



香港 | RESEARCH  
研究 | GRANTS  
資助局 | COUNCIL  
ANNUAL REPORT  
2015/16



# Research Grants Council Members 2015/16

## 研究資助局2015/16年度成員



### Left to Right Rear:

Mrs Lowell Cho (Assistant Secretary-General (Research) 2, UGC),  
Ms Sharon Ho (Secretary, RGC), Dr Franklin Tong Fuk-kay,  
Prof Michael P Doyle, Prof Jay Siegel, Prof Chun Hui,  
Prof Jack Chun-yiu Cheng, Prof Joanna Ho, Prof Iris Benzie,  
Prof Paul Clark, Mr Allen Kam-sing Ma, Prof Geoffrey L Smith,  
Dr Richard Armour (Secretary-General, UGC),  
Ms Ling Ho (Assistant Secretary-General (Research) 3, UGC),  
Mrs Alice Sham (Assistant Secretary-General (Research) 1, UGC)

### Left to Right Front:

Prof On-ching Yue, Prof Ross Murch, Prof Andy Parker,  
Prof Rocky S Tuan, Prof Louis KC Chan, Prof Ronnie Po-chia Hsia,  
Prof Benjamin W Wah (Chairman, RGC), Prof Paul KL Yu,  
Prof Yip-wah Chung, Prof Edward Sze-shing Yeung,  
Prof Terry Au, Prof Paul KH Tam, Prof Peter Baehr

Absent: Prof Arthur B Ellis, Prof Kar-yan Tam

### 後排由左至右：

曹黎淑霞女士（教資會助理秘書長（研究）2）、  
何皓璇女士（研資局秘書）、湯復基博士、  
Michael P Doyle教授、Jay Siegel教授、許濟教授、  
鄭振耀教授、何莉芸教授、Iris Benzie教授、  
Paul Clark教授、馬錦星先生、Geoffrey L Smith教授、  
安禮治博士（教資會秘書長）、  
何佩玲女士（教資會助理秘書長（研究）3）、  
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段崇智教授、陳國器教授、夏伯嘉教授、  
華雲生教授（研資局主席）、余劭離教授、鍾業華教  
授、楊仕成教授、區潔芳教授、譚廣亨教授、  
Peter Baehr教授

缺席：Arthur B Ellis教授、譚嘉因教授

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30 June 2017

Mr Carlson Tong, SBS, JP  
Chairman  
University Grants Committee  
7/F Shui On Centre  
6-8 Harbour Road  
Wanchai  
Hong Kong

Dear Chairman,

**Research Grants Council**  
**Report for 2015/16**

Under its terms of reference, the Research Grants Council (RGC) is required, among other things, to report on its work annually to the Government through the University Grants Committee.

I have the pleasure to submit herewith the report of the RGC covering the twenty-fifth year of the Council's operations. The report will be mounted on the RGC's website in order to reach a wider audience.

I should be grateful if you would forward the report to the Government of the Hong Kong Special Administrative Region.

Yours sincerely,



Benjamin Wah  
Chairman  
Research Grants Council

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唐家成先生, SBS, JP

唐主席：

## 研究資助局二零一五至一六年度報告

研究資助局（研資局）的職權範圍其中一項，是本局須透過大學教育資助委員會向政府提交周年工作報告。

現附上研資局的報告，載述本局的第二十五年運作情況。這份年報會載於研資局的網頁，以便更多讀者可以閱覽。

謹請將這份報告呈交香港特別行政區政府。



研究資助局主席華雲生

二零一七年六月三十日

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# Foreword from the Chairman

## 主席序言

The Research Grants Council (RGC) had a challenging yet fruitful year. It is encouraging to note that our funded programmes have continued to achieve excellent results in a number of research areas.

### Research Grants Council Highlighted Activities in the 2015/16 Academic Year

#### General Research Fund

Some 69% of RGC's budget is allocated for the General Research Fund which supports a large number of researchers. The aim is to supplement universities' own research support to those who have achieved or have the potential to achieve excellence. This past year, out of 2 710 applications from a wide range of disciplines, we funded a total of HK\$597 million for 949 research projects.

#### Early Career Scheme

The Early Career Scheme is intended to nurture junior academics and to prepare them for a career in education and research. In the 2015/16 academic year, out of 378 applications, 151 projects were funded at a total amount of HK\$92 million, with 12 of them rated "Outstanding". The applicants of these projects were each granted an honorary title of "The Early Career Award 2015/16".

#### Collaborative Research Fund

The Collaborative Research Fund (CRF) Scheme supports multi-investigators, multi-disciplinary projects. In order to encourage more research groups to engage in creative and high quality cross-disciplinary projects, the budget of the 2015/16 exercise was increased from HK\$100 million to HK\$110 million.

The annual CRF Symposium was held in December 2015 at the Chinese University of Hong Kong where the progress of 14 funded projects was presented. The Symposium generated interesting discussions among researchers and provided excellent opportunities for research students to exchange views and strengthen collaborations.

過去一年，研究資助局（研資局）的工作富挑戰但滿有成果，本局的資助項目在多個研究範疇繼續取得卓越成績，實在令人鼓舞。

### 研究資助局2015/16學年的重點活動

#### 優配研究金

研資局的財政預算中，約69%的資源撥作優配研究金，資助為數不少的學者進行研究。優配研究金的目的，是在大學為學者提供的研究資助以外，給表現卓越或潛質優厚的學者額外資助。過去一年，研資局收到2 710份不同學科的申請，其中949個研究項目獲得資助，撥款合共5.97億港元。

#### 傑出青年學者計劃

傑出青年學者計劃旨在培育新進學者，幫助他們為日後的教學及研究事業作好準備。於2015/16學年，研資局共接獲378個申請，其中151個研究項目獲得資助，總額為9,200萬港元，當中12個項目更獲評核為「卓越」級別。這些申請人每人可獲得「2015/16年度傑出青年學者獎」殊榮。

#### 協作研究金

協作研究金旨在資助由多名學者合作的跨學科研究項目。為鼓勵研究單位進行更多具創意及高質素的跨學科研究項目，2015/16年度的撥款已由1億港元增至1.1億港元。



Professor Benjamin Wah, Chairman of the RGC, delivered a speech at the CRF Symposium 2015.

研資局主席華雲生教授於2015年度協作研究金研討會上致辭。

### Joint Research Schemes

In the 2015/16 academic year, the RGC ran eight Joint Research Schemes with partner agencies in other regions. The RGC and the European Council (EC) started a discussion on promoting collaboration between the researchers in Hong Kong and their counterparts in the European regions in April 2015. In about half a year, with the commitment from both sides, the RGC and the EC agreed on the details of the collaboration scheme in early November 2015. The collaboration scheme was launched in November 2015. As a pilot scheme with annual funding of HK\$9 million, the RGC supports both research projects and exchange proposals.

### Humanities and Social Sciences Prestigious Fellowship Scheme

The Humanities and Social Sciences Prestigious Fellowship Scheme was established to recognize excellence in the Humanities and Social Sciences. It provides relief teachers and supporting funds to the outstanding investigators under the Humanities and Social Sciences Panel to enable them to focus on research work and writing. The fellowship provides resources for the employment of relief teachers, as well as the costs of travel, subsistence and dissemination of outputs. Three prestigious investigators were awarded in the 2015/16 academic year and HK\$1.51 million in total was funded.

### 合作研究計劃

於2015/16學年，研資局分別與不同地區合辦八個合作研究計劃。研資局與歐洲委員會於2015年4月就推動香港及歐洲地區兩地學者的學術研究和合作展開磋商。在大約半年後，雙方達成協議，於2015年11月初訂定合作計劃的細節。有關的合作計劃於2015年11月推出。計劃實施初期，研資局每年撥款900萬港元，資助研究項目和交流項目。

### 人文學及社會科學傑出學者計劃

人文學及社會科學傑出學者計劃旨在表揚傑出的人文學及社會科學學者。此計劃為人文學及社會科學學科小組轄下的優秀研究人員提供替假教師及資助，讓他們專心從事研究及寫作。計劃不單提供資源聘請替假教師，還包括旅費、生活津貼及發表研究結果的費用。於2015/16學年，三名傑出學者獲得資助，總額為151萬港元。

### Theme-based Research Scheme

The objective of the Theme-based Research Scheme is to focus academic research efforts of the University Grants Committee (UGC)-funded universities on themes of strategic importance to the long-term development of Hong Kong. The fifth round exercise was held in the 2015/16 academic year. A total of 28 preliminary proposals were received. After the preliminary selection and a vigorous process of peer-review, the RGC allocated HK\$203 million to support five projects.

### Competitive Research Funding Schemes for the Local Self-financing Degree Sector

The RGC first launched the new research funding schemes for the local self-financing degree sector in 2013. They are namely the Faculty Development Scheme, the Institutional Development Scheme and the Inter-Institutional Development Scheme.

The results of the Second Round exercise were announced in August 2015. Out of 212 applications in the Second Round exercise, RGC funded a total of HK\$86.43 million for 60 research projects. Following the completion of the second round exercise, the third round of exercise started in November 2015. A total of thirteen local self-financing degree-awarding institutions were eligible for the three funding schemes in the Third Round exercise. The results of the Third Round exercise will be announced in August 2016.

### Areas of Excellence Scheme

With effect from February 2012, the administration of the Areas of Excellence Scheme was transferred from the UGC to the RGC. The Scheme provides support to the UGC-funded universities to build upon their existing strengths and develop them into areas of excellence. The Seventh Round exercise was launched in October 2015. A total of 23 eligible preliminary proposals were received, seeking RGC grants of HK\$1.52 billion. Results of the Seventh Round exercise will be announced in late December 2016. In the 2015/16 academic year, there were nine on-going projects monitored by the RGC.

### 主題研究計劃

主題研究計劃的目的是集中大學教育資助委員會（教資會）資助大學的學術研究力量，對香港長遠發展具策略重要性的主題進行研究。第五輪計劃於2015/16學年舉行。研資局共收到28份初步研究建議書。經過初步篩選及嚴格的學者評審後，研資局撥款約2.03億港元，資助共五個研究項目。

### 供本地自資學位界別競逐的研究資助計劃

研資局於2013年起為本地自資學位界別推出三項研究資助計劃，分別名為教員發展計劃、院校發展計劃及跨院校發展計劃。

第二輪計劃申請撥款結果於2015年8月公布。研資局在第二輪計劃共收到212份申請，其中60個研究項目獲得資助，撥款合共8,643萬港元。隨著第二輪計劃的結束，第三輪計劃在2015年11月展開。共有十三所以自資形式提供經本地評審本地學位課程的院校符合參與上述三項研究資助計劃的資格。第三輪計劃申請撥款結果將於2016年8月公布。

### 卓越學科領域計劃

由2012年2月起，卓越學科領域計劃由教資會交予研資局管理。計劃協助教資會資助大學把現有優勢發展為卓越學科領域。第七輪計劃在2015年10月開展。研資局共收到23份合資格初步研究建議書，申請撥款總額為15.2億港元。第七輪計劃的結果將於2016年12月底公布。在2015/16學年，研資局就9個進行中的研究項目進行監察。

### Hong Kong PhD Fellowship Scheme

The Hong Kong PhD Fellowship Scheme (HKPFS) continues to enhance internationalisation of our higher education sector. In the first six rounds of exercises, about 1 030 candidates from more than 65 countries/regions were selected to pursue their PhD studies in Hong Kong.

The Awardees Reception of the HKPFS was held in November 2015. The Steering Committee, Selection Panel Members and representatives of universities, together with the past awardees, were invited to the Awardees Reception to welcome the new awardees.



### 香港博士研究生獎學金計劃

研資局繼續推行香港博士研究生獎學金計劃，推動本地高等教育院校國際化。在首六屆計劃中，有大概1 030名來自超過65個國家及地區的申請人脫穎而出，在香港修讀博士研究生課程。

香港博士研究生獎學金計劃的得獎者茶敘已於2015年11月舉行，並誠邀了督導委員會委員、遴選小組成員、大學代表，以及歷屆得獎者出席茶敘，歡迎新一屆的得獎者。

HKPFS awardees with the Secretary-General of the UGC.  
教資會秘書長與香港博士研究生獎學金計劃的得獎者。

### RGC Public Lectures

To share the research findings with the community, researchers of the RGC funded projects have been invited to deliver public lectures since April 2009. In the 2015/16 academic year, the RGC jointly held four RGC Public Lectures on “Climate Changes”, “Parent Child Relationship/ Education in Hong Kong”, “Social Mobility and Youth Advancement in Hong Kong Society” and “Minorities and Immigrants (Mainland) in Hong Kong Society” with the Hong Kong Science Museum and the Hong Kong Central Library respectively. Active participation from the community was received.

### 研資局公眾講座

為了與市民分享研究成果，研資局自2009年4月起定期舉辦公眾講座，邀請獲研資局資助的學者，向市民介紹他們的研究工作。研資局於2015/16學年分別與香港科學館及香港中央圖書館合辦共四場公眾講座，以「氣候變化」、「香港親子關係 / 教育」、「香港的社會流動性與青年發展」及「香港的少數族裔人士和內地新移民」為題，市民反應熱烈。

## RGC's Strategic Planning

The RGC is facing challenges arising, for example, from new and emerging research needs of the academic community, increased demand for research funding and high expectation from the society on the standard of research integrity in publicly funded projects. To tackle these challenges, the RGC has taken a number of initiatives.

The scope of the RGC Review planned in 2015 has been expanded to cover its structure, operations and the portfolio balance of its research funding schemes, and to give recommendations by making reference to the standards and good practice in the operation of research funding agencies in other jurisdictions. The Review will be conducted in two phases: Phase I of the Review will cover macro issues such as the portfolio balance of the RGC funding schemes while Phase II will cover micro issues such as the quality of the assessment and monitoring processes. To minimise the perceived conflict of interest, a Task Force will be set up under the UGC to oversee the implementation of the Phase I Review. In addition, the UGC will commission an external consultant to assist the Task Force in the Phase I Review. The consultant is expected to conduct data collection through questionnaire surveys, online consultation and face-to-face focus group meetings with a wide pool of stakeholders. Findings and recommendation of the Phase I Review will likely be available around mid 2017. The Phase II Review will be conducted after the findings of the Phase I Review are available.

In the years to come, the RGC will remain committed to enhancing local academic research efforts to facilitate the pursuit of excellence, with a view to sharpening our competitive edges in the context of global academic research and bringing our research level to world class.

The research work in Hong Kong is making good progress. This is attributable to the incessant efforts of the higher education sector. I am grateful for local academics' support and their remarkable research results over the past year. It gives me great pleasure to present the RGC Annual Report for 2015/16, which outlines the work and achievements of the Council in the reported year.

## 研資局的策略計劃

研資局正面對各項挑戰，例如學術界的新興研究的需要、研究撥款需求的增加，以及社會對監管受公帑資助研究項目的操守有很高的期望等。為應對這些挑戰，研資局已實行多項措施。

原訂於2015年進行的研資局檢討工作，檢討範圍已擴大至涵蓋研資局的架構、運作及各研究資助計劃的組合分配，並透過參考海外其他研究資助機構的作業標準和良好做法作出建議。有關檢討會分兩個階段進行：第一階段涵蓋宏觀事宜，例如研資局資助計劃的組合分配，而第二階段涵蓋微觀事宜，例如評審和監察程序的質素。為把利益衝突的觀感減至最少，教資會將成立專責小組，負責監督第一階段的檢討工作。除此之外，教資會亦會委聘獨立顧問輔助專責小組進行第一階段檢討。獨立顧問預期會透過問卷調查、網上諮詢及面對面的聚焦小組會議向各方持份者收集意見。第一階段檢討的結果和建議將於2017年中提交。第二階段檢討將於第一階段檢討工作完結後展開。

研資局會繼續推動本地的學術研究工作，追求卓越，致力提升香港在國際學術研究上的競爭力，使本地研究提升至世界水平。

香港的研究工作發展良好，有賴高等教育界不懈的努力。我衷心致謝各界在過去一年的鼎力支持，使在學術研究取得驕人的成就。現提交《研究資助局2015/16年報》，匯報研資局過去一年的工作和成果。

# The Research Grants Council and its Organisation

## 研究資助局及其架構

### The Research Grants Council

The Research Grants Council (RGC) is a non-statutory advisory council operating under the aegis of the University Grants Committee (UGC). It was formally established on 1 January 1991 to replace the Research Sub-Committee of the UGC.

The RGC's members are appointed by the Chief Executive<sup>Note</sup> of the Hong Kong Special Administrative Region (HKSAR) and comprise non-local academics, local academics and local lay persons. All members are appointed on an ad personam basis. The RGC's membership for 2015/16 is listed in Appendix A.

### Terms of Reference

The RGC's Terms of Reference are:

- To advise the HKSAR Government, through the UGC, on the needs of the institutions of higher education in Hong Kong in the field of academic research, including the identification of priority areas, in order that a research base adequate for the maintenance of academic vigour and pertinent to the needs of Hong Kong may be developed.
- To invite and receive, through the institutions of higher education, applications for research grants from academic staff and for the award of studentships and post-doctoral fellowships; to approve awards and other disbursements from funds made available by the HKSAR Government through the UGC for research; to monitor the implementation of such grants and to report at least annually to the HKSAR Government through the UGC.

*Note: The HKSAR Chief Executive has delegated his authority to appoint the RGC members to the Secretary for Education.*

### 研究資助局的組成

研究資助局（研資局）為大學教育資助委員會（教資會）轄下的非法定諮詢機構，於1991年1月1日成立，取代當時教資會轄下的研究小組委員會。

研資局的成員由香港特別行政區行政長官委任<sup>註</sup>，包括本港及非本地學者，以及本港的非學術界人士，所有成員均以個人身分獲委任。研資局2015/16年度的成員名單載於附錄A。

### 職權範圍

研資局的職權如下：

- 透過教資會，向香港特別行政區政府建議香港高等教育機構在學術研究上的需要，包括鑑定優先範圍，以發展一個足以維持學術蓬勃發展和合乎香港需要的學術研究基礎。
- 透過高等教育機構，邀請和接受學術人士申請研究資助以及各類研究生申請獎學金；將香港特別行政區政府透過教資會提供的經費撥作研究資助和其他有關支出之用。此外，並負責監管這些撥款的運用，以及最少每年一次透過教資會向香港特別行政區政府報告。

*註：香港特別行政區行政長官授權教育局局長委任研資局的成員。*

**Chart 1.1: RGC Organisational Structure**

**表1.1：研資局的組織架構**



## How the Research Grants Council is Organised

The RGC works in close partnership with the UGC and advises and reports to the Government through the UGC on matters within its purview. The organisational structure of the RGC is presented in Chart 1.1.

