Ma, Z. Y. Membrane Yang, and P.     2013     No     Yes       2013     M. Yang, G. Coupled C. Ma, Z. Y. Membrane Yang, and P. s with Doubly Negative Mass Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301 (2013)     No     Yes     Yes       2013     Andrei S.     Comparati Varg, and P. s with Doubly Negative Mass Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301 (2013)     No     Yes     Yes       2013     Andrei S.     Comparati Varg, and P. s with Doubly Negative Mass Density and Bulk Modulus, Phys. Rev. Lett. 10, 134301 (2013)     2013     No     Yes       2014     Andrei S.     Comparati Varg, and P.     2013     No     Yes       2013     Andrei S.     Comparati Varg, Andrey A.     2013     No     Yes       2013     Andrey A.     Study of Lutich, C.     Colloidal M. Liu, H.     Natase Xu, R. Q.     Nanorods C. Zhong, and K. S. Wong, Atomically S. H. Yang, Thin     No     Yes									
and P.     nd       Sheng     Acoustic       Filter with     Filter with       Large     Orifice,       Appl.     Phys. Lett.       103,     011903       (2013)     M. Yang, G. Coupled       2013     C. Ma, Z. Y. Membrane       Yang, and P.     swith       Doubly     No       Yes     Yes       Yes     Yes       M. Yang, G. Coupled     2013       C. Ma, Z. Y. Membrane     Yang, and P.       Yang, and P.     swith       Doubly     Negative       Mass     Density       and Bulk,     Modulus,       Phys. Rev.     Lett. 110,       134301     (2013)       2013     Andrei S.       Susha,     ve Optical       Andrey A.     Study of       Lutich, C.     Colloidal       M. Lui, H.     Anatase       Xu, R. Q.     Titania       Zhang, Y.     Nanorods       C. Zhong,     and       K. S. Wong,     Komically       S. H. Yang,     Thin									
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2013     Andrei S.     Comparati Optical Andrei S.     2013     No     Yes     Yes       2013     Andrei S.     Comparati Collidial Modulus, Phys. Rev. Lett. 110, 134301 Collidial     2013     No     Yes     Yes       2013     M. Yang, G. Coupled C. Ma, Z. Y. Membrane Yang, and P. swith Doubly Negative Mass Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301 Collidial     2013     No     Yes     Yes       2013     Andrei S.     Comparati Collidial M. Liu, H.     2013     No     Yes     Yes       2013     Andrei S.     Comparati Collidial M. Liu, H.     2013     No     Yes     Yes       2013     Andrei S.     Comparati Colloidal M. Liu, H.     Andreis     Andreis     Yes     Yes       2013     Andrei S.     Comparati Colloidal M. Liu, H.     Andreis     Andreis     Yes     Yes				Sheng					
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2013     M. Yang, G. Coupled (2013)     2013     No     Yes     Yes       2013     M. Yang, G. Coupled C. Ma, Z. Y. Membrane Yang, and P. s with Sheng*     2013     No     Yes     Yes       2014     Yes     Yes     Yes     Yes     Yes     Yes       2015     M. Yang, and P. s with Sheng*     Doubly Negative Mass Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301 (2013)     No     Yes     Yes       2013     Andrei S. Susha, ve Optical Andrey A. Study of Lutich, C. Colloidal M. Liu, H. Anatase Xu, R. Q. C. Zhong, and K. S. Wong, Atomically S. H. Yang, Thin     2013     No     Yes     Yes									
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Sheng*     Doubly Negative Mass Density and Bulk Modulus, Phys. Rev. Lett. 110, 134301 (2013)     No     Yes       2013     Andrei S. Susha, ve Optical Andrey A. Lutich, C. Colloidal M. Liu, H. Lutich, C. Colloidal M. Liu, H. Study of Lutich, C. Colloidal M. Liu, H. Susna, Y. Nanorods C. Zhong, and K. S. Wong, S. H. Yang, Thin     2013     No     Yes     Yes									
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			Phys. 46,				
			395104				
2012		VCD: *	(2013)	2012	Na	Var	Vac
2013		Y. S. Ding*,		2013	No	Yes	Yes
			Waves in a				
		and R. P.	Gradient				
		Wang	Negative-i				
			ndex Lens				
			of a				
			Half-infinit				
			e Length,				
			Sci. Rep. 3,				
			2954				
						1	