The RGC normally meets twice a year, in June and December respectively. The June meeting mainly involves the allocation of research grants submitted under funding schemes such as the General Research Fund, the Early Career Scheme, the Humanities and Social Sciences Prestigious Fellowship Scheme and the Theme-based Research Scheme; and a preliminary consideration of new applications under the Areas of Excellence Scheme. The December meeting is usually devoted to examining resource allocation among various established and new funding initiatives for the following year; the allocation of research grants submitted under the Collaborative Research Fund and the Areas of Excellence Scheme; and a preliminary consideration of new applications under the Theme-based Research Scheme.

## Subject Panels

The following five Subject Panels assist the RGC in its work:

- Biology and Medicine
- Business Studies
- Engineering
- Humanities and Social Sciences
- Physical Sciences

The five subject panels are responsible for the evaluation of applications and monitoring the approved projects under the General Research Fund, the Early Career Scheme, the Humanities and Social Sciences Prestigious Fellowship Scheme and the Joint Research Schemes. These panels consist of academics both local and non-local.

The Subject Panels of the first pool normally meet once in June every year before the RGC meetings, primarily to select applications for allocations as well as to monitor on-going projects and assess completed projects.

Applications for research grants are assessed through peer review by panel members and external reviewers, all of whom are recognized experts in their fields in or outside Hong Kong. The panels report to the RGC with recommendations on which projects should be funded after their meetings.

## 運作與架構

研資局與教資會緊密合作，就其職權範圍內的事務，透過教資會向政府提交建議及報告。研資局的架構，請參閱表 1.1。

研資局一般每年分別於6月及12月召開兩次會議。6月份的會議主要討論多項資助計劃如優配研究金、傑出青年學者計劃、人文學及社會科學傑出學者計劃、主題研究計劃的撥款建議，以及對卓越學科領域計劃的申請作初步考慮。而12月份的會議則討論原有及新增撥款計劃在下年度的資源分配，以及協作研究金及卓越學科領域計劃的撥款建議，並對主題研究計劃的申請作初步考慮。

## 學科小組

以下五個學科小組輔助研資局的工作：

- 生物學及醫學
- 商學
- 工程學
- 人文學及社會科學
- 自然科學

這五個學科小組負責評審優配研究金、傑出青年學者計劃、人文學及社會科學傑出學者計劃及合作研究計劃等的申請建議書及監察獲資助的項目。學科小組的成員為本地學者及非本地學者。

第一組別的學科小組一般於每年6月在研資局的會議舉行前召開會議，主要目的是審批撥款申請，並監察進展中項目的進度，以及對已完成項目進行評核。

學科小組的成員及外部評審員負責審批研究金的申請，他們均為有關學科的海內外學者。學科小組在會議後向研資局提交建議，推薦那些項目應獲得撥款。



## Collaborative Research Fund Committee

The Collaborative Research Fund is provided to the UGC-funded universities for the procurement of major research facilities and/or equipment or library collections which could not otherwise be afforded by one university and which could be used for collaborative research or group research activities that operate across disciplines and/or normal institutional boundaries.

The Collaborative Research Fund Committee is charged with selecting proposals for funding support, as well as monitoring the funded projects.

## Major Projects Steering Committee

The Major Projects Steering Committee oversees the Theme-based Research Scheme and the Areas of Excellence Scheme. In respect of the Theme-based Research Scheme, the Committee reviews the grand challenge topics periodically and advises the RGC of any need to invite the Education Bureau to consider changes or additions. It also advises the RGC on the criteria for selecting Theme-based Research Scheme proposals and monitors the funded projects. The Theme-based Research Scheme Selection Panel under the Major Projects Steering Committee assists in implementing the shortlisting, assessment and monitoring of projects.

In respect of the Areas of Excellence Scheme, the Committee advises the RGC on the strategy and criteria for selecting potential areas of excellence, identifies and prioritizes such proposals for funding support, as well as monitors funded projects.

## 協作研究金委員會

協作研究金向教資會資助大學提供資助，購置單一大學難以負擔的大型研究設備、儀器及圖書館資料，以進行跨學科及 / 或跨院校而具合作性的集體研究工作。

協作研究金委員會負責評審申請建議書，並監察獲資助項目的進度。

## 大型研究項目督導委員會

大型研究項目督導委員會負責監督主題研究計劃及卓越學科領域計劃。在主題研究計劃方面，督導委員會會定期檢討具挑戰性的題目，並視乎需要，建議研資局請教育局考慮更改或增加題目。督導委員會亦會就甄選主題研究計劃建議書的準則向研資局提供意見，以及監察獲資助的項目。督導委員會轄下的主題研究計劃遴選小組協助執行甄選、評核及監察各研究項目。

至於卓越學科領域計劃，督導委員會會在策略和準則上，向研資局就甄選具發展潛質的卓越學科領域方面提供意見，並挑選值得資助的建議和訂出優先次序，以及監察獲資助的項目。

## Steering Committee on Competitive Research Funding for the Self-financing Degree Sector

The Steering Committee on Competitive Research Funding for the Self-financing Degree Sector was established in October 2013 by the RGC to oversee the implementation details of the Faculty Development Scheme, the Institutional Development Scheme, and the Inter-Institutional Development Scheme, such as formation of assessment panel, application process and procedures, eligibility and assessment criteria, monitoring and assessment of approved projects, and bring forward recommendations for funding for consideration by the RGC.

## Hong Kong PhD Fellowship Scheme Steering Committee

The Hong Kong PhD Fellowship Scheme Steering Committee was established to steer and oversee the implementation of the scheme. The Committee comprises the RGC Chairman, one RGC Member, and one member from each UGC-funded university. This is to ensure that the scheme will run effectively and tie in with the recruitment exercises of the universities.

## Disciplinary Committees

The RGC attaches great importance to research integrity. Researchers are expected to observe the highest standard of integrity in the conduct of their researches funded under the funding schemes administered by the RGC. Any research improprieties found will be dealt with fairly and seriously. To this end, the RGC set up two Disciplinary Committees (DCs), namely the DC (Investigation) and the DC (Penalty), to handle the alleged research improprieties.

The DC (Investigation) gives advice to the RGC on the investigation procedures in handling alleged improprieties and make recommendations to the RGC on whether the alleged cases are substantiated or not; the DC (Penalty) gives advice to the RGC on principles and guidelines in determining the level of penalty and make recommendations to the RGC on the level of penalty to be imposed on substantiated cases

Membership details of various RGC committees/panels for 2015/16 are in Appendix C.

## 自資學位界別競逐研究資助督導委員會

研資局於2013年10月成立自資學位界別競逐研究資助督導委員會，負責督導教員發展計劃、院校發展計劃及跨院校發展計劃推行的詳情，例如組成評審委員會、申請手續及處理程序、申請人資格及評核準則、監察及評核受資助的項目，以及提交撥款建議，供研資局考慮。

## 香港博士研究生獎學金計劃督導委員會

香港博士研究生獎學金計劃督導委員會成立的目的旨在督導和監察計劃的推行。委員會由研資局主席、一名研資局成員及每間教資會資助大學一名成員組成，以確保這項計劃在配合大學的收生程序下得以順利推行。

## 紀律委員會

研資局十分著重學術研究的操守，期望受資助項目的研究員在進行研究時遵守最嚴格的操守標準。如發現任何不當行為，研資局定當公正及嚴肅地處理。為此，研資局成立了兩個紀律委員會，分別為紀律委員會（調查）和紀律委員會（罰則）處理相關事宜。

紀律委員會（調查）就處理涉及不當研究行為的調查程序向研資局提供意見，並就個案的指控是否成立向研資局作出建議；紀律委員會（罰則）就決定刑罰水平的原則和指引向研資局提供意見，並就指控成立的個案的刑罰水平向研資局作出建議。

2015/16年度各研資局委員會 / 學科小組的成員名單詳列於附錄C。

# Funding Sources

## 資金來源

### Research Endowment Fund

#### Background of the Research Endowment Fund

In February 2009, a HK\$18 billion Research Endowment Fund was established. The UGC is responsible for advising on the policies governing the operation, development and investment of the fund. From the 2010/11 academic year onwards, the investment income of at least HK\$14 billion out of the total of HK\$18 billion is used to replace the bulk of the recurrent subvention from the Government allocated to the RGC, thus providing greater funding stability and certainty of funding to support universities' research projects. In 2011/12, the Government secured the support of the Legislative Council to inject HK\$5 billion into the fund starting from 2012/13. Of that, the investment income of HK\$3 billion is for funding researches of local self-financing degree-awarding institutions on a competitive basis to enhance academic and research development. The investment income of the remaining HK\$2 billion replaces the recurrent provision of HK\$100 million to the RGC to provide stable research funding for the UGC-funded universities.

#### Earmarked Research Grants

The investment income of HK\$16 billion of the Research Endowment Fund provides the source of funding to the Earmarked Research Grants to support research projects and research activities. It is the main form of funding for academic research in the eight UGC-funded universities and is allocated on a competitive basis.

The Earmarked Research Grants complement the Block Grants from the UGC, part of which provide universities with funds for research infrastructure and outlays such as researchers' salaries, laboratory costs and other expenses (e.g. accommodation and equipment) related to UGC or RGC-funded research.

In the 2015/16 academic year, the RGC allocated about HK\$891 million for the Earmarked Research Grants.

#### Theme-based Research Scheme

The investment income of HK\$4 billion of the Research Endowment Fund, is deployed to support the Theme-based Research Scheme involving themes of a more long-term nature and strategically beneficial to the development of Hong Kong. A Steering Committee for Research Themes was set up by the Government to advise on the selection of research themes.

### 研究基金

#### 研究基金的背景

2009年2月，獲撥款180億港元的研究基金正式成立。教資會負責就監管基金運作、發展及投資方面的政策提供意見。由2010/11學年開始，總數180億港元的基金中不少於140億港元用作本金，當中所賺取的投資收益取代目前由政府撥予研資局的經常資助金的一大部分，為資助大學的研究項目提供更穩定明確的撥款。此外，在2011/12年度，政府得到立法會的支持，由2012/13年度起向研究基金注資50億港元。當中30億港元的投資收益用以資助頒授本地自資學位的院校，透過競逐研究撥款，推動其學術和研究發展。其餘20億港元為教資會資助大學提供穩定的經費來源，其投資收入會取代每年撥給研資局的一億元經常撥款。

#### 研究用途補助金

研究用途補助金的資金來自160億港元研究基金的投資收益，用以資助研究項目及活動。研究用途補助金是本地八所受教資會資助大學進行學術研究的主要資金來源，並會以競逐形式決定撥款水平。

研究用途補助金與教資會向各大學提供的整體補助金相輔相成。部份整體補助金可用作支付研究基礎設施、研究人員的薪酬、實驗室開支，以及教資會及研資局資助的其他研究項目的一般費用（例如辦公室地方及設備）。

於2015/16學年，經研資局所分配的研究用途補助金總額約為8.91億港元。

#### 主題研究計劃

從研究基金40億港元本金賺取的投資收益，用作資助主題研究計劃，以進行較長期及策略上有利於香港發展的主題研究。政府成立了一個督導委員會就挑選研究主題事宜向政府提供意見。

### [Competitive Research Funding Schemes for Local Self-financing Degree Sector](#)

The investment income of HK\$3 billion of the Research Endowment Fund is designated to support the academic and research development of local self-financing degree sector on a competitive basis, through the Faculty Development Scheme, the Institutional Development Scheme and the Inter-Institutional Development Scheme.

## UGC Funds

### [Areas of Excellence Scheme](#)

The Areas of Excellence Scheme was launched by the UGC in 1998 to enable the UGC-funded universities to build upon their existing strengths and develop them into areas of excellence. The scheme is now administered by the RGC. The funding of around HK\$80 million per annum continued to come from the UGC.

### [Hong Kong PhD Fellowship Scheme](#)

Established in 2009 by the RGC, the Hong Kong PhD Fellowship Scheme aims at attracting the best and brightest students in the world to pursue their PhD programmes in Hong Kong's UGC-funded universities.

The Fellowship provides a monthly stipend of HK\$20,000 (approximately US\$2,600) and a conference and research related travel allowance of HK\$10,000 (approximately US\$1,300) per year for the awardees for a period of three years.

### [本地自資學位界別競逐研究資助計劃](#)

政府指定研究基金中30億港元須以競逐形式資助本地自資學位界別的學術及研究發展，每年的投資收益用以資助教員發展計劃、院校發展計劃及跨院校發展計劃。

## 教資會撥款

### [卓越學科領域計劃](#)

教資會於1998年推出卓越學科領域計劃，旨在鞏固及發揮香港在研究方面的現有優勢，並使其發展為卓越學科領域。計劃現由研資局負責管理。教資會繼續為計劃提供撥款，每年約8千萬港元。

### [香港博士研究生獎學金計劃](#)

研資局於2009年成立香港博士研究生獎學金計劃。此項計劃旨在吸引世界各地優秀的研究生，來港在教資會資助大學修讀以研究為本的博士學位課程。

獎學金將為獲獎的博士研究生提供每月2萬港元（約2,600美元）的津貼，以及每年1萬港元（約1,300美元）的會議及研究活動交通津貼，為期三年。

# Research Funding Schemes and RGC Activities

## 研究資助計劃及研資局的工作

### RGC Activities funded by Research Endowment Fund 研資局由研究基金資助的工作總覽

#### HIGHLIGHTS 提要

In the 2015/16 academic year, the total funding available for the Earmarked Research Grants was about HK\$891 million.  
2015/16學年研究用途補助金總額約為8.91億港元。

### Allocation of the Earmarked Research Grants: 2015/16 Academic Year

#### How the Earmarked Research Grants were Allocated

The bulk of Earmarked Research Grants funding was allocated by the RGC in response to competitive bids received from the universities. Funding was budgeted for six broad categories as follows:

- HK\$616 million in response to competitive bids received under the annual General Research Fund exercise. To cater for special research needs in specific areas, funding has been earmarked to support special needs under the General Research Fund:
  - ▶ “Clinical Research Fellowship” to support the young medical fellows conducting clinical research.
  - ▶ “Employment of Relief Teacher under the Humanities and Social Sciences Disciplines” to free up the Principal Investigator’s staff time for personal research and writing.
  - ▶ “Provision of Research Experience for Undergraduate Students” to provide undergraduate students with opportunities of working with researchers of the General Research Fund projects and gaining research experience.

### 2015/16 學年研究用途補助金的分配

#### 研究用途補助金的具體分配

研資局研究用途補助金主要透過競爭形式撥予各大學成功申請資助計劃的研究項目。研究用途補助金撥款預算主要分為六大類別：

- 6.16億港元撥予成功申請本年度的優配研究金的項目。為應付某些範疇在研究上的特別需要，優配研究金計劃設立指定用途的款項，以資助有關的需要：
  - ▶ 為支持年輕臨床醫學人員進行臨床醫學研究而設的「臨床醫學研究資助計劃」。
  - ▶ 「聘請替假教師安排」專為人文學及社會科學的研究項目而設，其目的是提供特別資助讓首席研究員聘請替假教師代替其教學工作，以騰出工作時間從事研究及寫作。
  - ▶ 「本科生研究津貼」為本科生提供機會與獲優配研究金資助的項目研究人員共同工作，讓他們汲取研究經驗。

- HK\$102 million reserved for the Early Career Scheme to support and nurture junior new academics with a view to attracting the best junior faculty academics to strengthening the teaching-research nexus.
- HK\$110 million reserved for the Collaborative Research Fund to strengthen the research base, and support group research proposals that promote academic collaboration among universities and synergy between research teams.
- HK\$51 million reserved for the Joint Research Schemes established with other regions.
- HK\$10 million reserved for the Humanities and Social Sciences Prestigious Fellowship Scheme to enhance support to research in disciplines under the Humanities and Social Sciences Panel.
- HK\$1.2 million for the Research Postgraduate Students Conference/ Seminar Grants to support inter-institutional and inter-disciplinary exchanges among postgraduates and to provide opportunities for them to meet and interact with overseas scholars.
- 1.02億港元撥作傑出青年學者計劃，用於支持及培育資歷較淺的青年學者，以吸引最傑出的青年學者加強發展教學與研究之間的聯繫。
- 1.1億港元撥作協作研究金，用於鞏固研究基礎，以及資助由不同大學及研究隊伍共同參與的集體合作研究項目，以鼓勵大學在學術上的合作及發揮協同精神。
- 5,100萬港元撥款，供與其他地區合辦的合作研究計劃之用。
- 1,000萬港元撥作人文學及社會科學傑出學者計劃，為人文學及社會科學學科的學者提供更佳支援。
- 120萬港元撥作支持研究生進行跨院校及跨學科的交流活動，以及與海外學者會面及交流。

## HIGHLIGHTS 提要

In the 2015/16 academic year, the total General Research Fund allocation was HK\$597 million, supporting 949 projects. As at 31 March 2016, the number of on-going projects is 4 910.

在2015/16學年，優配研究金撥款總額達5.97億港元，資助研究項目達949個。截至2016年3月31日，4 910個資助研究項目仍在進行中。

### General Research Fund

Altogether, 2 710 proposals across a wide range of subject disciplines were received under the General Research Fund exercise, seeking grants totaling HK\$2.82 billion. The bids were initially reviewed by external reviewers, and then preliminarily assessed by the relevant Subject Panels.

Following rigorous peer review via the RGC's international network of external reviewers, on advice from the Subject Panels, the RGC approved allocating an amount of HK\$597 million to support 949 projects with an average grant of about HK\$0.63 million in the 2015/16 academic year. The overall success rate is 35%.

Allocation of the General Research Fund for the 2015/16 academic year by broad subject category is summarised in Chart 3.1.

### 優配研究金

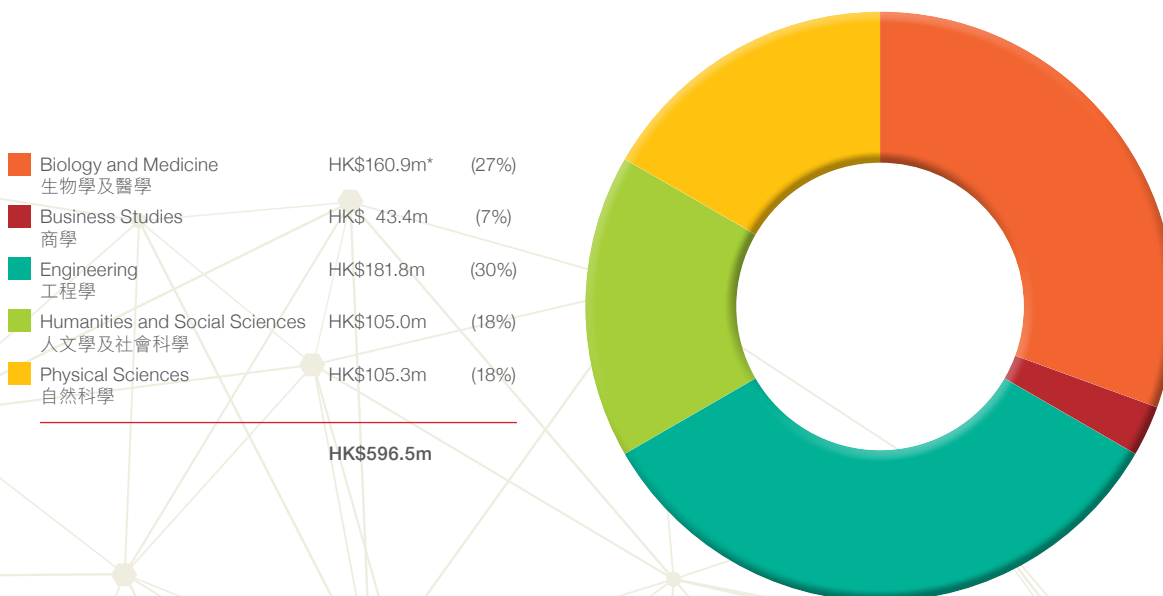
是年度向研資局申請優配研究金的研究項目共2 710個，研究範圍廣泛，申請金額達28.2億港元。申請先由外部評審員評審，再由有關的學科小組作出初步審閱。

經國際外部評審員嚴格審核後，研資局根據學科小組的意見，於2015/16學年撥出5.97億港元予949個研究項目，平均每個項目約獲撥款63萬港元，整體成功率為35%。

表3.1列出2015/16學年各學科所獲優配研究金的分配。

**Chart 3.1: Allocation of the General Research Fund by Broad Subject Category in the 2015/16 Academic Year**

**表3.1：2015/16學年各學科所獲優配研究金的分配**



\*m: million 百萬港元

Note: Figures may not add up to the total due to rounding.  
註：由於進位原因，合計之數未必等於全部數字的總和。

- Clinical Research Fellowship

The RGC awarded research fellowship to support young clinicians in undertaking clinical research. The scheme has been implemented in close partnership with the two local universities which offer medical training (i.e. The Chinese University of Hong Kong and The University of Hong Kong) and is part of the overall efforts to create more opportunities for promising clinicians to be exposed to and to engage in clinical research.

Under the scheme, a supported fellow would be awarded an annual grant equally contributed by the RGC and the hosting university for up to three years to undertake and complete a clinical research project. The amount of fellowship award for each application is capped at HK\$1.2 million per year and the actual fellowship to be funded per year is the sum of the annual salary and annual cash allowance of the applicant or a maximum of HK\$1.2 million, whichever is less.

- Employment of Relief Teacher under the Humanities and Social Sciences Disciplines

The objective of this funding support is to address the special needs of the Humanities and Social Sciences academics. The humanities and social sciences projects normally require employment of relief teachers to free up the Principal Investigators' time for personal research and writing, rather than funding for research assistants and research infrastructure (e.g. equipment). The duration of employment of relief teachers normally ranges from four to a maximum of 12 months for a typical 24 to 36-month project.

- Provision of Research Experience for Undergraduate Students

This scheme is to provide undergraduate students with opportunities of working with researchers of the General Research Fund projects and gaining research experience. Principal Investigators can include the provision of a monthly allowance of up to HK\$2,500 to an undergraduate student helper for a maximum period of ten months for each General Research Fund application. The universities have agreed to provide the same amount of allowance to the students as the RGC on a matching basis so as to allow more students to benefit from the scheme.

- 臨床醫學研究資助計劃

研資局在優配研究金中，提供研究獎助金，以支持年輕臨床醫學人員進行臨床醫學研究。該計劃乃與香港中文大學及香港大學兩家提供醫療訓練的本地大學緊密合作推行，致力為具潛質的臨床醫學人員提供更多機會，以參與及從事臨床醫學的研究。

此計劃讓獲資助者每年獲得由研資局及所屬大學提供相等份額的撥款，最多資助三年，以從事及完成臨床醫學研究計劃。此計劃的資助金額上限為120萬港元一年，而每年的實際資助金額為申請人年薪及每年所獲現金津貼之總和或最多120萬港元，以較少金額為準。

- 人文學及社會科學學科的聘用替假教師安排

此計劃設立的目的是為照顧人文學及社會科學學者的特別需要。這些學科的研究項目多涉及個人研究或撰寫工作，需要首席研究員騰出工作時間來進行，並非可以聘用研究助理或購置研究設施來完成，故需聘請替假教師代替其教學工作，以騰出時間從事研究及寫作。一般而言，24至36個月的研究項目可申請聘用四個月至最多12個月的替假教師。

- 本科生研究津貼

此計劃為本科生提供與優配研究金獲資助研究項目的研究人員一同工作的機會，讓他們汲取研究經驗。首席研究員可於申請建議書中申請為本科生提供為期最多十個月，每月2,500港元的津貼。大學亦已同意提供與研資局相等份額的撥款，令更多本科生受惠。



## HIGHLIGHTS 提要

In the 2015/16 academic year, the total Early Career Scheme allocation approved was HK\$92 million supporting 151 projects. As at 31 March 2016, the number of on-going projects is 559.

在2015/16學年，傑出青年學者計劃撥款總額約9,200萬港元，資助研究項目達151個。截至2016年3月31日，559個資助研究項目仍在進行中。

### Early Career Scheme

The Early Career Scheme aims at nurturing new junior faculty members.

A total of 378 proposals were received under the Early Career Scheme, seeking grants totaling HK\$312 million. Like the bids for the General Research Fund, the bids were first reviewed by external reviewers, and then preliminarily assessed by the relevant Subject Panels.

Following the rigorous peer review via the RGC's international network of external reviewers, on advice from the Subject Panels, the RGC awarded an amount of HK\$92 million to support 151 projects with an average grant of about HK\$0.61 million in the 2015/16 academic year. The overall success rate is 40%.

Allocation of the Early Career Scheme for the 2015/16 academic year by broad subject category is summarised in Chart 3.2.

### 傑出青年學者計劃

傑出青年學者計劃的目的旨在培育資歷較淺的青年學者。

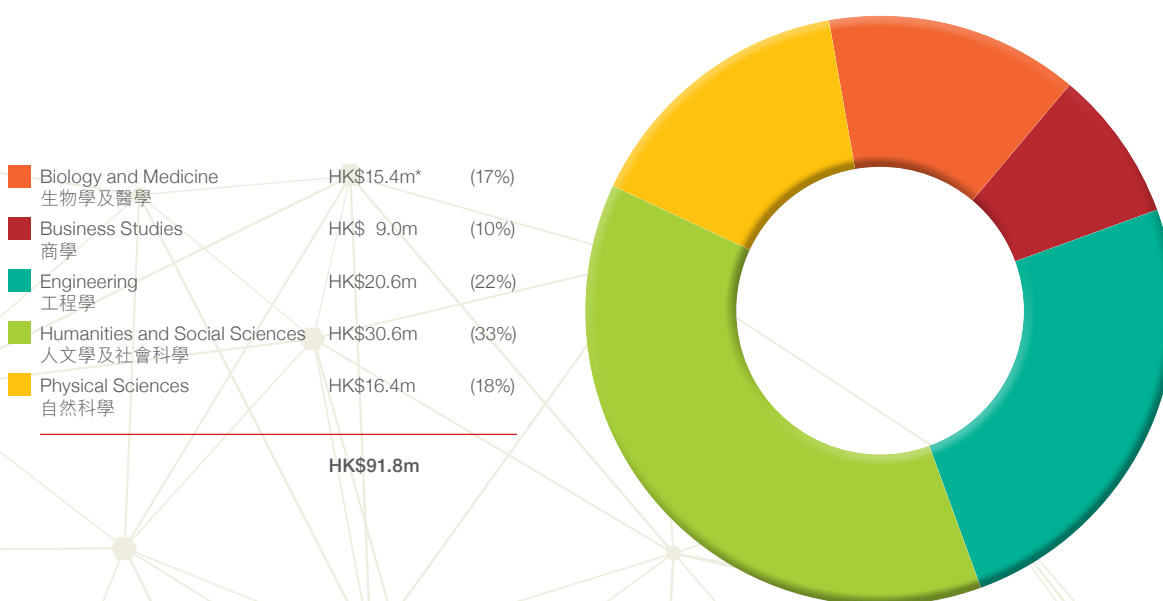
傑出青年學者計劃接獲研究項目申請共378個，申請金額達3.12億港元。與優配研究金的申請相同，申請會先由外部評審員評審，再由有關的學科小組作出初步審閱。

經國際外部評審員嚴格審核後，研資局根據學科小組的意見，於2015/16學年撥出9,200萬港元予151個研究項目，平均每個項目約獲撥款61萬港元。整體成功率為40%。

表3.2列出2015/16學年各學科所獲傑出青年學者計劃的分配。

**Chart 3.2: Allocation of the Early Career Scheme by Broad Subject Category in the 2015/16 Academic Year**

**表3.2：2015/16學年各學科所獲傑出青年學者計劃的分配**



\*m: million 百萬港元

## HIGHLIGHTS 提要

In the 2015/16 academic year, the total Collaborative Research Fund allocation was HK\$110 million supporting 18 projects. As at 31 March 2016, the number of on-going projects is 73.

在2015/16學年，協作研究金撥款總額為1.1億港元，資助研究項目達18個。截至2016年3月31日，73個資助研究項目仍在進行中。

### Collaborative Research Fund

The Collaborative Research Fund (CRF) is provided to the UGC-funded universities for the procurement of major research facilities and/or equipment or library collections to support collaborative research, or group research activities that operate across disciplines and/or normal institutional boundaries.

The CRF exercise adopts a two-stage application process consisting of submission of preliminary and full proposals. In the 2015/16 academic year, the RGC received a total of 153 preliminary proposals, consisting of 16 equipment bids and 137 group research applications, seeking grants totally HK\$72 million and HK\$993 million respectively.

After a peer review process and interviews of selected researchers by the Collaborative Research Fund Committee (CRFC), 18 full proposals were eventually funded at a total amount of HK\$110 million.

From the 2008/09 academic year onwards, the RGC holds an annual CRF Symposium for on-going funded projects to facilitate exchange of views among the CRFC members, research teams and researchers from various universities.

The 2015 Symposium was held in December 2015 at The Chinese University of Hong Kong. Fourteen on-going projects funded in the 2013/14 academic year were presented in the Symposium.

### 協作研究金

協作研究金向大學合作的研究項目提供資助，購置主要的研究設備、儀器或圖書館資料以進行協作研究，或讓院校進行跨學科及／或跨院校的集體研究。

協作研究金計劃採用兩個階段的申請程序，包括提交初步及具體建議書。研資局於2015/16學年共接獲153份初步建議書，包括16份購置器材的申請及137項資助集體合作研究的申請，申請資助額分別為7,200萬港元及9.93億港元。

經過學者評審及協作研究金委員會與個別研究人員會面後，研資局落實資助其中18項申請，資助總額為1.1億港元。

由2008/09學年起，研資局為進行中的研究項目舉辦周年協作研究金研討會，以促進協作研究金委員會委員與研究隊伍及不同大學的研究人員的交流。

2015年度協作研究金研討會已於2015年12月假香港中文大學舉行。在是次研討會中，發佈了14個於2013/14學年獲資助的研究項目的中期成果。

## HIGHLIGHTS 提要

In the 2015/16 academic year, the total Joint Research Schemes allocation was HK\$42.35 million for the Joint Research Schemes with different regions supporting 66 collaborative projects and exchange fellowships. As at 31 March 2016, the number of on-going projects is 210.

在2015/16學年，研資局與不同地區分別合辦的合作研究計劃撥款總額為4,235萬港元，共資助66個研究合作項目及交流計劃。截至2016年3月31日，210個資助研究項目仍在進行中。

## Joint Research Schemes

### Project Grants

#### • National Natural Science Foundation of China/Research Grants Council Joint Research Scheme

The National Natural Science Foundation of China/RGC Joint Research Scheme was launched in November 1998. The scheme aims to promote collaboration in research between researchers/research teams in Hong Kong and their counterparts in Mainland China, in areas of common interest to complement each other's strengths. There are six focus areas:

- Information Technology
- Life Science
- Management Science
- Marine and Environmental Science
- Medicine
- New Materials Science

The scheme provides funding to support actual expenses, inclusive of passage and subsistence. In the 2015/16 academic year, a total of 148 eligible preliminary proposals were received, seeking a total of HK\$169 million of RGC grants. After shortlisting, and in consultation with the National Natural Science Foundation of China, 46 proposals were selected for submission of full proposals.

Following a peer review process and detailed discussions by the Joint Selection Committee comprising experts from both the National Natural Science Foundation of China and the RGC, 23 proposals were finally selected for funding support at a total amount of HK\$26.25 million.

## 合作研究計劃

### 項目補助金

- 國家自然科學基金委員會及香港研資局聯合科研資助基金

研資局與國家自然科學基金委員會於1998年11月成立聯合科研資助基金。此計劃旨在促進香港與內地的研究人員或隊伍在雙方具有共同興趣的範圍上展開合作研究，以收相輔相成之效。資助的合作範疇為以下六個重點領域：

- 信息科學
- 生物科學
- 管理科學
- 海洋與環境科學
- 醫學科學
- 新材料科學

此計劃不僅資助研究人員的交通及生活費，更撥款支持研究的實際開支。計劃於2015/16學年內共收到148份合資格的初步建議書，申請金額約1.69億港元。經研資局與國家自然科學基金委員會的甄選，46個項目獲選可提交具體建議書。

申請均經由學者評審，並經過國家自然科學基金委員會與研資局共同組成的聯合甄選委員會詳細討論，最後對23個申請項目提供資助，資助總額2,625萬港元。

- **The French National Research Agency/Research Grants Council Joint Research Scheme**

Launched in the 2012/13 academic year, this scheme aims to further strengthen the collaboration between French and Hong Kong research communities in areas of mutual interest.

In the 2015/16 academic year, a total of 23 eligible applications were received in the 2015/16 exercise, seeking total RGC grants of HK\$58.77 million. Following a peer review process and rigorous selection by the experts from the French National Research Agency and the RGC, three proposals were selected for funding support with total RGC grants of HK\$7.1 million.

- **Scottish Funding Council/Research Grants Council Joint Research Scheme**

Launched in the 2014/15 academic year, this scheme aims to promote and support collaboration between scientists in the Scotland and Hong Kong. Proposals in the fields of Life Sciences and Energy will be invited under the scheme.

The RGC allocated HK\$1.57 million in the last round exercise to support a total of 13 projects.

- **European Commission (EC)/RGC Collaboration Scheme**

In November 2015, the EC and RGC launched a collaboration scheme to foster European-Hong Kong collaboration in academic research. The scheme supports the participation of researchers in universities funded by the UGC in Hong Kong in joint research projects conducted under the framework of Horizon 2020. Both research project proposals in science disciplines (viz. biology and medicine, engineering and physical sciences) and exchange proposals in all disciplines will be accepted. The RGC intends to issue the call for proposals twice per year. The first call for proposal was issued in November 2015.

- **法國國家科研署與研資局合作研究計劃**

本計劃於2012/13學年推出，旨在進一步加強香港與法國的科研人員就共同興趣的領域上的合作。

在2015/16年度，研資局共收到23份合資格的申請，申請金額約5,877萬港元。經學者評審及研資局與法國國家科研署的嚴格甄選後，選出三份申請批予資助。研資局合共批出710萬港元。

- **蘇格蘭撥款委員會與研資局合作研究計劃**

本計劃於2014/15學年推出，旨在推廣及支持蘇格蘭與香港兩地科研人員之間的合作研究。本計劃接受生命科學和能源兩個領域的研究項目申請。

研資局於過去一輪的計劃合共撥出157萬港元，以資助十三個項目。

- **歐洲委員會與研究資助局合作計劃**

在2015年11月，歐洲委員會與研資局推出合作計劃，旨在推動歐盟與香港兩地學者的學術研究及合作，並資助香港研究人員參與在歐盟「地平線2020」框架下的合作研究項目。此合作計劃接受研究項目（適用於生物學及醫學、工程學及自然科學等學科）及交流項目（適用於所有學科）的申請。研資局擬每年作出兩次邀請，讓研究人員提交申請書。首輪申請已於2015年11月展開。

## Travel/Conference Grants

### • Germany/Hong Kong Joint Research Scheme

In the 1996/97 academic year, in collaboration with the German Academic Exchange Service (DAAD), the RGC launched a joint research scheme to encourage collaborative research between scholars in Germany and Hong Kong.

The grants provide researchers with passage and a subsistence allowance for a maximum period of two years enabling them to visit their counterparts to discuss or conduct research. The scheme is operated on an annual basis.

In the 2015/16 academic year, 36 applications covering a wide range of subjects were received, seeking about HK\$2.23 million of RGC grants. Experts from both Hong Kong and Germany jointly selected 15 research proposals for about HK\$1.01 million RGC grants.

### • PROCORE – France/Hong Kong Joint Research Scheme

In 1998, the RGC and the French Consulate in Hong Kong agreed to launch a joint research scheme to encourage and promote scientific collaboration between France and Hong Kong by providing grants for researchers to make exchange visits.