			(2013)				
2012		Z. X. Liang and J. Li*	Extreme Acoustic Metamater	2013	No	Yes	No
			ial by Coiling Up				
			<i>Space,</i> Phys. Rev.				
			Lett. 108, 114301				
			(2012)				
2012		M. Xiao, X.		2013	No	Yes	Yes
		Q. Huang, J.					
		W. Dong,	Evolution				
		and C. T.	of the				
		Chan*	Cloaking				
			Effect of a				
			Metamater				
			ial Slab,				
			Opt. Lett				
			37 (22),				
			4594				
			(2012)				
2012		,	Circular	2013	No	Yes	Yes
		C. Y. Ng, H.					
			in Single				
		Y. H. Li, H.					
		Chen and	Gold				
		W. Y. Tam*					
			Gratings,				
			J. Opt. Soc. $Am = B = 20$				
			Am. B 29, 3021-3026				
			(2012)				
2012		C. R. Han	(2012) Graded	2013	No	Yes	Yes
2012		and W. Y.	Photonic	2013	110	103	100
		Tam <sup>*</sup>	Crystals by				
			Optical				
			Interferenc				
			e				
			Holograph				
			y, J. Opt.				
			14, 085104				
			(2012)				
2012		M. Kang, T.		2013	No	Yes	No
		H. Feng, H.	Front				
		T. Wang,	Engineerin				
		and J. Li*	g from an				
			Array of				

	_				1			
				Thin				
				Aperture				
				Antennas,				
				Opt.				
				Express 20				
				(14),				
				15882				
				(2012)				
2012			J. Zhou*, L.		2013	No	Yes	Yes
2012						140	105	105
				Multichann				
			Edwin Y. B.					
			Pun	Nonrecipro				
				cal Perfect				
				Absorber				
				Based on				
				Resonant				
				Absorption				
				, Opt. Lett				
				37 (13),				
				2613				
				(2012)				
2012			V Vice V		2013	No	Yes	Yes
2012					2015	INO	res	res
				ngth				
			B. Zhou,	Polarizatio				
			and W.J.	n Rotators				
			Wen*	via				
				Double-lay				
				er Metal				
				Hole				
				Arrays,				
				Opt. Lett.				
				37 (17),				
				3594				
				(2012)				
2012			M. C. Tam,		2013	No	Yes	Yes
			A M. C. Ng,	n of				
			A. B.	Quantum				
			Djurišić and					
			K. S.	and				
			Wong*	Photolumi				
				nescence				
				Lifetime of				
				ZnO				
				Tetrapods				
				Grown at				
				Different				
				Temperatu				
				res, J.				
				Appl.				
				Phys. 112,				
				023515				
				(2012)				
				$\Pi \angle U I \angle J$				

2012		J. Mei, G. C.	Dark	2013	No	Yes	Yes
2012			Acoustic	2013	110	105	105
		Yang, Z. Y.					
		Yang, W. J.					
			Super				
		-	Absorbers				
		*	for				
			Low-freque				
			ncy Sound,				
			Nat.				
			Commun.				
			3, 756				
			(2012)				
2012		S. M. Chen,	Sharp	2013	No	Yes	Yes
		G. X. Li, W.					
			Resonance				
		Edwin Y. B.					
		Pun and K.					
			Amplitude				
		W. Chiculi	and Phase				
			Domains,				
			Appl. Opt.				
			51 (36), 8563				
			8563				
			(2012)				

# **9.** Recognized international conference(s) in which paper(s) related to this research project was/were delivered (*Please attach a copy of each conference abstract - see Appendix IV*)