In the 2015/16 academic year, a total of 46 applications were received. Among these applications, 44 were travel grant requests and two were conference/ workshop grants applications. The total amount of RGC grants sought was about HK\$3.1 million.

Of these, 15 applications including 14 travel grants and one conference/workshop grant were approved, with about HK\$0.88 million RGC grants awarded.

## 旅費 / 會議補助金

### • 德國與香港合作研究計劃

1996/97學年，研資局與德國學術交流協進會合作，推出合作研究計劃，促進德國與香港學者合作進行研究。

撥款用於支付研究人員為期最多兩年的交通及生活津貼，讓兩地的研究人員攜手合作，商討及進行研究。此計劃每年進行一次遴選。

在2015/16學年，雙方共接獲的申請有36份，涵蓋學科甚為廣泛，申請金額約223萬港元。本港及德國的學者最終共同選出15份申請予以資助，研資局給予的資助金額約101萬港元。

### • 法國與香港合作研究計劃

1998年，研資局與法國駐港領事館協議合辦此項合作研究計劃，資助研究人員互訪交流，藉以鼓勵及促進法國與香港合作進行科學研究。

2015/16學年，雙方共接獲46份申請，其中44份是旅費補助金申請，其餘二份是會議 / 工作坊補助金申請。申請金額約310萬港元。

在上述申請中，共有15份申請獲得撥款，其中14份是旅費補助金申請，一份是會議 / 工作坊補助金申請，研資局批出的資助金額約88萬港元。

## Fellowships

### • Fulbright - RGC Hong Kong Senior Research Scholar/ Research Scholar Award Programmes

Since 2002/03 academic year, the RGC has collaborated with the US Consulate-General in Hong Kong in launching the Fulbright – RGC Hong Kong Senior Research Scholar Award Programme (Senior Programme) to support Hong Kong scholars to undertake research work in the United States. The programme awards fellowships, each at a maximum of HK\$0.35 million for up to ten months in each year. A supplementary grant of up to HK\$0.15 million per application may be provided to meet research expenses in justified cases. In the 2015/16 exercise, this Senior Programme attracted seven applications. Two local scholars were finally supported with roughly HK\$0.68 million.

In addition, the Fulbright - RGC Hong Kong Research Scholar Award Programme (Junior Programme) was first launched in the 2006/07 academic year. The programme provides sponsorship of up to HK\$0.12 million for ten months to each local PhD student. In the 2015/16 academic year, 53 applications were received. Three local PhD students were supported with about HK\$0.35 million RGC grants.

### • Hong Kong - Scotland Partners in Post Doctoral Research

In collaboration with the Scottish Government, the RGC launched this scheme in the 2012/13 academic year to support Hong Kong post-doctoral fellows to spend six to 12 months in a Scottish university or research institution for conducting research activities in four specified areas. Similarly, the Scottish Government provides support to Scottish post-doctoral fellows to conduct research works in the UGC-funded universities. The aim of this scheme is to foster academic links between Scotland and Hong Kong enabling experienced researchers to conduct cutting edge research in each other's place.

In the 2015/16 academic year, four applications were received, seeking about HK\$0.26 million of RGC grants. All four applications were supported.

## 研究獎助金

### • 富布萊特 – 研資局香港學人/ 青年學人計劃

由2002/03學年開始，研資局與香港美國總領事館合作，推出富布萊特—研資局香港學人計劃，資助香港學者前赴美國進行研究工作。在此計劃下，每位學者可獲最高35萬港元的資助，進行每年為期最多十個月的交流。如有需要，每名申請者最高可獲15萬港元額外津貼，作為支付研究開支之用。此計劃在2015/16學年收到七份申請，最後以約68萬港元資助了兩位本地學者。

此外，富布萊特—研資局香港青年學人計劃於2006/07學年推出。在這項計劃下，每位本地研究生可獲最高12萬港元進行為期最多十個月的交流。在2015/16學年收到合共53份申請，當中有三位本地研究生獲研資局資助共約35萬港元。

### • 香港與蘇格蘭合作博士後研究計劃

研資局與蘇格蘭政府合作，於2012/13學年起推出此計劃，以資助香港博士後研究員前赴蘇格蘭的大學或研究機構就四個特定範疇作為期六至12個月的研究。同樣地，蘇格蘭政府會資助蘇格蘭博士後研究員到教資會資助大學進行研究。此計劃旨在促進蘇格蘭與香港的學術交流，並讓具經驗的研究員進行先進的研究。

於2015/16學年，共接獲的申請有四份，申請金額約26萬港元。最終四份申請均予以資助。

## HIGHLIGHTS 提要

In the 2015/16 academic year, an amount of HK\$1.51 million was awarded to support three prestigious investigators under the Humanities and Social Sciences Prestigious Fellowship Scheme. As at 31 March 2016, the number of on-going projects is 20. 在2015/16學年，人文學及社會科學傑出學者計劃撥出151萬港元資助三名傑出學者。截至2016年3月31日，20個資助研究項目仍在進行中。

### Humanities and Social Sciences Prestigious Fellowship Scheme

The Humanities and Social Sciences Prestigious Fellowship Scheme was implemented to enhance support to research in disciplines under the Humanities and Social Sciences Panel. The fellowship not only provides resources for the employment of relief teachers, but also cost of travel, subsistence and dissemination of outputs.

After rigorous peer review via the RGC's international network of external reviewers, on the advice of the Selection Committee, the RGC awarded an amount of HK\$1.51 million to support three prestigious investigators with an average fellowship of about HK\$0.5 million in the 2015/16 academic year. The overall success rate is 14%.

### 人文學及社會科學傑出學者計劃

人文學及社會科學傑出學者計劃的目的是為人文學及社會科學學科的學者提供更佳支援。計劃不單提供資源聘請替假老師，還包括旅費、生活津貼及發表研究結果的費用。

經國際外部評審員嚴格的審核後，研資局根據遴選委員會的意見，於2015/16學年撥出151萬港元資助三名傑出學者，平均每名約50萬港元。整體成功率為14%。

## HIGHLIGHTS 提要

In the 2015/16 academic year, the RGC provided HK\$0.71 million for the Research Postgraduate Students Conference/Seminar Grants supporting 16 conferences/seminars.  
在2015/16學年，研究生會議 / 研討會補助金撥款額71萬港元，資助16個會議 / 研討會。

### Research Postgraduate Students Conference/ Seminar Grants

The grant is provided to support and promote inter-institutional and inter-disciplinary exchanges among postgraduate students of the UGC-funded universities, and to provide opportunities for postgraduate students of these universities to meet and interact with eminent scholars from outside Hong Kong.

Specifically, the scheme aims to facilitate the organisation of locally held inter-institutional conferences/seminars targeted at postgraduate students.

The grant is given in the form of travel grants to cover the travel and associated costs of inviting visiting scholars to attend and speak at conferences/seminars in Hong Kong.

Applications are received all year round. Grants are given subject to the availability of funds set aside by the RGC.

In the 2015/16 academic year, the RGC approved 16 applications with a total funding of HK\$0.71 million.

### 研究生會議 / 研討會補助金

是項補助金是為支持及促進受教資會資助大學的研究生進行跨院校及跨學科交流而設，亦資助研究生與海外的卓越學者會面及交流。

是項計劃旨在資助於本地舉行，並以研究生為對象的跨院校會議及研討會。

補助金以補助旅費的形式發放，用以支付邀請學者來港出席會議 / 研討會及發表演說所需的旅費及有關開支。

此項補助金全年接受申請，批核與否則視乎研資局的預留撥款金額是否有餘而定。

於2015/16學年，研資局批准了16項申請，資助總額為71萬港元。



## HIGHLIGHTS 提要

In the 2015/16 academic year, the Theme-based Research Scheme allocated HK\$203 million to support five projects. As at 31 March 2016, the number of on-going projects is 23.  
在2015/16學年，主題研究計劃撥款總額達2.03億港元，資助共五個研究項目。截至2016年3月31日，23個資助研究項目仍在進行中。

### Theme-based Research Scheme

The objective of the Theme-based Research Scheme is to focus academic research efforts of the UGC-funded universities on themes of strategic importance to the long-term development of Hong Kong.

The Steering Committee for Research Themes was set up by the Government to advise on the selection of research themes. Following a consultation exercise, the Government identified the following three themes:

1. Promoting Good Health;
2. Developing a Sustainable Environment; and
3. Enhancing Hong Kong's Strategic Position as a Regional and International Business Centre.

Under these three themes, 11 grand challenge topics have been identified by the RGC in consultation with the academic community and endorsed by the Government.

In February 2015, the Government decided to introduce a new theme "Advancing Emerging Research and Innovations Important to Hong Kong" in addition to the three original themes. Subsequently, the RGC reviewed the grand challenge topics of the new and original themes in consultation with the academic community. The grand challenge topics of the new theme include:

1. Big Data;
2. Imaging, Robotics and Smart Manufacturing;
3. Urban Infrastructure; and
4. E-learning and Digital Citizenship.

The new theme and new/revised grand challenge topics were adopted in the Sixth Round exercise which was rolled out in July 2015.

Annual review is conducted to broaden/clarify the existing topics after consulting the universities and obtaining approval of the Education Bureau.

The funding ceiling under this scheme is HK\$75 million per project for up to five years.

The Fifth Round exercise was held in the 2015/16 academic year. A total of 28 preliminary proposals were received, seeking RGC grants of HK\$2.05 billion. After the preliminary selection and a vigorous process of peer-review, the RGC allocated HK\$203 million to support five projects.

### 主題研究計劃

主題研究計劃的目的是集中教資會資助大學的學術研究力量，對香港長遠發展具策略重要性的主題進行研究。

政府成立了研究主題督導委員會，就挑選研究主題事宜向政府提供意見。經徵詢意見後，政府訂定下列三個主題：

1. 促進健康；
2. 建設可持續發展的環境；及
3. 加強香港作為地區及國際商業中心的策略性地位。

就上述三個主題，研資局在諮詢學術界後，選出11個具挑戰性的題目，並獲政府批准。

於2015年2月，政府決定在計劃原有的三個主題外，增設一個名為“促進對香港起重要作用的新興研究及創新項目”的新主題。隨後，研資局檢討新增及原有主題下的具挑戰性的題目，並徵詢學術團體的意見。新主題的具挑戰性題目包括：

1. 大數據；
2. 造像、機械人技術及智能製造；
3. 城市基礎建設；以及
4. 網上學習及數碼公民身分。

新主題以及新訂 / 修訂具挑戰性題目已於2015年7月推出的第六輪計劃採用。

研資局每年在徵詢大學的意見及獲教育局批准後，會修訂具挑戰性的題目，以擴闊及闡明題目相關的範圍。

每項主題研究計劃年期最長為五年，每項申請的資助上限為7,500萬港元。

第五輪計劃於2015/16學年舉行。研資局共收到28份初步建議書，申請金額約20.5億港元。經過初步篩選及嚴格學者評審後，研資局撥款約2.03億港元，資助共五個研究項目。

## HIGHLIGHTS 提要

In the 2015/16 academic year, the RGC provided about HK\$86.3 million for the three funding schemes for the local self-financing sector supporting 60 research projects. As at 31 March 2016, the number of on-going projects is 115.  
在2015/16學年，研資局就三項供本地自資學位界別競逐的研究資助計劃撥款總額約8,630萬港元，共資助60個研究合作項目。截至2016年3月31日，115個資助研究項目仍在進行中。

### Competitive Research Funding Schemes for the Local Self-financing Degree Sector

The RGC launched three research funding schemes for the local self-financing degree sector, namely the Faculty Development Scheme, the Institutional Development Scheme and the Inter-Institutional Development Scheme. The three funding schemes are funded by the investment income of HK\$3 billion in the Research Endowment Fund.

The results of the Second Round exercise were announced in August 2015. Following the completion of the Second Round exercise, the third round of exercise started in November 2015. A total of thirteen local self-financing degree-awarding institutions were eligible for the three funding schemes in the Third Round exercise, including Caritas Institute of Higher Education, Centennial College, Chu Hai College of Higher Education, Gratia Christian College, Hang Seng Management College, Hong Kong Shue Yan University, The Open University of Hong Kong, Tung Wah College, Hong Kong CT Institute of Higher Education, Hong Kong Nang Yan College of Higher Education, Hong Kong Baptist University - School of Continuing Education, The Hong Kong Polytechnic University - School of Professional Education and Executive Development, and Technological and Higher Education Institute of Hong Kong. The results of the Third Round exercise will be issued in August 2016.

#### Faculty Development Scheme (FDS)

The Faculty Development Scheme aims at developing the research capability of individual academics in the institutions so that they can transfer their research experiences and new knowledge into teaching and learning. In the 2015/16 exercise, HK\$30.6 million was granted to 47 research projects out of 185 applications.

#### Institutional Development Scheme (IDS)

The Institutional Development Scheme aims at building up the research capacity of the institutions in their strategic areas, involving physical research facilities and infrastructure supports. Of the ten proposals received in the 2015/16 exercise, seven projects were supported with a total grant of HK\$ 52.8 million.

#### Inter-Institutional Development Scheme (IIDS)

The Inter-Institutional Development Scheme aims at enhancing academics' research capability in the institutions and keeping them abreast of new developments, and challenging research topics in relevant fields through organising workshops, seminars or short courses by an institution or jointly between institutions. In the 2015/16 exercise, 17 applications were received and six of them were awarded HK\$ 2.9 million.

### 本地自資學位界別競逐的研究資助計劃

研資局為本地自資學位界別推出三項研究資助計劃，名為教員發展計劃、院校發展計劃及跨院校發展計劃。三項資助計劃的撥款，源自研究基金中的30億港元的投資收益。

第二輪計劃申請結果已於2015年8月公布。隨着第二輪計劃的結束，第三輪計劃在2015年11月已展開。共有十三所以自資形式提供經本地評審本地學位課程的院校符合參與上述三項研究資助計劃的資格，分別為明愛專上學院、明德學院、珠海學院、宏恩基督教學院、恒生管理學院、香港樹仁大學、香港公開大學、東華學院、港專學院、香港能仁專上學院、香港浸會大學—持續教育學院、香港理工大學—專業進修學院及職業訓練局—香港高等科技教育學院。第三輪計劃申請撥款結果將於2016年8月公布。

#### 教員發展計劃

教員發展計劃旨在幫助院校的個別教學人員發展研究能力，使他們能將研究經驗和新知識轉移至教與學。2015/16年度，本計劃共收到185份申請，其中47份研究計劃獲得資助，總額為3,060萬港元。

#### 院校發展計劃

院校發展計劃目標在建立各院校在其策略發展範疇的研究能力，包括具體研究設施及支援研究的基建項目。2015/16年度共收到十份申請，最後共批出5,280萬港元資助七份計劃。

#### 跨院校發展計劃

跨院校發展計劃則旨在為本地教學人員提升研究能力，透過個別院校籌組或與其他院校合辦的工作坊、講座和短期課程，使他們在各自的專研範疇涉獵最新的發展和充滿挑戰的研究專題。2015/16年度共收到17份申請，合共批出290萬港元資助六份計劃。

## RGC Activities funded by UGC Funds 研資局由教資會撥款的工作總覽

### HIGHLIGHTS 提要

In the 2015/16 academic year, the Areas of Excellence Scheme allocated HK\$144 million to support three projects. As at 31 March 2016, the number of on-going projects is 9.

在2015/16學年，卓越學科領域計劃撥款總額達1.44億港元，資助共三個研究項目。截至2016年3月31日，9個資助研究項目仍在進行中。

### Areas of Excellence Scheme

The Areas of Excellence Scheme was launched by the UGC. The Scheme is now administered by the RGC.

Following a comprehensive review of higher education of Hong Kong, the UGC advised the Government in a report published in October 1996 that Hong Kong would need world-class institutions with distinct areas of excellence in order to retain its leading economic position in the development of China and the Pacific Rim. The UGC recommended, and the Government agreed, that the UGC-funded universities should build upon their existing strengths and develop them into areas of excellence.

With an annual budget of about HK\$80 million, the scheme supports research projects of similar scale as the TRS. Proposals from all disciplines including those falling outside the scope of the topics of the TRS may be submitted under this scheme. The prevailing funding ceiling is HK\$60 million per project for up to eight years.

The Seventh Round exercise was launched in October 2015. A total of 23 eligible preliminary proposals were received, seeking RGC grants of HK\$1.52 billion. Results of the exercise will be announced in late December 2016.

### 卓越學科領域計劃

教資會於早年推出卓越學科領域計劃。該計劃現時由研資局管理。

1996年10月，教資會在全面檢討香港的高等教育情況後，發表了一份檢討報告。教資會在報告中向政府提出，香港須擁有能夠建立卓越學科領域的世界級學府，才可以在中國和太平洋周邊地區的經濟發展中，保持領導地位。教資會建議受資助的大學盡展所長、精益求精，把現有的優勢發展為卓越學科領域。政府對這項建議亦表贊同。

卓越學科領域計劃的資助金額預算約為每年8,000萬港元，提供資助予與主題研究計劃規模相約的計劃。在這個計劃下每個項目的資助金額上限約為6,000萬港元，年期最長為八年。所有涉及主題研究計劃題目範圍以外的建議書均可申請卓越學科領域計劃的撥款。

第七輪計劃在2015年10月開展。研資局共收到23份合資格初步研究建議書，申請金額約15.2億港元。第七輪計劃的結果將於2016年12月底公布。

## HIGHLIGHTS 提要

In the 2015/16 academic year, the Hong Kong PhD Fellowship Scheme awarded 216 top students from 34 countries/regions.  
在2015/16學年，香港博士研究生獎學金計劃頒發獎學金予來自全球34個國家及地區共216位優秀學生。

### Hong Kong PhD Fellowship Scheme

The Hong Kong PhD Fellowship Scheme was launched in September 2009 to attract the best and brightest students in the world, irrespective of their country of origin, prior work experience and ethnic background, to pursue their PhD studies and research in the UGC-funded universities.

In the Sixth Round exercise, 216 top students from 34 countries/regions received the award. By the deadline in December 2015, over 4 300 applicants from 115 countries/regions submitted full applications for the Seventh Round exercise.

This prestigious scheme will unite top international research postgraduate students and Hong Kong's world class research universities.

### 香港博士研究生獎學金計劃

香港博士研究生獎學金計劃於2009年9月推出，目的是吸引世界各地最優秀及出色的研究生前來教資會資助大學就讀博士研究生課程，而不論其國籍、工作經驗和種族背景。

第六屆香港博士研究生獎學金計劃的得主為216位來自全球34個國家及地區的優秀學生。至2015年12月的報名截止日期，4 300多名來自115個國家及地區的申請者就第七輪計劃提交正式申請書。

這個備受推崇的計劃將會把來自各地的研究生尖子，匯集於香港的世界級學府。

## RGC Visits to UGC-funded Universities

The RGC visited the City University of Hong Kong on 18 June 2015. The RGC visits one UGC-funded university each year. The main objectives of the visit are for the RGC and Subject Panel members to:

- familiarise themselves with the overall research activities, research strategies and major achievements of the university;
- see research facilities, equipment, project highlights and important research outputs/results with a view to understanding the proclaimed research direction; and
- meet and talk with academic staff and research postgraduate students about their research activities.

Visits and discussions with staff and research postgraduate students are undertaken in small groups. The visiting team is split broadly on the basis of Subject Panel groups. The discussions are for open collegial exchanges between staff and research postgraduate students and the RGC.

## Provision of Expert Advice in Assessment of Academic Research Proposals

The RGC offers expert advice in assessing the academic research proposals of the following programme:

### State Natural Science Award

At the invitation of the Education Bureau, the RGC assists in the preliminary content assessment of the State Natural Science Award applications and makes recommendations to the Education Bureau for submission to the National Office for Science and Technology Awards.

## RGC Contacts with Other Funding Bodies

The RGC maintains regular contacts with other bodies that provide funding for research. This good working relationship ensures that applications for grants are cross-checked to avoid unjustified duplication. The main research organisations with which the RGC maintains regular contacts are:

- Croucher Foundation
- Environment and Conservation Fund
- Health and Medical Research Fund
- Innovation and Technology Commission
- Quality Education Fund
- S K Yee Medical Foundation
- Overseas bodies and organisations in the Mainland with funding for academic research

## 研資局訪問教資會資助大學

2015年6月18日，研資局探訪了香港城市大學。研資局每年造訪教資會資助大學的其中一間，其目的是讓研資局成員及學科小組成員：

- 了解各大學的研究活動、研究策略及主要成就；
- 視察各種設施、設備、研究項目的重點及成果，從而了解該大學的研究方向；及
- 與教學人員和研究院研究課程學生會晤及討論他們進行的研究活動。

訪問及與教學人員和研究院研究課程學生的討論，均以小組形式進行。研資局大致按學科小組的性質類別分成小組。小組討論的目的是讓教學人員及研究院研究課程學生與研資局及學科小組成員進行交流。

## 為學術研究建議書的評審提供專家意見

研資局亦會協助以下計劃的學術評審工作，就計劃下提交的研究建議書提供專家意見：

### 國家自然科學獎

應教育局的邀請，研資局會協助對國家自然科學獎申請書的內容作初步評審，並向教育局建議推薦名單，以便提交國家科學技術獎勵工作辦公室考慮。

## 研資局與其他研究資助機構的聯繫

研資局與其他資助研究的機構經常保持聯繫，互相核對研究經費的申請，以防止不合理的重疊撥款。研資局經常與下列主要研究資助機構保持聯繫：

- 裘槎基金會
- 環境及自然保育基金
- 醫療衛生研究基金
- 創新科技署
- 優質教育基金
- 余兆麒醫療基金
- 海外及內地的學術研究資助機構

# How Funded Projects are Selected, Monitored and Assessed

## 資助項目的審批、監察與評核

### How Projects are Selected

To drive Hong Kong's research quality to a higher level of excellence, the RGC is focusing its resources to provide better support to top quality research projects through competitive funding schemes.

Across the board, equal emphasis is given to both fundamental and applied research.

Additionally, the RGC must be satisfied that the projects it funds have significant intellectual and innovative content and serve the purpose of academic development.

For applied research projects which involve potential benefits to industry in the short to medium term, the RGC expects to see some funding from the potential beneficiaries.

### Aim of Monitoring and Assessment

Under its Terms of Reference, the RGC is required to monitor the implementation of research projects funded by disbursements from the UGC, and to report at least annually to the Government through the UGC.

The RGC considers that monitoring of on-going projects and assessment of completed projects are necessary for reasons of public accountability.

As part of its efforts to strive for improvement, the RGC constantly reviews its monitoring and assessment system with a view to streamlining the relevant processes and enhancing overall effectiveness.

The Principal Investigator/Project Coordinator of an approved project is therefore required to submit progress reports and a completion report on the project for monitoring and scrutiny by Subject Panel/Committee members. The sponsoring institution is required to manage the projects and expenditures, oversee the procurement of equipment and devices approved under the projects and to put in place a due internal monitoring system.

### 釐定撥款的準則

為提昇香港學術研究的質素至另一高峯，研資局集中資源，通過具競爭性的撥款資助計劃，為最優質的學術研究項目提供更多資助。

整體來說，研資局對基本研究及應用研究一視同仁，無分軒輊。

此外，研資局必須確定其資助的研究項目具有重大學術價值、內容創新，又能促進學術發展。

對於能為工商界帶來短期以至中期利益的應用研究項目，研資局期望受惠的行業亦能承擔部分資助。

### 監察與評核的目標

按職權範圍，研資局必須監察在教資會研究經費下獲撥款的研究項目，並透過教資會最少每年一次向政府提交報告。

為確保公帑用得其所，研資局認為必須監察進展中的項目，以及評核已完成的項目。

為了精益求精，研資局經常檢討監察與評核的機制，以期精簡程序，提高整體效率。

因此，獲撥款項目的首席研究員／項目統籌者必須向研資局呈交項目進度報告及項目完成報告，以供學科小組／委員會成員監察及審查。研究員所屬的院校有責任管理項目及其支出，監察獲撥款的設備及儀器的採購及安裝，以及設立適當的內部監察機制。

## Monitoring of On-going Projects

The objective of the monitoring is to ensure that the project is proceeding on schedule and that any problems identified are followed up by the Principal Investigator/Project Coordinator. In 2015, the RGC enhanced the monitoring measures. From the 2015/16 funding exercises, except a small number of short duration research projects which are granted project funds in a lump sum, the project funds of all research projects will be disbursed by instalments. The Principal Investigators/Project Coordinators concerned should demonstrate satisfactory progress before obtaining further funding from the RGC.

For individual research projects, one Subject Panel member is assigned to each funded project with the responsibility for monitoring, on the basis of progress reports and completion report. The Subject Panel member assigned to the project reviews the progress report and, where necessary, provides his/her comments to the Principal Investigator for reference and follow-up action.

For group research projects, Project Coordinators are required to submit progress reports for the monitoring panel's/committee's scrutiny and follow up on comments made by the panel/committee.

Principal Investigators/Project Coordinators may also be required to present the progress and achievements at a symposium or during on-site visits to the panel/committee, research community and/or members of the public.

## Assessment of Completed Projects

After the scheduled completion date of a project, the Principal Investigator/Project Coordinator concerned is required to submit a completion report detailing the outcome of the research project, the results achieved, students trained, and publications produced, as well as reporting on the use and application of the grant awarded. These completion reports are passed to the responsible panel/committee members for final assessment.

Completed projects are rated as "Satisfactory", "Barely Satisfactory" or "Unsatisfactory". These assessment results are also taken into account when assessing new grant applications from the same Principal Investigator/Project Coordinator.

## 監察進展中的項目

監察程序確保有關項目如期進行，並確保首席研究員 / 項目統籌者有跟進成員所發現及指出的問題。研資局於2015年加強監察程序。由2015/16年度起，除少數短期研究項目可一次過獲得整筆資助款項外，所有項目的資助款項將為分期支付。有關首席研究員 / 項目統籌者必須展示令人滿意的進度，才可獲得研資局進一步分期資助款項。

就個人研究項目而言，每個獲資助的項目，均由一位指定的學科小組成員負責監察。成員根據項目進度報告及項目完成報告監察每個項目。學科小組成員在審閱進度報告後，會因應需要向項目的首席研究員反映意見，供其參考及跟進。

至於集體合作研究項目，項目統籌者必須向負責監察的小組 / 委員會呈交項目進度報告，以及對其意見作出跟進。

部分項目的首席研究員 / 項目統籌者須於研討會或實地探訪時向有關小組 / 委員會、研究界別，以及 / 或公眾人士發表其研究進度及成果。

## 評核已完成的項目

在研究項目預定完成日期後，首席研究員 / 項目統籌者必須向研資局提交項目完成報告，詳列出研究結果、成績、接受培訓的學生、已發表的報告、論文及文章，以至撥款的運用及應用的詳情。這些報告會交由負責審核該項目的小組 / 委員會成員作最後評核。

評核已完成的項目分為「滿意」、「僅符合要求」及「不滿意」三個等級。當首席研究員 / 項目統籌者提交新的撥款申請時，研資局會考慮其過往的評核結果。

## Feedback on Assessments

Feedback is an important part of the RGC's effort to encourage and assure quality standards in research projects. The feedback from external reviewers forms an essential part of the peer review process and enables researchers to improve and refine their research methodologies. It also represents a valuable input to the Hong Kong academia of new ideas and expertise from the international research community.

As a matter of policy, the RGC arranges to provide the unattributed comments of external reviewers to applicants of individual and group project grants and, where necessary, convey additional comments from the panel/committee.

## Public Access to Completion Reports

To share the research findings with the public, for projects approved from the 2010/11 academic year onwards, Principal Investigators/Project Coordinators are required to release the completion reports containing abstract in layman terms, objectives, research output including a list of conference papers/publications/journals/research findings and contact information of Principal Investigators/Project Coordinators for public access through the RGC website.

## 反映評核的意見

研資局致力保證及提高研究項目的質素，向研究人員反映外部評審員的意見，是學者評審過程的重要部分。這項做法有助研究人員改進其研究方法，使之更為完善，並將國際研究界別的新意念及專業知識引入本地學術界。

按政策規定，研資局將外部評審員的意見以不具名方式轉達給與個人及集體合作研究項目的申請人，如有需要，亦會轉達小組／委員會的額外意見。

## 公開完成報告

為讓公眾分享研究成果，由2010/11學年獲資助的計劃開始，首席研究員／項目統籌者須公開其項目完成報告，並通過研資局網頁讓公眾查閱。報告包含以淺白文字撰寫的摘要、計劃目標、研究成果，當中包括以表列形式列出所發表的會議論文／出版刊物／其他研究發現，以及首席研究員／項目統籌者的聯絡資料。



**Research Grants Council**  
**研究資助局**

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# Appendix A: RGC Membership 2015/16

## 附錄A：研資局2015/16年度成員名單

RGC Member 研資局成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Benjamin W Wah (Chairman) 華雲生教授 (主席)	The Chinese University of Hong Kong 香港中文大學	1/2013
Prof Terry KF Au 區潔芳教授	The University of Hong Kong 香港大學	7/2010
Prof Peter Baehr	Lingnan University 嶺南大學	7/2012
Prof Iris Benzie	The Hong Kong Polytechnic University 香港理工大學	7/2014
Prof Louis KC Chan 陳國器教授	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納—香檳分校	7/2009
Prof Jack Chun-yiu Cheng 鄭振耀教授	The Chinese University of Hong Kong 香港中文大學	7/2014
Prof Yip-wah Chung, BBS 鍾業華教授, BBS	Northwestern University 西北大學	7/2011
Prof Paul Clark	The University of Auckland 奧克蘭大學	7/2014
Prof Michael P Doyle	The University of Texas at San Antonio 得克薩斯大學—聖安東尼奧分校	7/2015
Prof Arthur B Ellis	City University of Hong Kong 香港城市大學	7/2013
Prof Joanna Ho 何莉芸教授	University of California, Irvine 加州大學爾灣分校	7/2012
Prof Ronnie Po-chia Hsia 夏伯嘉教授	The Pennsylvania State University 賓夕法尼亞州立大學	7/2007
Prof Chun Hui 許濬教授	The University of Hong Kong 香港大學	7/2014

# Appendix A: RGC Membership 2015/16

## 附錄A：研資局2015/16年度成員名單

RGC Member 研資局成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Mr Allen Kam-sing Ma 馬錦星先生	Hong Kong Science & Technology Parks Corporation 香港科技園公司	7/2014
Prof Ross Murch 穆樂思教授	The Hong Kong University of Science and Technology 香港科技大學	7/2010
Prof Andy Parker	University of Cambridge 劍橋大學	7/2015
Prof Jay Siegel	Tianjin University 天津大學	7/2014
Prof Geoffrey L Smith	University of Cambridge 劍橋大學	7/2015
Prof Kar-yan Tam 譚嘉因教授	Hong Kong University of Science and Technology 香港科技大學	7/2014
Prof Paul KH Tam 譚廣亨教授	The University of Hong Kong 香港大學	10/2011
Dr Franklin Fuk-kay Tong 湯復基博士	Hong Kong Applied Science and Technology Research Institute 香港應用科技研究院	7/2015
Prof Rocky S Tuan 段崇智教授	University of Pittsburg 匹茲堡大學	7/2013
Prof Edward Sze-shing Yeung 楊仕成教授	Iowa State University 愛荷華州立大學	7/2014
Prof Paul KL Yu 余劭離教授	University of California, San Diego 加州大學聖地牙哥分校	7/2008
Prof On-ching Yue (Ex-officio Member) 余安正教授(當然成員)	Innovation and Technology Commission 創新科技署	7/2011
Ms Sharon Ho (Secretary) 何皓璇女士(秘書)	University Grants Committee Secretariat 大學教育資助委員會秘書處	

# Appendix B: Attendance Record of RGC Members in 2015/16 <sup>Note 1</sup>

## 附錄B：2015/16年度研資局成員出席會議的記錄<sup>註1</sup>

Name 姓名	Total number of RGC meeting(s) 研資局會議的總次數	Total number of RGC meeting(s) attended 成員出席研資局會議次數	Attendance rate 出席率
Prof Benjamin W Wah 華雲生教授	2	2	100%
Prof Terry KF Au 區潔芳教授	2	1	50%
Prof Peter Baehr	2	2	100%
Prof Iris Benzie	2	2	100%
Prof Louis KC Chan* 陳國器教授*	2	2	100%
Prof Jack Chun-yiu Cheng 鄭振耀教授	2	2	100%
Prof Yip-wah Chung, BBS* 鍾業華教授, BBS*	2	2	100%
Prof Paul Clark*	2	2	100%
Prof Michael P Doyle*	2	2	100%
Prof Arthur B Ellis	2	1	50%
Prof Joanna Ho* 何莉芸教授*	2	2	100%
Prof Ronnie Po-chia Hsia* 夏伯嘉教授*	2	2	100%
Prof Chun Hui 許濬教授	2	1	50%

# Appendix B: Attendance Record of RGC Members in 2015/16 <sup>Note 1</sup>

## 附錄B：2015/16年度研資局成員出席會議的記錄<sup>註1</sup>

Name 姓名	Total number of RGC meeting(s) 研資局會議的總次數	Total number of RGC meeting(s) attended 成員出席研資局會議次數	Attendance rate 出席率
Mr Allen Kam-sing Ma 馬錦星先生	2	1	50%
Prof Ross Murch 穆樂思教授	2	1	50%
Prof Andy Parker*	2	2	100%
Prof Jay Siegel*	2	2	100%
Prof Geoffrey L Smith*	2	2	100%
Prof Kar-yan Tam 譚嘉因教授	2	1	50%
Prof Paul KH Tam 譚廣亨教授	2	2	100%
Dr Franklin Fuk-kay Tong 湯復基博士	2	1	50%
Prof Rocky S Tuan* 段崇智教授*	2	2	100%
Prof Edward Sze-Shing Yeung* 楊仕成教授*	2	2	100%
Prof Paul KL Yu* 余劭離教授*	2	2	100%
Prof On-ching Yue 余安正教授	2	2	100%

Note: 1. To enhance the transparency of the RGC operation, starting from December 2007, the attendance record of RGC Members is uploaded onto the homepage of the RGC, and is published in the Annual Report for public reference.