Month/Yea r/ Place	Title	Conference Name	Submitted to RGC (indicate the year ending of the relevant progress report)	Attached to this report (Yes or No)	Acknowledg ed the support of RGC (Yes or No)	Accessible from the institutional repository (Yes or No)
-	Membrane-type Elastic Metamaterials for Noise Abatement Applications (panel talk)	Noise and Vibration – Emerging Technologies	2015	Yes	Yes	Yes
December/ 2014/ Okinawa, Japan	Robust Interface States in Two-dimensional Photonic Crystals (invited talk)	The 9th General Meeting of Asian Consortium on Computational Materials Science	2015	Yes	Yes	No

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	-					
	Meta-materials with	Asia	2015	Yes	Yes	Yes
	Zero Refractive Index	Communicatio				
ghai, China	(invited talk)	ns and				
		Photonics				
		Conference				
		Shanghai				
October/20	Unusual Optical Force	<b>SPIE</b> Photonics	2015	Yes	Yes	No
14/Beijing,	and Torque Acting on	Asia 2014 –				
China	Plasmonic Objects	Plasmonics				
	(invited talk)					
	``´´					
August/201	Circular Polarized	6th	2015	Yes	Yes	Yes
	Second Harmonic	EPS-QEOD	2010	105	105	105
nd	Generation in	Europhoton				
iid	Single-layered Gold	Conference				
	Sawtooth Structures	Conference				
$A_{\text{homet}}/201$	Chirality Enables	Plasmonics:	2015	Yes	Yes	No
4/US	Unusual Optical Force	Metallic	2015	105	1 05	INU
4/05						
	(Invited talk)	Nanostructures				
		and Their				
		Optical				
		Properties XI				
	Angle Dependent	PIERS 2015	2015	Yes	Yes	No
4/	Effective Medium					
	Theory for 2D					
, China	Photonic Crystals					
	(invited talk)					
	Pushing and Pulling	PIERS 2015	2015	Yes	Yes	No
4/	Chiral Particles with					
Guangzhou	Light (invited talk)					
, China						
July/2014/	Using Light to Push	Light	2015	Yes	Yes	No
Changchun	and Pull and Rotate	Conference				
, China	(invited talk)	-International				
		Conference on				
		Micro/Nano				
		Optical				
		Engineering				
June/2014/	Non-linear Optical	The 8 <sup>th</sup> OCPA	2015	Yes	Yes	No
Singapore	Properties of	International				
Singupore	Plasmonic Devices	Conference on				
		Physics				
		Education and				
		Frontier				
		Physics				
May/2014/	Zak Dhasa and Can	The 2nd	2015	Yes	Yes	No
May/2014/	Zak Phase and Gap		2015	105	1 05	INU
Shanghai,	Inversion in Periodic	International				
China	Acoustic Systems	Conference on.				
	(Plenary talk)	Phononics and				
		Thermal				
		<b>Energy Science</b>				

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Shanghai, China	Conical Dispersions (invited talk)	The 11th International Symposium on Photonic and Electromagneti c Crystal Structures	2015	Yes	Yes	No
The	The Moving Contact Line in Immiscible Flow: Theory and Experiment (Keynote talk)	First International Conference on Micro & Nanofluidics: Fundamentals and Applications	2015	Yes	Yes	No
January/20 14/Kobe, Japan	Non-linear Optical Properties of Plasmonic Devices (invited talk)	8 <sup>th</sup> Asian Conference on Ultrafast Phenomena	2015	Yes	Yes	No
October/ 2013/ Taipei	Optical Force and Stress (Invited talk)	International Conference of Applied Sciences 2013 - RCAS, Academia Sinica	2013	No	Yes	No
September/ 2013/ Innsbruck, Austria	Doubly Negative Acoustic Metamaterial with Coupled Membrane Resonator (invited talk)	Inter. Noise 2013	2013	No	Yes	No
August/ 2013/ San Diego	Dirac Cone Dispersions in Photonic Crystals and Their Implications (Invited talk)	SPIE 2013	2013	No	Yes	No
July/2013/ Singapore	New Effective Medium Theories for Electro-restrictive Tensors and for Classification of Band and Gaps (Invited talk)		2013	No	Yes	No
June/2013/ Singapore	Interface States in Photonic Crystals with Dirac Cone Dispersions (Invited talk)	9th Singapore-Chi na Joint Symposium on Research Frontiers in Physics	2013	No	Yes	No