2. \*denotes members outside Hong Kong

註：1. 為增加研資局工作的透明度，自2007年12月起，成員於研資局會議的出席率已刊載於局方的網頁及年報內，供公眾查閱。

2. \*為海外成員。

# Appendix C: Committee/Panel Membership 2015/16

## 附錄C：委員會 / 學科小組2015/16年度成員名單

Subject Panels: Biology and Medicine 學科小組：生物學及醫學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Rocky S Tuan (Chairman) 段崇智教授(主席)	University of Pittsburgh 匹茲堡大學	7/2013
Prof Kai-nan An (Deputy Chairman – Joint Research Schemes) 安介南教授(副主席-合作研究計劃)	Mayo Clinic College of Medicine 梅約診所醫學中心	2/2015
Prof Colin Baigent	University of Oxford 牛津大學	1/2015
Prof Rudolf Bauer	Karl-Franzens-Universitaet Graz 格拉茨大學	10/2009
Prof David Bellinger	Boston Children's Hospital 波士頓兒童醫院	3/2014
Prof Ben Berkhout	University of Amsterdam 阿姆斯特丹大學	10/2009
Prof Zhaosiang Bian 卞兆祥教授	Hong Kong Baptist University 香港浸會大學	2/2016
Prof Juliana CN Chan 陳重娥教授	The Chinese University of Hong Kong 香港中文大學	11/2012
Prof Wai Yee Chan 陳偉儀教授	The Chinese University of Hong Kong 香港中文大學	1/2015
Prof Zhenyu Chen 陳振宇教授	The Chinese University of Hong Kong 香港中文大學	1/2015
Prof Rossa Chiu 趙慧君教授	The Chinese University of Hong Kong 香港中文大學	11/2012
Prof Yang Dan 丹揚教授	University of California, Berkeley 加州大學柏克萊分校	11/2012

## Subject Panels: Biology and Medicine

學科小組：生物學及醫學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Dr Thomas Efferth	Johannes Gutenberg University	1/2016
Prof Farida Fortune	Queen Mary University of London 倫敦大學瑪麗皇后學院	11/2011
Prof Xiaohua Gong	University of California, Berkeley 加州大學柏克萊分校	1/2014
Prof Min Han 韓珉教授	University of Colorado at Boulder 科羅拉多大學波爾得分校	11/2011
Dr Zhigang He 何志剛博士	Harvard University 哈佛大學	1/2014
Dr Chi-chung Hui	University of Toronto 多倫多大學	1/2014
Prof William N Hunter	University of Dundee 鄧迪大學	11/2010
Prof Wei Jia 賈偉教授	University of Hawaii at Monoa 夏威夷大學馬諾阿分校	1/2014
Prof Paul Kwan-sing Lam 林群聲教授	City University of Hong Kong 香港城市大學	2/2012
Dr William J Langston	Marine Biological Association of the United Kingdom 英國海洋生物學協會	11/2012
Prof Yu-lung Lau 劉宇隆教授	The University of Hong Kong 香港大學	3/2013
Prof Ping-yee Law 羅秉義教授	University of Minnesota 明尼蘇達大學	1/2014

Subject Panels: Biology and Medicine 學科小組：生物學及醫學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Chung-mau Lo 盧龍茂教授	The University of Hong Kong 香港大學	1/2014
Prof Matthias Löhr	Karolinska University Hospital 卡羅琳大學醫院	1/2013
Prof Fanxin Long	Washington University 華盛頓大學	1/2014
Prof Aiping Lu 呂愛平教授	Hong Kong Baptist University 香港浸會大學	1/2014
Prof Maria Masucci	Karolinska Institutet 卡羅琳醫學院	11/2012
Prof Tony Shu-kam Mok 莫樹錦教授	The Chinese University of Hong Kong 香港中文大學	2/2016
Prof B Paul Morgan	Cardiff University 卡迪夫大學	1/2013
Prof Stephen JD O'Keefe	University of Pittsburgh 匹茲堡大學	2/2015
Prof Eric G Pamer	Memorial Sloan-Kettering Cancer Center 史隆基達靈紀念癌症中心	11/2012
Prof Peter Petraitis	University of Pennsylvania 賓夕法尼亞大學	3/2014
Prof Roger H Reeves	Johns Hopkins University 約翰斯·霍普金斯大學	10/2009
Prof Alan B Rickinson	The University of Birmingham 伯明翰大學	7/2012



## Subject Panels: Biology and Medicine

學科小組：生物學及醫學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Jerry W Shay	University of Texas Southwestern Medical Center 德克薩斯州大學西南醫學中心	2/2013
Prof Geoffrey L Smith	University of Cambridge 劍橋大學	11/2011
Prof Eric J Stanbridge	University of California, Irvine 加州大學爾灣分校	2/2012
Prof Adrian Thrasher	University College London 倫敦大學學院	1/2013
Prof Hung-fat Tse 謝鴻發教授	The University of Hong Kong 香港大學	2/2012
Prof Dazhi Wang 王大之教授	Harvard University 哈佛大學	1/2014
Prof Zhiyong Wang 王志勇教授	Stanford University 史丹福大學	3/2014
Prof Zilong Wen 溫子龍教授	The Hong Kong University of Science and Technology 香港科技大學	2/2012
Prof Chris Kong-chu Wong 黃港住教授	Hong Kong Baptist University 香港浸會大學	1/2014
Prof Frances Kam Yuet Wong 黃金月教授	The Hong Kong Polytechnic University 香港理工大學	1/2015
Prof Ian Chi Kei Wong 黃志基教授	The University of Hong Kong 香港大學	3/2014
Prof Nathalie Wong 王昭春教授	The Chinese University of Hong Kong 香港中文大學	11/2012

Subject Panels: Biology and Medicine 學科小組：生物學及醫學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Wing-sze Wong 黃穎詩教授	The Education University of Hong Kong 香港教育大學	1/2014
Prof Ed Xuekui Wu 吳學奎教授	The University of Hong Kong 香港大學	1/2015
Prof Samuel Miao-sin Wu 吳淼鑫教授	Cullen Eye Institute, Baylor College of Medicine	2/2016
Prof Chunfeng Zhao	Mayo Clinic 梅約臨床醫學院	1/2015
Prof Minghao Zheng	The University of Western Australia 西澳大學	1/2015
Prof Jun Xia 夏軍教授	The Hong Kong University of Science and Technology 香港科技大學	3/2013
Prof Yiji Xia 夏亦齊教授	Hong Kong Baptist University 香港浸會大學	3/2013
Prof Aimin Xu 徐愛民教授	The University of Hong Kong 香港大學	3/2013
Dr Zhongying Zhao 趙中應博士	Hong Kong Baptist University 香港浸會大學	3/2013
Prof Yong Ping Zheng 鄭永平教授	The Hong Kong Polytechnic University 香港理工大學	2/2016

## Subject Panels: Business Studies

學科小組：商學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Louis KC Chan (Chairman) 陳國器教授 (主席)	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納-香檳分校	12/2010
Prof Hanming Fang (Deputy Chairman – Joint Research Schemes) 方漢明教授 (副主席-合作研究計劃)	University of Pennsylvania 賓夕法尼亞大學	2/2015
Prof Eli Bartov	New York University 紐約大學	3/2014
Prof Fernando Bernstein	Duke University 杜克大學	3/2013
Prof Patrick YK Chau 周蔭強教授	The University of Hong Kong 香港大學	3/2014
Prof Yongmin Chen 陳勇民教授	University of Colorado at Boulder 科羅拉多大學博爾德分校	1/2014
Prof Yuxin Chen 陳宇新教授	New York University Shanghai 上海紐約大學	1/2015
Prof Agnes CS Cheng 鄭振興教授	The Hong Kong Polytechnic University 香港理工大學	2/2013
Prof Daniel A Cohen	University of Texas at Dallas 德克薩斯州大學達拉斯分校	1/2014
Prof Andrew Delios	National University of Singapore 新加坡國立大學	1/2015
Prof Michael B Devereux	University of British Columbia 英屬哥倫比亞大學	11/2010
Prof Barbara Flynn	Indiana University 印第安納大學	3/2014

Subject Panels: Business Studies 學科小組：商學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Anindya Ghose	New York University 紐約大學	1/2014
Prof Vidhan K Goyal	The Hong Kong University of Science and Technology 香港科技大學	1/2014
Prof David A Griffith	Lehigh University 理海大學	1/2016
Prof JJ Po-an Hsieh 謝博安教授	Georgia State University 喬治亞州立大學	1/2014
Prof Raymond Kan	University of Toronto 多倫多大學	1/2015
Prof Haitao Li 李海濤教授	Cheung Kong Graduate School of Business 長江商學院	1/2015
Dr Hui Liao	University of Maryland 馬里蘭大學	2/2015
Prof Chen Lin 林晨教授	The University of Hong Kong 香港大學	1/2012
Prof Ping Lin 林平教授	Lingnan University 嶺南大學	2/2012
Prof Anna S Mattila	The Pennsylvania State University 賓夕法尼亞州立大學	11/2012
Prof Suraj Srinivasan	Harvard University 哈佛大學	1/2015
Prof Zhigang Tao 陶志剛教授	The University of Hong Kong 香港大學	2/2015

**Subject Panels: Business Studies**

學科小組：商學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof James Thong 湯永亮教授	Hong Kong University of Science and Technology 香港科技大學	1/2015
Prof David Tse 謝貴枝教授	The University of Hong Kong 香港大學	2/2012
Prof Linn Van Dyne	Michigan State University 密歇根州立大學	2/2012
Prof Nancy Wong	University of Wisconsin-Madison 威斯康星大學麥迪遜分校	1/2016
Prof Houmin Yan 嚴厚民教授	City University of Hong Kong 香港城市大學	2/2012
Prof Andy CL Yeung 楊昌良教授	The Hong Kong Polytechnic University 香港理工大學	11/2012
Prof Shaohui Zheng 鄭少輝教授	The Hong Kong University of Science and Technology 香港科技大學	1/2014
Prof Kevin Zhou 周政教授	The University of Hong Kong 香港大學	1/2012

Subject Panels: Engineering 學科小組：工程學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Paul KL Yu (Chairman) 余劭離教授 (主席)	University of California, San Diego 加州大學聖地牙哥分校	7/2009
Prof Wing Kam Liu (Deputy Chairman – Joint Research Schemes) 廖榮錦教授 (副主席 – 合作研究計劃)	Northwestern University 西北大學	2/2015
Prof Jiun-shyan Chen (Chairman, Mechanical, Civil & Materials Engineering and System Design Sub-Panel) 陳俊賢教授 (機械、土木及物料工程暨 系統設計學科分組主席)	University of California, San Diego 加州大學聖地牙哥分校	10/2009
Prof Yuri Bazilevs	University of California, San Diego 加州大學聖地牙哥分校	12/2014
Prof Adrian Bejan	Duke University 杜克大學	1/2012
Prof Ronaldo I Borja	Stanford University 史丹福大學	1/2016
Prof L Catherine Brinson	Northwestern University 西北大學	1/2015
Prof Lilong Cai 蔡李隆教授	The Hong Kong University of Science and Technology 香港科技大學	2/2014
Prof Xiaoqiang Cai 蔡小強教授	The Chinese University of Hong Kong 香港中文大學	11/2012
Prof Jiannong Cao 曹建農教授	The Hong Kong Polytechnic University 香港理工大學	3/2011
Prof Albert Ping Chuen Chan 陳炳泉教授	The Hong Kong Polytechnic University 香港理工大學	1/2016
Prof Chi-hou Chan 陳志豪教授	City University of Hong Kong 香港城市大學	2/2012

## Subject Panels: Engineering

學科小組：工程學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Keith CC Chan 陳振冲教授	The Hong Kong Polytechnic University 香港理工大學	11/2012
Prof Kam-tai Chan 陳錦泰教授	The Chinese University of Hong Kong 香港中文大學	3/2013
Prof Christopher YH Chao 趙汝恆教授	The Hong Kong University of Science and Technology 香港科技大學	1/2012
Prof Kwok-tong Chau 鄒國棠教授	The University of Hong Kong 香港大學	11/2010
Prof Chang Wen Chen 陳長汶教授	University at Buffalo, The State University of New York 紐約州立大學水牛城分校	12/2014
Prof Kevin J Chen 陳敬教授	The Hong Kong University of Science and Technology 香港科技大學	3/2013
Prof Eric Ka-wai Cheng 鄭家偉教授	The Hong Kong Polytechnic University 香港理工大學	1/2016
Prof Michael Kwok Keung Cheng 鄭國強教授	The Chinese University of Hong Kong 香港中文大學	1/2016
Prof George TC Chiu 邱祚之教授	Purdue University 普渡大學	1/2016
Prof Winston Dah-ming Chiu 邱達民教授	The Chinese University of Hong Kong 香港中文大學	11/2013
Prof Ken P Chong 張建平教授	The George Washington University 喬治華盛頓大學	11/2012
Ir Prof Kwok Fai Chung 鍾國輝教授	The Hong Kong Polytechnic University 香港理工大學	1/2016

<b>Subject Panels: Engineering</b> 學科小組：工程學		
<b>Panel Member</b> 學科小組成員	<b>Institution</b> 所屬機構	<b>Appointed in</b> (Month/Year) 委任於 (月 / 年)
Prof Cunsheng Ding 丁存生教授	The Hong Kong University of Science and Technology 香港科技大學	1/2016
Prof George Q Huang 黃國全教授	The University of Hong Kong 香港大學	2/2016
Prof Jie Huang 黃捷教授	The Chinese University of Hong Kong 香港中文大學	2/2014
Prof Ron Hui 許樹源教授	The University of Hong Kong 香港大學	2/2013
Prof Chennupati Jagadish	Australian National University 澳洲國立大學	12/2014
Prof HV Jagadish	University of Michigan 密歇根大學	11/2010
Prof Woody Jiann-wen Ju 朱建文教授	University of California, Los Angeles 加州大學洛杉磯分校	7/2014
Prof Jang-kyo Kim 金章教教授	The Hong Kong University of Science and Technology 香港科技大學	10/2009
Prof Irwin King 金國慶教授	The Chinese University of Hong Kong 香港中文大學	2/2012
Prof Sritawat Kitipornchai 陳錦順教授	University of Queensland 昆士蘭大學	2/2016
Prof Philip T Krein	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納—香檳分校	7/2014
Prof Yue Kuo 郭育教授	Texas A&M University 德州農工大學	2/2013



## Subject Panels: Engineering

學科小組：工程學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Albert KH Kwan 關國雄教授	The University of Hong Kong 香港大學	2/2014
Prof James Lam 林參教授	The University of Hong Kong 香港大學	2/2016
Prof Kenneth Kin-man Lam 林健文教授	The Hong Kong Polytechnic University 香港理工大學	11/2013
Prof Kei May Lau 劉紀美教授	The Hong Kong University of Science and Technology 香港科技大學	11/2010
Prof Andrew Chi-sing Leung 梁志成教授	City University of Hong Kong 香港城市大學	1/2016
Prof Janny May-yee Leung 梁美兒教授	The Chinese University of Hong Kong 香港中文大學	11/2010
Prof Yiu-wing Leung 梁耀榮教授	Hong Kong Baptist University 香港浸會大學	3/2011
Prof Ping Li 李坪教授	City University of Hong Kong 香港城市大學	11/2013
Prof Xiao-yan Li 李曉岩教授	The University of Hong Kong 香港大學	11/2012
Prof Chain-tsuan Liu 劉錦川教授	City University of Hong Kong 香港城市大學	11/2013
Prof Yun-hui Liu 劉雲輝教授	The Chinese University of Hong Kong 香港中文大學	11/2013
Prof Irene MC Lo 勞敏慈教授	The Hong Kong University of Science and Technology 香港科技大學	1/2015

<b>Subject Panels: Engineering</b> 學科小組：工程學		
<b>Panel Member</b> 學科小組成員	<b>Institution</b> 所屬機構	<b>Appointed in</b> (Month/Year) 委任於 (月 / 年)
Prof Siu-ming Lo 盧兆明教授	City University of Hong Kong 香港城市大學	11/2010
Prof Jon Longtin	Stony Brook University 石溪大學	12/2014
Prof Sylvie Lorente	University of Toulouse 圖盧茲大學	2/2013
Prof Jian Lu 呂堅教授	City University of Hong Kong 香港城市大學	1/2012
Prof Max QH Meng 孟慶虎教授	The Chinese University of Hong Kong 香港中文大學	11/2010
Prof Philip KT Mok 莫國泰教授	The Hong Kong University of Science and Technology 香港科技大學	10/2009
Prof Anil Netravali	Cornell University 康奈爾大學	11/2013
Prof Charles WW Ng 吳宏偉教授	The Hong Kong University of Science and Technology 香港科技大學	1/2015
Prof Joseph KY Ng 吳其彥教授	Hong Kong Baptist University 香港浸會大學	1/2012
Prof Michael KP Ng 吳國寶教授	Hong Kong Baptist University 香港浸會大學	2/2016
Prof Thomas Shiu Tong Ng 吳兆堂教授	The University of Hong Kong 香港大學	1/2015
Dr Vincent TY Ng 吳道義博士	The Hong Kong Polytechnic University 香港理工大學	1/2012

**Subject Panels: Engineering**

學科小組：工程學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Jian-lei Niu 牛建磊教授	The Hong Kong Polytechnic University 香港理工大學	1/2012
Prof Thomas J Overbye	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納-香檳分校	12/2014
Prof Stella W Pang 彭慧芝教授	City University of Hong Kong 香港城市大學	11/2012
Prof Jian Pei 裴健教授	Simon Fraser University 西門菲沙大學	12/2014
Prof Long Quan 權龍教授	The Hong Kong University of Science and Technology 香港科技大學	11/2013
Prof Bertram Shi 施毅明教授	The Hong Kong University of Science and Technology 香港科技大學	11/2010
Prof San Qiang Shi 石三強教授	The Hong Kong Polytechnic University 香港理工大學	1/2016
Ir Prof Michael Kin Wai Siu 邵健偉教授	The Hong Kong Polytechnic University 香港理工大學	11/2010
Prof Wan-chi Siu 蕭允治教授	The Hong Kong Polytechnic University 香港理工大學	11/2010
Prof Michael Geoffrey Somekh	The Hong Kong Polytechnic University 香港理工大學	2/2016
Prof Dong Sun 孫東教授	City University of Hong Kong 香港城市大學	1/2012
Prof Charles Surya	The Hong Kong Polytechnic University 香港理工大學	2/2016

<b>Subject Panels: Engineering</b> 學科小組：工程學		
<b>Panel Member</b> 學科小組成員	<b>Institution</b> 所屬機構	<b>Appointed in</b> (Month/Year) 委任於 (月 / 年)
Prof Norman Chihnan Tien 田之楠教授	The University of Hong Kong 香港大學	1/2014
Prof Masayoshi Tomizuka 富塚誠義教授	University of California, Berkeley 加州大學柏克萊分校	10/2009
Prof Hon Ki Tsang 曾漢奇教授	The Chinese University of Hong Kong 香港中文大學	2/2012
Prof Michael CK Tse 謝智剛教授	The Hong Kong Polytechnic University 香港理工大學	2/2013
Prof Fugee Tsung 宗福季教授	The Hong Kong University of Science and Technology 香港科技大學	11/2013
Prof Alexander Ping-kong Wai 衛炳江教授	The Hong Kong Polytechnic University 香港理工大學	1/2012
Prof Liqiu Wang 王立秋教授	The University of Hong Kong 香港大學	11/2013
Prof Lusheng Wang 王魯生教授	City University of Hong Kong 香港城市大學	2/2014
Prof Min Wang 王敏教授	The University of Hong Kong 香港大學	1/2016
Prof Tao Wang 王韜教授	The Hong Kong Polytechnic University 香港理工大學	3/2013
Prof Tien Tsin Wong 黃田津教授	The Chinese University of Hong Kong 香港中文大學	1/2016
Prof Oliver Dapeng Wu 吳大鵬教授	University of Florida 佛羅里達大學	12/2014

## Subject Panels: Engineering

學科小組：工程學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Ning Xi 席寧教授	The University of Hong Kong 香港大學	1/2016
Prof Cary Yang 楊遠偉教授	Santa Clara University 聖塔克拉拉大學	2/2016
Prof Lawrence K Yeung 陽坤教授	The University of Hong Kong 香港大學	1/2012
Prof Ben Young 楊立偉教授	The University of Hong Kong 香港大學	11/2010
Dr Yuen-tak Yu 余遠德博士	City University of Hong Kong 香港城市大學	2/2013
Prof Pong Chi Yuen 阮邦志教授	Hong Kong Baptist University 香港浸會大學	12/2014
Prof Richard Kwok Kit Yuen 袁國傑教授	City University of Hong Kong 香港城市大學	1/2015
Prof Shuzhong Zhang 張樹中教授	University of Minnesota 明尼蘇達大學	3/2014
Prof Tongyi Zhang 張統一教授	Shanghai University 上海大學	11/2012
Prof Xiaodong Zhang 張曉東教授	The Ohio State University 俄亥俄州立大學	2/2013
Prof Li Min Zhou 周利民教授	The Hong Kong Polytechnic University 香港理工大學	1/2012
Prof Moshe Zukerman	City University of Hong Kong 香港城市大學	1/2014

Subject Panels: Humanities and Social Sciences 學科小組：人文學及社會科學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Ronnie Po-chia Hsia (Chairman) 夏伯嘉教授 (主席)	The Pennsylvania State University 賓夕法尼亞州立大學	7/2011
Prof Elisabetta Corsi (Deputy Chairman – Joint Research Schemes) 伊麗教授 (副主席 – 合作研究計劃)	University of Rome, La Sapienza 羅馬大學	2/2015
Prof Maria M Piñango (Chairman, Psychology and Linguistics Sub-Panel) (心理學及語言學科分組主席)	Yale University 耶魯大學	9/2008
Prof C Cindy Fan (Chairman, Social and Behavioural Sciences Sub-Panel) 范芝芬教授 (社會及行為科學學科分組主席)	University of California, Los Angeles 加州大學洛杉磯分校	11/2010
Prof Jennifer Adams	Drexel University 德雷塞爾大學	1/2015
Prof Terry KF Au 區潔芳教授	The University of Hong Kong 香港大學	2/2014
Prof Yanjie Bian 邊燕杰教授	The University of Minnesota 明尼蘇達大學	2/2014
Prof Cornelis de Bot	University of Groningen 格羅寧根大學	2/2016
Prof Jean-Pierre Cabestan 高敬文教授	Hong Kong Baptist University 香港浸會大學	2/2013
Prof Allen R Carlson 寇艾倫教授	Cornell University 康奈爾大學	11/2010
Prof Cecilia LW Chan, JP 陳麗雲教授, JP	The University of Hong Kong 香港大學	10/2009
Prof Lei Chang 張雷教授	The Education University of Hong Kong 香港教育大學	12/2011

## Subject Panels: Humanities and Social Sciences

學科小組：人文學及社會科學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Maria PS Cheng 鄭寶璇教授	City University of Hong Kong 香港城市大學	12/2014
Prof Kai-wing Chow 周啟榮教授	University of Illinois at Urbana Champagne 伊利諾大學厄巴納－香檳分校	11/2013
Prof Rey Chow 周蕾教授	Duke University 杜克大學	2/2013
Prof Paul Clark	The University of Auckland 奧克蘭大學	11/2011
Dr Alexandra Cook	The University of Hong Kong 香港大學	12/2014
Prof Mark E Courtney	The University of Chicago 芝加哥大學	12/2010
Prof Stephen Crain	Macquarie University 麥覺理大學	11/2013
Prof Lucy Dunne	University of Minnesota 明尼蘇達大學	1/2015
Prof Anne Edwards	University of Oxford 牛津大學	3/2014
Prof Manfred Fischer	Vienna University of Economic and Business 維也納經濟管理大學	2/2013
Prof Harrison Fraker	University of California, Berkeley 加州大學柏克萊分校	2/2013
Prof Piper Gaubatz	University of Massachusetts 麻省大學	2/2015

Subject Panels: Humanities and Social Sciences 學科小組：人文學及社會科學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Ping-chen Hsiung 熊秉真教授	The Chinese University of Hong Kong 香港中文大學	11/2013
Prof Bo Huang 黃波教授	The Chinese University of Hong Kong 香港中文大學	2/2014
Prof Chu-ren Huang 黃居仁教授	The Hong Kong Polytechnic University 香港理工大學	2/2012
Prof Jill Hunter	The University of New South Wales 新南威爾斯大學	11/2013
Prof Wilt Idema	Harvard University 哈佛大學	12/2014
Prof Fiona Lee	University of Michigan 密歇根大學	2/2013
Prof James Z Lee 李中清教授	The Hong Kong University of Science and Technology 香港科技大學	10/2009
Prof Thomas Hun-tak Lee 李行德教授	The Chinese University of Hong Kong 香港中文大學	7/2014
Prof Maggy Lee 李淑儀教授	The University of Hong Kong 香港大學	12/2015
Prof Pui-wa Lei 李佩華教授	The Pennsylvania State University 賓夕法尼亞州立大學	11/2011
Prof Angela Ki Che Leung 梁其姿教授	The University of Hong Kong 香港大學	11/2013
Prof Gigi Luk 陸智敏教授	Harvard University 哈佛大學	11/2013



## Subject Panels: Humanities and Social Sciences

學科小組：人文學及社會科學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Joyce LC Ma 馬麗莊教授	The Chinese University of Hong Kong 香港中文大學	1/2013
Prof Anita Mak	University of Canberra 坎培拉大學	2/2015
Prof Joshua KH Mok 莫家豪教授	Lingnan University 嶺南大學	1/2013
Prof Magdalena Mo-ching Mok 莫慕貞教授	The Education University of Hong Kong 香港教育大學	2/2014
Prof Edward Y Ng 吳恩融教授	The Chinese University of Hong Kong 香港中文大學	12/2011
Prof On-cho Ng 伍安祖教授	The Pennsylvania State University 賓夕法尼亞州立大學	11/2011
Prof Daphna Oyserman	University of Southern California 南加州大學	1/2015
Prof Richard Bernhart Owen 歐文彬教授	Hong Kong Baptist University 香港浸會大學	2/2014
Prof Michael JE Palmer 彭文浩教授	Shantou University 汕頭大學	2/2013
Prof Hai-hua Pan 彭海華教授	City University of Hong Kong 香港城市大學	2/2015
Prof Laikwan Pang 彭麗君教授	The Chinese University of Hong Kong 香港中文大學	2/2013
Prof Mu-chou Poo 蒲慕州教授	The Chinese University of Hong Kong 香港中文大學	2/2012

Subject Panels: Humanities and Social Sciences 學科小組：人文學及社會科學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Lisa Rofel	University of California, Santa Cruz 加州大學聖克魯斯分校	2/2013
Prof Ute Schönplflug	Freie Universität Berlin 柏林自由大學	4/2013
Prof Daniel Shek, BBS, JP 石丹理教授, BBS, JP	The Hong Kong Polytechnic University 香港理工大學	11/2010
Prof Winnie WM So 蘇詠梅教授	The Education University of Hong Kong 香港教育大學	1/2011
Prof David Takeuchi	Boston College 波士頓學院	1/2015
Prof Tony HW Tam 譚康榮教授	The Chinese University of Hong Kong 香港中文大學	11/2010
Prof Christian Wagner	City University of Hong Kong 香港城市大學	12/2014
Prof Alan Walker	The University of Sheffield 謝菲爾德大學	2/2015
Prof Guiguo Wang 王貴國教授	City University of Hong Kong 香港城市大學	3/2014
Prof Ya Ping Wang 王亞平教授	University of Glasgow 格拉斯哥大學	2/2013
Prof Roger Watson	University of Hull 赫爾大學	11/2013
Prof Christopher J Webster	The University of Hong Kong 香港大學	2/2016

## Subject Panels: Humanities and Social Sciences

學科小組：人文學及社會科學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Lynn T White III 白霖教授	Princeton University 普林斯頓大學	10/2009
Prof Tim Wright 韋立德教授	The University of Sheffield 謝菲爾德大學	2/2013
Prof Emilie Yueh-yu Yeh 葉月瑜教授	Hong Kong Baptist University 香港浸會大學	2/2014
Prof Carine Yiu 姚玉敏教授	The Hong Kong University of Science and Technology 香港科技大學	12/2014
Prof Edwin ML Yiu 姚文禮教授	The University of Hong Kong 香港大學	10/2009
Prof May Yuan	The University of Texas at Dallas 德克薩斯州大學達拉斯分校	2/2015
Prof David Zweig 崔大偉教授	The Hong Kong University of Science and Technology 香港科技大學	2/2013

Subject Panels: Physical Sciences 學科小組：自然科學		
Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Andy Parker (Chairman 主席)	University of Cambridge 劍橋大學	7/2015
Prof Ralf Bundschuh (Deputy Chairman – Joint Research Schemes) (副主席 – 合作研究計劃)	The Ohio State University 俄亥俄州立大學	2/2015
Prof Alain Bensoussan	City University of Hong Kong 香港城市大學	2/2016
Prof Wesley Cantwell	The University of Liverpool 利物浦大學	11/2013
Prof Chak-keung Chan 陳澤強教授	The Hong Kong University of Science and Technology 香港科技大學	11/2012
Prof Kwok Sum Chan 陳國森教授	City University of Hong Kong 香港城市大學	2/2016
Prof Ngai-hang Chan 陳毅恆教授	The Chinese University of Hong Kong 香港中文大學	11/2011
Prof Raymond Hon-fu Chan 陳漢夫教授	The Chinese University of Hong Kong 香港中文大學	2/2014
Prof Helen Lai-wa Chan-Wong 陳王麗華教授	The Hong Kong Polytechnic University 香港理工大學	11/2011
Prof Guohua Chen 陳國華教授	The Hong Kong University of Science and Technology 香港科技大學	1/2015
Prof Andrew Fisher	University College London 倫敦大學學院	3/2016
Prof Gian Francesco Giudice	CERN 歐洲核子研究組織	2/2016

## Subject Panels: Physical Sciences

學科小組：自然科學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Xuming He 何旭銘教授	University of Michigan 密歇根大學	3/2014
Prof István T Horváth 賀宏教授	City University of Hong Kong 香港城市大學	10/2009
Prof Arieh Iserles	University of Cambridge 劍橋大學	3/2014
Prof Alex KY Jen 任廣禹教授	University of Washington 華盛頓大學	11/2010
Prof Jon P Keating	University of Bristol 布里斯托大學	2/2016
Prof Chun-sing Lee 李振聲教授	City University of Hong Kong 香港城市大學	11/2013
Prof Frank Shun-cheng Lee 李順誠教授	The Hong Kong Polytechnic University 香港理工大學	2/2014
Prof Ting-kuo Lee 李定國教授	Academia Sinica 中央研究院	2/2016
Prof Wenbin Lin 林文斌教授	The University of Chicago 芝加哥大學	10/2009
Prof Ren Bao Liu 劉仁保教授	The Chinese University of Hong Kong 香港中文大學	1/2015
Prof Steven G Louie 雷干城教授	University of California, Berkeley 加州大學柏克萊分校	11/2010
Prof Bill Milne	University of Cambridge 劍橋大學	11/2013

<b>Subject Panels: Physical Sciences</b> 學科小組：自然科學		
<b>Panel Member</b> 學科小組成員	<b>Institution</b> 所屬機構	<b>Appointed in</b> (Month/Year) 委任於 (月 / 年)
Prof Ngaiming Mok 莫毅明教授	The University of Hong Kong 香港大學	11/2010
Prof Xiaoqing Pan 潘曉晴教授	University of California, Irvine 加州大學爾灣分校	11/2013
Prof Terence Chuen Wai Poon 潘全威教授	University of Macau 澳門大學	2/2012
Prof Liqun Qi 祁力群教授	The Hong Kong Polytechnic University 香港理工大學	2/2014
Prof Chi-wang Shu 舒其望教授	Brown University 布朗大學	7/2014
Prof C K Shum 沈嗣鈞教授	The Ohio State University 俄亥俄州立大學	11/2011
Prof Ben-zhong Tang 唐本忠教授	The Hong Kong University of Science and Technology 香港科技大學	5/2012
Prof Yinsheng Wang 汪寅生教授	University of California, Riverside 加州大學河濱分校	2/2016
Prof Zidan Wang 汪子丹教授	The University of Hong Kong 香港大學	11/2012
Prof Raymond Wai-yeung Wong 黃維揚教授	Hong Kong Baptist University 香港浸會大學	2/2012
Prof Teng-fong Wong 黃庭芳教授	The Chinese University of Hong Kong 香港中文大學	2/2013
Prof Wing-tak Wong 黃永德教授	The Hong Kong Polytechnic University 香港理工大學	2/2012

**Subject Panels: Physical Sciences**

學科小組：自然科學

Panel Member 學科小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Keqing Xia 夏克青教授	The Chinese University of Hong Kong 香港中文大學	2/2013
Prof Yushan Yan 嚴玉山教授	University of Delaware 特拉華大學	1/2015
Prof Dan Yang 楊丹教授	The University of Hong Kong 香港大學	11/2011
Prof Tong Yang 楊彤教授	City University of Hong Kong 香港城市大學	10/2009
Prof Jimmy Chai-mei Yu 余濟美教授	The Chinese University of Hong Kong 香港中文大學	10/2009
Prof Tian Cheng Zhang 張天成教授	University of Nebraska-Lincoln 內布拉斯加大學林肯分校	2/2014
Prof Ding-xuan Zhou 周定軒教授	City University of Hong Kong 香港城市大學	11/2012
Prof Lixing Zhu 朱力行教授	Hong Kong Baptist University 香港浸會大學	11/2012

Collaborative Research Fund Committee 協作研究金委員會		
Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Edward Sze-shing Yeung (Chairman) 楊仕成教授 (主席)	Iowa State University 愛荷華州立大學	7/2014
Prof Rudolf Bauer	Karl-Franzens-Universitaet Graz 格拉茨大學	3/2015
Prof Yuri Bazilevs	University of California, San Diego 加州大學聖地牙哥分校	3/2015
Prof David Bellinger	Boston Children's Hospital 波士頓兒童醫院	3/2015
Prof Fernando Bernstein	Duke University 杜克大學	3/2015
Prof Wesley Cantwell	The University of Liverpool 利物浦大學	4/2015
Prof S Tamer Cavusgil	Georgia State University 喬治亞州立大學	4/2015
Prof Louis KC Chan 陳國器教授	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納-香檳分校	7/2013
Prof Moses HW Chan 陳鴻渭教授	The Pennsylvania State University 賓夕法尼亞州立大學	7/2013
Prof Chang Wen Chen 陳長汶教授	University at Buffalo, The State University of New York 紐約州立大學水牛城分校	3/2015
Prof Jiun-shyan Chen 陳俊賢教授	University of California, San Diego 加州大學聖地牙哥分校	3/2015
Prof Yuxin Chen 陳宇新教授	New York University Shanghai 上海紐約大學	3/2015



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**協作研究金委員會**

Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Ken P Chong 張建平教授	The George Washington University 喬治華盛頓大學	3/2015
Prof Kai-wing Chow 周啟榮教授	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納-香檳分校	3/2015
Prof Yip-wah Chung, BBS 鍾業華教授, BBS	Northwestern University 西北大學	7/2013
Prof Paul Clark	University of Auckland 奧克蘭大學	7/2014
Prof Anne Cooke	University of Cambridge 劍橋大學	7/2013
Prof Mark E Courtney	The University of Chicago 芝加哥大學	3/2015
Prof Stephen Crain	Macquarie University 麥覺理大學	3/2015
Prof Yang Dan 丹揚教授	University of California, Berkeley 加州大學柏克萊分校	3/2015
Prof C Cindy Fan 范芝芬教授	University of California, Los Angeles 加州大學洛杉磯分校	3/2015
Prof Harrison Fraker	University of California, Berkeley 加州大學柏克萊分校	3/2015
Prof Min Han 韓珉教授	University of Colorado at Boulder 科羅拉多大學波爾得分校	3/2015
Dr Zhigang He 何志剛博士	Harvard University 哈佛大學	3/2015

Collaborative Research Fund Committee 協作研究金委員會		
Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Ronnie Po-chia Hsia 夏伯嘉教授	The Pennsylvania State University 賓夕法尼亞州立大學	7/2013
Prof Chennupati Jagadish	Australian National University 澳洲國立大學	3/2015
Prof H V Jagadish	University of Michigan 密歇根大學	3/2015
Prof Alex K Y Jen 任廣禹教授	University of Washington 華盛頓大學	3/2015
Prof Wei Jia 賈偉教授	University of Hawaii at Monoa 夏威夷大學馬諾阿分校	3/2015
Prof Woody Jiann-wen Ju 朱建文教授	University of California, Los Angeles 加州大學洛杉磯分校	3/2015
Prof Sritawat Kitipornchai 陳錦順教授	University of Queensland 昆士蘭大學	3/2015
Prof Yue Kuo 郭育教授	Texas A&M University 德州農工大學	3/2015
Dr William Langston	Marine Biological Association of the United Kingdom 英國海洋生物學協會	3/2015
Prof Ping-yee Law 羅秉義教授	University of Minnesota 明尼蘇達大學	3/2015
Prof Fiona Lee	University of Michigan 密歇根大學	3/2015
Prof Chao-jun Li 李朝軍教授	McGill University 麥基爾大學	7/2013

**Collaborative Research Fund Committee**  
**協作研究金委員會**

Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Wenbin Lin 林文斌教授	The University of Chicago 芝加哥大學	4/2015
Prof Wing-kam Liu 廖榮錦教授	Northwestern University 西北大學	3/2015
Prof Matthias Löhr	Karolinska University Hospital 卡羅琳大學醫院	4/2015
Prof Fanxin Long	Washington University 華盛頓大學	3/2015
Prof Jon Longtin	Stony Brook University 石溪大學	3/2015
Prof Sylvie Lorente	University of Toulouse 圖盧茲大學	3/2015
Prof Gigi Luk 陸智敏教授	Harvard University 哈佛大學	3/2015
Prof Maria Masucci	Karolinska Institutet 卡羅琳斯醫學院	4/2015
Prof Anna S Mattila	The Pennsylvania State University 賓夕法尼亞州立大學	3/2015
Prof Karl Oparka	University of Edinburgh 愛丁堡大學	4/2015
Prof Tomas J Overbye	University of Illinois at Urbana–Champaign 伊利諾大學厄巴納—香檳分校	3/2015
Prof Jian Pei 裴健教授	Simon Fraser University 西門菲沙大學	3/2015
Prof Peter Petraitis	University of Pennsylvania 賓夕法尼亞大學	3/2015
Prof Roger H Reeves	Johns Hopkins University 約翰斯·霍普金斯大學	3/2015