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June/ 2013 San Jose	Robust Interface States in Two Dimensional Photonic Crystals with Dirac Cone Dispersions (Invited talk)	CLEO 2013	2013	No	Yes	Yes
June/2013/ Egypt	Dirac Cone Dispersion and Its Implications in Phononics (Invited talk)	PHONONICS 2013: 2nd International Conference on Phononic Crystals/Meta materials, Phonon Transport and Optomechanics	2013	No	Yes	No
June/2013/ Montréal, Canada	Acoustic Double Negativity with Coupled-Membrane Metamaterial (invited talk)	21st International Congress on Acoustics	2013	No	Yes	Yes
	Full-band Exact Homogenization of One-dimensional Elastic Metamaterials (invited talk)	21st International Congress on Acoustics	2013	No	Yes	Yes
Sharm El-Sheikh, Egypt	Dark Acoustic Metamaterials (plenary talk)	Second International Conference on Phononic Crystals/Meta materials, Phonon Transport and Optomechanics	2013	No	Yes	No
	Non-linear Optical Response of Au Circular Split Ring Nanostructure	The 16 <sup>th</sup> Conference of The Physical Society of Hong Kong	2013	No	Yes	No
June/2013/ South Korea	Fabrication of 2- and 3-dimensional Photonic Crystals by Single Beam Holographic Lithography (invited talk)	Collaborative Conference on 3D & Materials Research	2013	No	Yes	No

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May/2013	Membrane-Type	2013	2013	No	Yes	No
/Singapore	Acoustic	International				
	Metamaterials:	Congress on				
	Reflection and	Ultrasonics				
	Absorption of Low					
	Frequency Sound					
	(keynote talk)					
Mav/2013/	Fabrication of two- and	The 7 <sup>th</sup>	2013	No	Yes	Yes
•	three-dimensional	International	_010	1.0		
	Photonic Crystals using					
	Holographic	Nanophotonics				
	Lithography and	and the $3^{rd}$				
	Spatial Light	Conference on				
	Modulator for Phase	Advanced in				
	Control					
		Optoelectronic				
	(Invited talk)	s and				
		micro/Nano				
		Optics	2012	N	<b>X</b> 7	
March/	Optical Force in	Progress In	2013	No	Yes	No
2013/	Parallel-plate	Electromagneti				
Taipei	Metamaterial	cs Research				
		Symposium				
		2013				
January/	Optical Force and	IEEE INEC	2013	No	Yes	No
2013/	Stress	International				
Singapore	(Invited talk)	Nano-electroni				
		cs				
		Conference201				
		3				
December/	Dirac Cone Dispersion	PGC Photonic	2012	No	Yes	No
2012/	in Photonic Systems	Global				
Singapore	and Zero Refractive	Conference				
U I	Index	2012				
	(Invited talk)					
December/	Dirac Dispersion in	MRS 2012	2012	No	Yes	No
2012/	Photonic Crystals and	Boston,				
Boston	Zero Refractive Index	Symposium				
	(Invited talk)	BB				
October/	Optical Force and	2012	2012	No	Yes	No
2012/	Stress	International		110	1.05	
		Workshop on				
Naniing						
Nanjing	(Invited talk)	Metamaterials				