Collaborative Research Fund Committee 協作研究金委員會		
Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Baba Shiv	Stanford University 史丹福大學	3/2015
Prof C K Shum	The Ohio State University 俄亥俄州立大學	3/2015
Prof Jay Siegel	Tianjin University 天津大學	7/2014
Prof Eric J Stanbridge	University of California, Irvine 加州大學爾灣分校	3/2015
Prof David Takeuchi	Boston College 波士頓學院	3/2015
Prof Masayoshi Tomizuka 富塚誠義教授	University of California, Berkeley 加州大學柏克萊分校	3/2015
Prof Rocky S Tuan 段崇智教授	University of Pittsburgh 匹茲堡大學	7/2013
Prof Zhiyong Wang 王志勇教授	Stanford University 史丹福大學	3/2015
Prof Oliver Dapeng Wu 吳大鵬教授	University of Florida 佛羅里達大學	3/2015
Prof Paul KL Yu 余劫離教授	University of California, San Diego 加州大學聖地牙哥分校	7/2014
Prof Shuzhong Zhang 張樹中教授	University of Minnesota 明尼蘇達大學	3/2015
Prof Xiaodong Zhang 張曉東教授	The Ohio State University 俄亥俄州立大學	3/2015
Prof Chunfeng Zhao	Mayo Clinic 梅約臨床醫學院	3/2015
Prof Minghao Zheng	The University of Western Australia 西澳大學	3/2015

**Major Projects Steering Committee**  
大型研究項目督導委員會

Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Yip-wah Chung, BBS (Chairman) 鍾業華教授, BBS (主席)	Northwestern University 西北大學	8/2011
Prof Louis KC Chan 陳國器教授	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納-香檳分校	8/2011
Prof Ronnie Po-chia Hsia 夏伯嘉教授	The Pennsylvania State University 賓夕法尼亞州立大學	8/2011
Prof Andy Parker	University of Cambridge 劍橋大學	7/2015
Prof Rocky S Tuan 段崇智教授	University of Pittsburg 匹茲堡大學	7/2014
Prof Edward Sze-shing Yeung 楊仕成教授	Iowa State University 愛荷華州立大學	8/2011
Prof Paul KL Yu 余劭離教授	University of California, San Diego 加州大學聖地牙哥分校	12/2012

Theme-based Research Scheme Selection Panel 主題研究計劃遴選小組		
Panel Member 遴選小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Yip-wah Chung, BBS (Chairman) 鍾業華教授, BBS (主席)	Northwestern University 西北大學	8/2011
Prof Jennifer Adams	Drexel University 卓克索大學	11/2015
Prof Margaret Bell	Newcastle University 英國新堡大學	7/2014
Dr Peter Brewer	Monterey Bay Aquarium Research Institute 蒙特瑞灣海洋研究所	9/2011
Prof Louis KC Chan 陳國器教授	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納—香檳分校	9/2011
Prof Rowland W Chang 張慰堂教授	Northwestern University 西北大學	7/2014
Dr Nim-kwan Cheung 張念坤博士	Ross Sciences Limited 諾資有限公司	9/2011
Prof Anne Cooke	University of Cambridge 劍橋大學	9/2011
Prof Anne Edwards	University of Oxford 牛津大學	11/2015
Prof Anil K Jain	Michigan State University 密歇根州立大學	11/2015
Prof Hau L Lee 李效良教授	Stanford University 史丹福大學	9/2011
Prof Eddy FY Liew 劉富友教授	University of Glasgow 格拉斯哥大學	9/2011

## Theme-based Research Scheme Selection Panel

### 主題研究計劃遴選小組

Panel Member 遴選小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Wing Kam Liu 廖榮錦教授	Northwestern University 西北大學	11/2015
Prof Phillip Phan 潘慶財教授	Johns Hopkins University 約翰斯·霍普金斯大學	9/2011
Prof Roger H Reeves	Johns Hopkins University 約翰斯·霍普金斯大學	7/2014
Prof Anne Rutkowski	Tilburg University 蒂爾堡大學	7/2014
Prof Masayoshi Tomizuka 富塚誠義教授	University of California at Berkeley 加州大學柏克萊分校	11/2015
Prof Rocky S Tuan 段崇智教授	University of Pittsburg 匹茲堡大學	7/2014
Dr Allen Webb	McKinsey Company 麥肯錫公司	7/2014
Prof William M Worek	Stony Brook University 石溪大學	7/2014
Prof Edward Sze-shing Yeung 楊仕成教授	Iowa State University 愛荷華州立大學	9/2011
Prof Paul KL Yu 余劭離教授	University of California, San Diego 加州大學聖地牙哥分校	9/2011

Steering Committee on Competitive Research Funding for the Self-financing Degree Sector 自資學位界別競逐研究資助督導委員會		
Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Benjamin W Wah (Chairman) 華雲生教授 (主席)	The Chinese University of Hong Kong 香港中文大學	10/2013
Prof Terry Kit-fong Au 區潔芳教授	The University of Hong Kong 香港大學	10/2013
Prof Theodore Tien-yiu Chen 陳天佑教授	Hong Kong Shue Yan University 香港樹仁大學	10/2014
Prof Mette Hjort 樂美德教授	Lingnan University 嶺南大學	10/2013
Prof Kin-chung Ho, BBS 何建宗教授, BBS	The Open University of Hong Kong 香港公開大學	10/2013
Prof Helen Mei-ling Meng 蒙美玲教授	The Chinese University of Hong Kong 香港中文大學	10/2013
Mr Brian Sai-hung Lo, JP (Ex-officio Member) 盧世雄先生, JP (當然成員)	Education Bureau, HKSAR Government 香港特別行政區·教育局	7/2014



**Assessment Panel for Competitive Research Funding Schemes for  
the Local Self-financing Degree Sector**  
本地自資學位界別競逐研究資助計劃評審委員會

Panel Member 評審委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Terry Kit-fong Au (Chairman) 區潔芳教授 (主席)	The University of Hong Kong 香港大學	5/2014
Prof Patrick Yam-keung Chau (Subject Convenor (Business Studies)) 周蔭強教授 (學科召集人(商學))	The University of Hong Kong 香港大學	5/2014
Prof Chu-ren Huang (Subject Convenor (Humanities and Social Sciences)) 黃居仁教授 (學科召集人 (人文學及社會科學))	The Hong Kong Polytechnic University 香港理工大學	2/2016
Prof Frank Shun-cheng Lee (Subject Convenor (Physical Sciences)) 李順誠教授 (學科召集人 (自然科學))	The Hong Kong Polytechnic University 香港理工大學	5/2014
Prof Arthur Fuk-tat Mak (Subject Convenor (Biology & Medicine)) 麥福達教授 (學科召集人 (生物學及醫學))	The Chinese University of Hong Kong 香港中文大學	5/2014
Prof Helen Mei-ling Meng (Subject Convenor (Engineering)) 蒙美玲教授 (學科召集人 (工程學))	The Chinese University of Hong Kong 香港中文大學	5/2014
Prof Che-ting Chan (Subject Convenor (Designated) (Physical Sciences)) 陳子亭教授 (學科召集人 (候任) (自然科學))	The Hong Kong University of Science and Technology 香港科技大學	2/2016
Prof George Guo-quan Huang (Subject Convenor (Designated) (Engineering)) 黃國全教授 (學科召集人 (候任) (工程學))	The University of Hong Kong 香港大學	3/2016
Prof Iris Benzie	The Hong Kong Polytechnic University 香港理工大學	3/2016
Prof Hok-yin Chan 陳學然教授	City University of Hong Kong 香港城市大學	3/2015
Prof Honglin Chen 陳鴻霖教授	The University of Hong Kong 香港大學	3/2015
Prof Cecilia Cheng 鄭思雅教授	The University of Hong Kong 香港大學	3/2015

<b>Assessment Panel for Competitive Research Funding Schemes for                      the Local Self-financing Degree Sector</b> 本地自資學位界別競逐研究資助計劃評審委員會		
<b>Panel Member</b> 評審委員會成員	<b>Institution</b> 所屬機構	<b>Appointed in</b> (Month/Year) 委任於 (月 / 年)
Prof Agnes Cheng-shing Cheng 鄭振興教授	The Hong Kong Polytechnic University 香港理工大學	3/2015
Prof Hong Chua 蔡宏教授	Technological and Higher Education Institute of Hong Kong 香港高等教育科技學院	4/2016
Prof Joanne Wai-yee Chung 鍾慧儀教授	The Education University of Hong Kong 香港教育大學	3/2015
Prof Joseph Kwun-wing Fung 馮觀榮教授	Hong Kong Baptist University 香港浸會大學	3/2015
Prof Liqiang Huang 黃力強教授	The Chinese University of Hong Kong 香港中文大學	3/2015
Prof Paul Chi-kong Kwok 郭始剛教授	The Open University of Hong Kong 香港公開大學	5/2014
Prof Julie Juan Li 李娟教授	City University of Hong Kong 香港城市大學	3/2015
Prof Qing Li 李青教授	City University of Hong Kong 香港城市大學	3/2015
Prof Thomas Wai-hung Lo 勞偉雄教授	The Hong Kong Polytechnic University 香港理工大學	3/2015
Prof Qin Lu, MH 陸勤教授, MH	The Hong Kong Polytechnic University 香港理工大學	3/2015
Prof Joshua Ka-ho Mok 莫家豪教授	Lingnan University 嶺南大學	5/2014
Prof Mu-chou Poo 蒲慕州教授	The Chinese University of Hong Kong 香港中文大學	5/2014

**Assessment Panel for Competitive Research Funding Schemes for  
the Local Self-financing Degree Sector**  
本地自資學位界別競逐研究資助計劃評審委員會

Panel Member 評審委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Kenneth Kuen-fung Sin 冼權鋒教授	The Education University of Hong Kong 香港教育大學	3/2015
Prof Catherine Tien-lun Sun 孫天倫教授	Hong Kong Shue Yan University 香港樹仁大學	5/2014
Prof Chiu-kay Tang 鄧昭祺教授	Centennial College 明德學院	3/2016
Prof Man-lai Tang 鄧文禮教授	Hang Seng Management College 恒生管理學院	4/2016
Prof Wilson Hin-sang Tong 唐憲生教授	The Hong Kong Polytechnic University 香港理工大學	3/2016
Prof Xin Wang 王鑫教授	The University of Hong Kong 香港大學	3/2015
Prof Ellick Kin-fai Wong 黃健輝教授	The Hong Kong University of Science and Technology 香港科技大學	3/2015
Prof Jiang Xu 徐江教授	The Chinese University of Hong Kong 香港中文大學	3/2015

<b>Hong Kong PhD Fellowship Scheme Selection Panel:                      Humanities, Social Sciences and Business Studies</b> 香港博士研究生獎學金計劃遴選小組：人文學、社會科學及商科		
Panel Member 遴選小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Andrew Walder (Chairman 主席)	Stanford University 史丹福大學	12/2014
Prof Hervé Ascensio	University of Paris 1 巴黎第一大學	12/2014
Prof Iain Borden	University College London 倫敦大學學院	12/2014
Prof Tai-Yuan Chen 陳泰元教授	The Hong Kong University of Science and Technology 香港科技大學	12/2014
Prof Alister Cumming	University of Toronto 多倫多大學	12/2014
Prof Joanna Ho 何莉芸教授	University of California, Irvine 加州大學爾灣分校	12/2014
Prof Stephen Kim	Iowa State University 愛荷華州立大學	12/2014
Prof Maxwell King	Monash University 蒙納士大學	12/2014
Prof Kwok Leung 梁覺教授	The Chinese University of Hong Kong 香港中文大學	12/2014
Prof Ji Li 李驥教授	Hong Kong Baptist University 香港浸會大學	12/2014
Prof John Logan	Brown University 布朗大學	12/2014
Prof Melanie Manion	University of Wisconsin-Madison 威斯康辛大學麥迪遜分校	12/2014

**Hong Kong PhD Fellowship Scheme Selection Panel:  
Humanities, Social Sciences and Business Studies**  
香港博士研究生獎學金計劃遴選小組：人文學、社會科學及商科

Panel Member 遴選小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Anirban Mukhopadhyay 諳寧寶教授	The Hong Kong University of Science and Technology 香港科技大學	12/2014
Prof Phyllis Lai Lan Mo 巫麗蘭教授	City University of Hong Kong 香港城市大學	12/2014
Prof Barry Naughton	University of California, San Diego 加州大學聖地牙哥分校	12/2014
Prof Jane Prophet	City University of Hong Kong 香港城市大學	12/2014
Prof Ngai Pun 潘毅教授	The Hong Kong Polytechnic University 香港理工大學	12/2014
Prof Christopher Tang	University of California, Los Angeles 加州大學洛杉磯分校	12/2014
Prof Jan Servaes 瑟韋斯教授	City University of Hong Kong 香港城市大學	12/2014
Prof Andrew Tolmie	University of London 倫敦大學	12/2014

<b>Hong Kong PhD Fellowship Scheme Selection Panel:                      Science, Medicine, Engineering and Technology</b> 香港博士研究生獎學金計劃遴選小組：科學、醫學、工程及科技		
Panel Member 遴選小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於 (月 / 年)
Prof Richard Strugnell (Chairman 主席)	The University of Melbourne 墨爾本大學	12/2014
Prof Ilesanmi Adesida	University of Illinois at Urbana-Champaign 伊利諾大學厄巴納-香檳分校	12/2014
Prof Iris Benzie	The Hong Kong Polytechnic University 香港理工大學	12/2014
Prof Manish Chhowalla	Rutgers University 羅格斯大學	12/2014
Prof Xiaoli Ding 丁曉利教授	The Hong Kong Polytechnic University 香港理工大學	12/2014
Prof Amr Elnashai	The Pennsylvania State University 賓夕凡尼亞州立大學	12/2014
Prof Brian Ford-Lloyd	University of Birmingham 伯明翰大學	12/2014
Prof Robin Garrell	University of California, Los Angeles 加州大學洛杉磯分校	12/2014
Prof Yifan Han 韓怡凡教授	The Hong Kong Polytechnic University 香港理工大學	12/2014
Prof Mohan Kankanhalli	National University of Singapore 新加坡國立大學	12/2014
Prof Sam Tak-wu Kwong 鄺得互教授	City University of Hong Kong 香港城市大學	12/2014
Prof Maode Lai 來茂德教授	Zhejiang University 浙江大學	12/2014

**Hong Kong PhD Fellowship Scheme Selection Panel:  
Science, Medicine, Engineering and Technology**  
香港博士研究生獎學金計劃遴選小組：科學、醫學、工程及科技

Panel Member 遴選小組成員	Institution 所屬機構	Appointed in (Month/Year) 委任於(月/年)
Prof Chris Yun-fai Lau 劉潤輝教授	University of California, San Francisco 加州大學舊金山分校	12/2014
Prof Suet Yi Leung 梁雪兒教授	The University of Hong Kong 香港大學	12/2014
Prof Baochun Li 李葆春教授	University of Toronto 多倫多大學	12/2014
Prof Peter Markowich	University of Cambridge 劍橋大學	12/2014
Prof Bradley Nelson	ETH Zurich 蘇黎世聯邦理工學院	12/2014
Prof Kwok-po Ng 吳國寶教授	Hong Kong Baptist University 香港浸會大學	12/2014
Prof Laura Poole-Warren	University of New South Wales 新南威爾斯大學	12/2014
Prof Ling Qin 秦嶺教授	The Chinese University of Hong Kong 香港中文大學	12/2014
Prof William Russel	Princeton University 普林斯頓大學	12/2014
Prof Michael Somekh	The Hong Kong Polytechnic University 香港理工大學	12/2014
Prof Xiaou Tang 湯曉鷗教授	The Chinese University of Hong Kong 香港中文大學	12/2014
Prof Michel Van Hove	Hong Kong Baptist University 香港浸會大學	12/2014
Prof Vivian Wing-wah Yam 任詠華教授	The University of Hong Kong 香港大學	12/2014
Prof Jun Yu 于君教授	The Chinese University of Hong Kong 香港中文大學	12/2014
Prof Yuan F Zheng	The Ohio State University 俄亥俄州立大學	12/2014

Committee/Panel Membership 2015/16: Disciplinary Committee (Investigation) 委員會 / 學科小組2015/16年度成員名單：紀律委員會（調查）		
Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於（月 / 年）
Prof Albert F Yee (Chairman) 余凡教授（主席）	University of California, Irvine, U.S.A. 美國加州大學爾灣分校	2/2015
Prof Chris Berry 裴開瑞教授	King's College London, U.K. 英國倫敦國王學院	2/2015
Prof Guoping Feng 馮國平教授	Massachusetts Institute of Technology, U.S.A. 美國麻省理工學院	2/2015
Prof Joanna Ho 何莉芸教授	University of California, Irvine, U.S.A. 美國加州大學爾灣分校	2/2015
Prof Jay Siegel	Tianjin University 天津大學	2/2015



Committee/Panel Membership 2015/16: Disciplinary Committee (Penalty) 委員會 / 學科小組2015/16年度成員名單：紀律委員會（罰則）		
Committee Member 委員會成員	Institution 所屬機構	Appointed in (Month/Year) 委任於（月 / 年）
Prof Peter Y Yu (Chairman) 于鑫教授（主席）	University of California, Berkeley, U.S.A. 美國加州大學柏克萊分校	7/2016
Prof Peter Frederic Cane	University of Cambridge, UK 英國劍橋大學	7/2016
Prof S. Tamer Cavusgil	Georgia State University 喬治亞州立大學	7/2016
Prof Yip-wah Chung, BBS 鍾業華教授, BBS	Northwestern University, USA 美國西北大學	7/2016
Prof Geoffrey L Smith	University of Cambridge, UK 英國劍橋大學	7/2016



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