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				1	1	I
September/		Metamaterials	2015	Yes	Yes	No
2012/St.	Metamaterials through	2012: The				
Petersburg,	Coiling up Space	Sixth				
Russia		International				
		Congress on				
		Advanced				
		Electromagneti				
		c Materials in				
		Microwave and				
		Optics				
<u> </u>		9 <sup>th</sup>	2012	NT	37	NT
	2 4111 1 20 0 40 010	-	2013	No	Yes	No
2012/	Metamaterials as Super					
Marseille,	Absorbers of Low	Conference on				
France	Frequency Sound	Electrical,				
	(invited talk)	Transport and				
		Optical				
		Properties of				
		Inhomogeneou				
		s Media				
		(ETOPIM9)				
August/	Light Weight	Inter. Noise	2013	No	Yes	No
2012/	Composite Thin Panels					
New York	for Broad Band Sound					
New TOIR	Attenuation in the					
	50-1000 Hz Regime					
I. 1. /2012/	(invited talk)	<b>T 1 1</b>	2012		<b>*</b> 7	
July/2012/	Dark Acoustic	International	2013	No	Yes	No
St	Metamaterials as Super					
Petersburg,	Absorbers of Low	School-Confer				
Russia	Frequency Sound	ence on				
	(invited talk)	Advanced				
		Problems in				
		Mechanics-201				
		2				
June/2012/	Dirac Cone	PECS X:	2013	No	Yes	No
New	Dispersions in	10th				
Mexico	Photonic and Phononic					
	Systems	Symposium on				
	•					
	(Invited talk)	photonic and				
		Electromagneti				
-		c Structures				
	Novel Plasmonic	ICOOPMA	2013	No	Yes	No
Japan	Material and Devices	2012				
February/	Experimental	APS March	2013	No	Yes,	No
2012/	Observation of Strong	Meeting				
Boston	Microwave Induced					
	Force in Parallel-plate					
	Metallic Cavity					
		•	•	•	•	•

10. *Student(s) trained* (please attach a copy of the title page of the thesis - See Appendix V)

Name	Degree registered for	Date of registration	Date of thesis submission/ graduation
Chen Binling	MPhil in Physics	September 2011	Date of graduation: August 2014
Chen Shumei	PhD in Physics	September 2011	Date of graduation: August 2014
Deng Junhong	PhD in Physics	September 2012	Expected date of graduation: August 2016
Fatemeh Hosseini	PhD in Physics	January 2013	Expected date of graduation: January 2016
Fu Caixing	PhD in Physics	September 2014	Expected date of graduation: August 2018
Gary Ka Long Ng	MPhil Physics	September 2013	Expected date of graduation: August, 2016
Han Chunrui	PhD in Nano Science and Technology Program	September 2010	Date of Graduation: August 2014
Hung Jenny	PhD in Nano Science and Technology Program	September 2006	Date of Graduation: August 2013
Leung Ho Ming Dick	PhD in Physics	September 2009	Expected date of graduation: August 2017
Ma Guancong	PhD in Physics	September 2007	Date of graduation: August 2012
Meng Chong	PhD in Physics	September 2013	Expected date of graduation: August 2016
Sun Ke	PhD in Nano Science and Technology Program	September 2004	Date of graduation: August 2012
Wang Shubo	PhD in Nano Science and Technology Program	September 2009	Date of graduation: August, 2013
Xiao Meng	PhD in Physics	September 2010	Date of graduation: August, 2014
Xiao Songwen	PhD in Physics	September 2012	Expected date of graduation: August, 2016
Xiao Xiao	PhD in Physics	September 2007	Date of graduation: August 2012
Yang Min	PhD in Physics	September 2010	Date of graduation: August, 2014
Ye Piao	MPhil in Physics	September 2013	Date of graduation: August, 2015

# **11. Other impact** (*e.g. award of patents or prizes, collaboration with other research institutions, technology transfer, etc.*)

Prize:

Ping Sheng, C.T. Chan and J. Yang were the winner of the 2013 Brillouin Medal from the International Phononics Society for their work on acoustic metamaterial.

Patents granted:

Vibration Energy Absorption Devices (US Patent 8,960,365)

Patent applications:

The following applications are under review by US Patents and Trademarks Office

- (1) Acoustic Metamaterial with Simultaneously Negative Effective Mass Density and Bulk Modulus (TTC.PA.0644)
- (2) Sound Attenuation Structures II (TTC.PA.0667)
- (3) Extraordinary Acoustic Absorption Induced by Anti-resonance (TTC.PA.0685)
- (4) Membrane-type Acoustic Metamaterials Controlled by Electric or Magnetic Fields (TTC.PA.0701)
- (5) Damped sound shield (TTC.PA.0784)
- (6) Elastic Metamaterials with Independent Monopole and Dipole Resonant Structures (TTC.PA.0850)

A patent has been licensed to Acoustic Metamaterials, Inc. for commercialization using our research outcomes.

## <u>CRF 8G</u> (Revised Sep 15) Project Coordinator

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